

# Chapter 1

## Notices / News Releases

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

#### OSC STAFF NOTICE 11-739 (REVISED)

#### POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2017 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

#### Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

#### Reformulation

Instrument	Title	Status
	None	

#### New Instruments

Instrument	Title	Status
23-318	Withdrawal of Proposed Amendments Regarding Best Execution Disclosure under National Instrument 23-101 Trading Rules	<i>Published July 6, 2017</i>
94-101	National Instrument 94-101 Mandatory Central Counterparty Clearing of Derivatives – Amendments	<i>Commission approval published July 6, 2017</i>
25-101	Designated Rating Organizations – Amendments	<i>Published for comment July 6, 2017</i>
21-101	Marketplace Operation – Amendments	<i>Published for comment July 6, 2017</i>
31-103	Registration Requirements – Amendments	<i>Published for comment July 6, 2017</i>
33-109	Registration Information – Amendments	<i>Published for comment July 6, 2017</i>
41-101	General Prospectus Requirements – Amendments	<i>Published for comment July 6, 2017</i>
44-101	Short Form Prospectus Distributions – Amendments	<i>Published for comment July 6, 2017</i>
44-102	Shelf Distributions – Amendments	<i>Published for comment July 6, 2017</i>
45-106	Prospectus Exemptions – Amendments	<i>Published for comment July 6, 2017</i>
51-102	Continuous Disclosure Obligations – Amendments	<i>Published for comment July 6, 2017</i>
81-102	Investment Funds – Amendments	<i>Published for comment July 6, 2017</i>
81-106	Investment Fund Continuous Disclosure – Amendments	<i>Published for comment July 6, 2017</i>

**New Instruments**

<b>Instrument</b>	<b>Title</b>	<b>Status</b>
33-506	(Commodity Futures Act) Registration Information – Amendments	<i>Published for comment July 6, 2017</i>
24-101	Institutional Trade Matching and Settlement – Amendments	<i>Ministerial approval published July 13, 2017</i>
33-748	Compliance and Registrant Regulation – Annual Summary Report for Dealers, Advisers and Investment Fund Managers	<i>Published July 13, 2017</i>
31-349	Change to Standard Form Reports for Close Supervision and Strict Supervision Terms and Conditions	<i>Published July 13, 2017</i>
33-320	The Requirement for True and Complete Applications for Registration	<i>Published July 13, 2017</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	<i>Published July 13, 2017</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Commission approval published July 27, 2017</i>
33-109	Registration Information – Amendments	<i>Commission approval published July 27, 2017</i>
33-506	(Commodity Futures Act) Registration Information – Amendments	<i>Commission approval published July 27, 2017</i>
61-302	Staff Review and Commentary on Multilateral Instrument 61-101 Protection of Minority Security Holders in Special Transactions	<i>Published July 27, 2017</i>
51-351	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2017	<i>Published July 27, 2017</i>
46-307	Cryptocurrency Offerings	<i>Published August 24, 2017</i>
81-102	Investment Funds – Amendments	<i>Commission approval published August 31, 2017</i>
51-728	Corporate Finance Branch 2016-2017 Annual Report	<i>Published September 21, 2017</i>
91-102	Prohibition of Binary Options	<i>Commission approval published October 6, 2017</i>

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