

1.1.2 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2017 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
81-408	Consultation on the Option of Discontinuing Embedded Commissions	<i>Published for comment January 12, 2017 (Published on the OSC Website on January 10, 2017)</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published January 12, 2017</i>
45-322	Potential Concerns with the Structure of Rights Offerings	<i>Published January 12, 2017</i>
11-742	Securities Advisory Committee – Revised	<i>Published January 12, 2017</i>
11-334	Notice of Local Amendments and Changes in Certain Jurisdictions	<i>Published January 19, 2017</i>
51-347	Disclosure of cyber security risks and incidents	<i>Published January 19, 2017</i>
94-101	Mandatory Central Counterparty Clearing of Derivatives	<i>Commission approval published January 19, 2017</i>
94-102	Derivatives: Customer Clearing and Protection of Customer Collateral and Positions	<i>Commission approval published January 19, 2017</i>
54-305	Meeting Vote Reconciliation Process	<i>Published January 26, 2017</i>
23-101	Trading Rules – Amendments	<i>Commission approval published January 26, 2017</i>
23-317	Order Protection Rule: Market Share Threshold for the period April 1, 2017 to March 31, 2018	<i>Published February 2, 2017</i>
24-315	Update on Enhanced Segregation and Portability Initiatives for Clearing Agencies Serving the Domestic Futures Markets	<i>Published February 9, 2017</i>
13-502	Fees – Amendments	<i>Ministerial approval published February 16, 2017</i>
13-503	(Commodity Futures Act) Fees – Amendments	<i>Ministerial approval published February 16, 2017</i>

New Instruments

Instrument	Title	Status
81-102	Investment Funds – Amendments	<i>Ministerial approval published February 16, 2017</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Ministerial approval published February 16, 2017</i>
41-101	General Prospectus Requirements – Amendments	<i>Ministerial approval published February 16, 2017</i>
81-106	Investment Fund Continuous Disclosure – Amendments	<i>Ministerial approval published February 16, 2017</i>
51-348	Staff’s Review of Social Media Used by Reporting Issuers	<i>Published March 9, 2017</i>
51-349	Report on the Review of Investment Entities and Guide for Disclosure Improvements	<i>Published March 16, 2017</i>
11-777	Statement of Priorities For Financial Year to End March 31, 2018	<i>Published for comment March 23, 2017</i>
11-778	Behavioural Insights – Key Concepts, Applications and Regulatory Considerations	<i>Published March 30, 2017</i>
23-101	Trading Rule – Amendments	<i>Ministerial approval published March 30, 2017</i>

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