1.1.3 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2016 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	None	

New Instruments

Instrument	Title	Status
31-344	OBSI Joint Regulators Committee Annual Report for 2015	Published April 7, 2016
45-308	Guidance for Preparing and Filing Reports of Exempt Distribution under National Instrument 45-106 Prospectus Exemptions (Revised)	Published April 7, 2016
33-317	Next Steps in the CSA's Work to Enhance the Obligations of Advisers, Dealers and Representatives Toward their Clients	Published April 7, 2016
23-101	Notice of Approval – Amendments to National Instrument 23-101 Trading Rules and Companion Policy 23-101CP to National Instrument 23-101 Trading Rules	Commission approval published April 7, 2016
45-106	Prospectus Exemptions – Amendments	Commission approval published April 7, 2016
23-101	Trading Rules – Amendments	Published for comment April 7, 2016
31-345	Cost Disclosure, Performance Reporting and Client Statements – Frequently Asked Questions and Additional Guidance	Published April 14, 2016
11-739	Policy Reformulation Table of Concordance and New Instruments (Revised)	Published April 14, 2016
21-317	Next Steps in Implementation of a Plan to Enhance Regulation of the Fixed Income Market	Published April 21, 2016
33-404	Proposals to Enhance the Obligations of Advisers, Dealers, and Representatives toward their Clients	Published for comment April 28, 2016
62-104	Take-Over Bids and Issuer Bids – Amendments	Ministerial approval published May 5, 2016
62-203	Take-Over Bids and Issuer Bids – Amendments	Commission approval published May 5, 2016
13-102	System Fees for SEDAR and NRD – Amendments	Ministerial approval published May 5, 2016

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New Instruments

Instrument	Title	Status
43-101	Standards of Disclosure for Mineral Projects – Amendments	Ministerial approval published May 5, 2016
55-104CP	Insider Reporting Requirements and Exemptions – Amendments	Commission approval published May 5, 2016
61-101	Protection of Minority Security Holders in Special Transactions – Amendments	Ministerial approval published May 5, 2016
61-101CP	Protection of Minority Security Holders in Special Transactions – Amendments	Commission approval published May 5, 2016
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments	Ministerial approval published May 5, 2016
62-504	Take-Over Bids and Issuer Bids	Ministerial approval of repeal published May 5, 2016
13-502	Fees – Amendments	Ministerial approval published May 5, 2016
14-501	Definitions – Amendments	Ministerial approval published May 5, 2016
48-501	Trading During Distributions, Formal Bids and Share Exchange Transactions – Amendments	Ministerial approval published May 5, 2016
71-801	Implementing the Multijurisdictional Disclosure System – Amendments	Ministerial approval published May 5, 2016
71-802	Implementing National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments	Ministerial approval published May 5, 2016
91-502	Trades in Recognized Options – Amendments	Ministerial approval published May 5, 2016
62-203	Take-Over Bids and Issuer Bids – Amendments	Commission approval published May 5, 2016
62-103	The Early Warning System and Related Take-Over Bid and Insider Reporting Issues – Amendments	Ministerial approval published May 5, 2016
91-507	Trade Repositories and Derivatives Data Reporting – Amendments	Commission approval published May 5, 2016
91-507CP	Trade Repositories and Derivatives Data Reporting – Amendments	Commission approval published May 5, 2016
24-314	Preparing for the Implementation of T+2 Settlement: Letter to Registered Firms	Published May 26, 2016
45-106	Prospectus Exemptions – Amendments (Harmonized Report of Exempt Distributions)	Ministerial approval published June 9, 2016
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	Ministerial approval published June 9, 2016
13-502	Fees – Amendments	Ministerial approval published June 9, 2016
45-501	Ontario Prospectus and Registration Exemptions – Amendments	Ministerial approval published June 9, 2016
45-708	Introduction of Electronic Report of Exempt Distribution on Form 45-106F1	Withdrawn June 30, 2016
45-709	Tips for Filing Reports of Exempt Distribution	Withdrawn June 30, 2016

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New Instruments

45-713 Reports of Exempt Distribution – Compliance with Filling Requirements Withdrawn June 30, 2016 11-775 Notice of Statement of Priorities for Financial Year to End March 31, 2017 Published June 9, 2016 23-704 Compliance with National Instrument 23-103 Electronic Trading and Direct Access to Marketplaces Published June 9, 2016 24-102CP Clearing Agency Requirements – Amendments – Amendments – 2016 Commission approval published June 9, 2016 12-703 Applications for a Decision that an Issuer is not a Reporting Issuer (Revised) Published June 16, 2016 11-330 Notice of Local Amendments – Alberta, Manitoba, New Brunswick, Nova Scotla, Quebec, Ontario and Saskatchewan Published June 16, 2016 23-316 Order Protection Rule: Implementation of the Market Share Threshold and Amendments to Companion Policy 23-101 Published June 23, 2016 11-102 Passport System – Amendments Published June 23, 2016 23-3101 Trading Rules – Amendments Ministerial approval published June 23, 2016 23-3101 Trading Rules – Amendments Ministerial approval published June 23, 2016 21-318 Information Processor for Corporate Debt Securities Published June 23, 2016 11-206 Process for Cease to be a Reporting Issuer Applications Commission approval published June 23, 2016	Instrument	Title	Status
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Trading and Direct Access to Marketplaces 24-102CP Clearing Agency Requirements – Amendments 2016 2-703 Applications for a Decision that an Issuer is not a Reporting Issuer (Revised) 1-704 Notice of Local Amendments – Alberta, Manitoba, New Brunswick, Nova Scotia, Quebec, Ontario and Saskatchewan 2-705 Order Protection Rule: Implementation of the Market Share Threshold and Amendments to Companion Policy 23-101 1-707 Trading Rules 1-708 Passport System – Amendments 1-709 Passport System	11-775		Published June 9, 2016
12-703	23-704		Published June 9, 2016
Issuer (Revised) Notice of Local Amendments – Alberta, Manitoba, New Brunswick, Nova Scotia, Quebec, Ontario and Saskatchewan Order Protection Rule: Implementation of the Market Share Threshold and Amendments to Companion Policy 23-101 Trading Rules Passport System – Amendments Published June 20, 2016 Published June 23, 2016	24-102CP	Clearing Agency Requirements – Amendments	
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11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions Revocation of Certain Cease Trade Orders Commission approval published June 23, 2016 Revocation of Certain Cease Trade Orders Commission approval published June 23, 2016 Management Cease Trade Orders Commission approval published June 23, 2016 Next Steps in the CSA's Examination of Mutual Fund Fees Published June 30, 2016	21-318	Information Processor for Corporate Debt Securities	Published June 23, 2016
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12-203 Management Cease Trade Orders Commission approval published June 23, 2016 Next Steps in the CSA's Examination of Mutual Fund Fees Published June 30, 2016	11-207		
81-327 Next Steps in the CSA's Examination of Mutual Fund Fees <i>Published June 30, 2016</i>	12-202	Revocation of Certain Cease Trade Orders	· · · · · · · · · · · · · · · · · · ·
	12-203	Management Cease Trade Orders	
72-503 Distributions Outside of Canada Published for comment June 30, 2016	81-327	Next Steps in the CSA's Examination of Mutual Fund Fees	Published June 30, 2016
	72-503	Distributions Outside of Canada	Published for comment June 30, 2016

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July 28, 2016

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