

# Chapter 1

## Notices / News Releases

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### 1.1 Notices

#### 1.1.1 Notice of Ministerial Approval of Amendments to NI 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations and Related Instruments

##### **NOTICE OF MINISTERIAL APPROVAL OF AMENDMENTS TO NATIONAL INSTRUMENT 31-103 REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS AND RELATED INSTRUMENTS**

On December 18, 2014, the Minister of Finance approved amendments (the Amendments) made by the Ontario Securities Commission (OSC or Commission) to:

- National Instrument (NI) 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* and related forms
- NI 33-109 *Registration Information* and related forms
- NI 52-107 *Acceptable Accounting Principles and Auditing Standards*
- OSC Rule 33-506 (*Commodity Futures Act*) *Registration Information* and related forms
- OSC Rule 35-502 *Non-Resident Advisers*
- NI 23-102 *Use of Client Brokerage Commissions*
- NI 24-101 *Institutional Trade Matching and Settlement*
- NI 81-107 *Independent Review Committee for Investment Funds*
- OSC Rule 91-501 *Strip Bonds*
- OSC Rule 91-502 *Trades in Recognized Options*

The Amendments were made by the Commission on September 23, 2014. The Amendments were published in the Supplement to the October 16, 2014 OSC Bulletin and are published in Chapter 5 of this Bulletin. The Amendments came into force on January 11, 2015 (or, will come into force six months later in the case of the amendments adding new restrictions on trading activities by exempt market dealers).

On September 23, 2014, the Commission also adopted related amendments to:

- Companion Policy 31-103CP *Registration Requirements, Exemptions and Ongoing Registrant Obligations*
- Companion Policy 33-109CP *Registration Information*
- Companion Policy 45-501CP *Ontario Prospectus and Registration Exemptions*
- Companion Policy 52-107CP *Acceptable Accounting Principles and Auditing Standards*
- Companion Policy 33-506CP (*Commodity Futures Act*) *Registration Information*

These amendments also became effective on January 11, 2015 (or, will become effective six months later in the case of the amendments related to the new restrictions on trading activities by exempt market dealers). These amendments were also published in the Supplement to the October 16, 2014 OSC Bulletin and are published in Chapter 5 of this Bulletin.