

The Ontario Securities Commission

# OSC Bulletin

## Cumulative Index 2019

Index for  
Volume 42  
(2019), 42 OSCB

December 19, 2019

The Ontario Securities Commission administers the  
*Securities Act* of Ontario (R.S.O. 1990, c. S.5) and the  
*Commodity Futures Act* of Ontario (R.S.O. 1990, c. C.20)

**The Ontario Securities Commission**

Cadillac Fairview Tower  
22nd Floor, Box 55  
20 Queen Street West  
Toronto, Ontario  
M5H 3S8

416-593-8314 or Toll Free 1-877-785-1555

Contact Centre – Inquiries, Complaints:

Office of the Secretary:

Published under the authority of the Commission by:

**Thomson Reuters**  
One Corporate Plaza  
2075 Kennedy Road  
Toronto, Ontario  
M1T 3V4

416-609-3800 or 1-800-387-5164

Fax: 416-593-8122  
TTY: 1-866-827-1295

Fax: 416-593-2318



The OSC Bulletin is published weekly by Thomson Reuters Canada, under the authority of the Ontario Securities Commission.

Thomson Reuters Canada offers every issue of the Bulletin, from 1994 onwards, fully searchable on *SecuritiesSource*<sup>™</sup>, Canada's pre-eminent web-based securities resource. *SecuritiesSource*<sup>™</sup> also features comprehensive securities legislation, expert analysis, precedents and a weekly Newsletter. For more information on *SecuritiesSource*<sup>™</sup>, as well as ordering information, please go to:

<http://www.westlawecarswell.com/SecuritiesSource/News/default.htm>

or call Thomson Reuters Canada Customer Support at 1-416-609-3800 (Toronto & International) or 1-800-387-5164 (Toll Free Canada & U.S.).

Claims from *bona fide* subscribers for missing issues will be honoured by Thomson Reuters Canada up to one month from publication date.

Space is available in the Ontario Securities Commission Bulletin for advertisements. The publisher will accept advertising aimed at the securities industry or financial community in Canada. Advertisements are limited to tombstone announcements and professional business card announcements by members of, and suppliers to, the financial services industry.

All rights reserved. No part of this publication may be reproduced, stored in a retrieval system, or transmitted in any form or by any means, electronic, mechanical, photocopying, recording, or otherwise without the prior written permission of the publisher.

The publisher is not engaged in rendering legal, accounting or other professional advice. If legal advice or other expert assistance is required, the services of a competent professional should be sought.

Printed in the United States by Thomson Reuters.

© Copyright 2019 Ontario Securities Commission  
ISSN 0226-9325  
Except Chapter 7 ©CDS INC.



---

One Corporate Plaza  
2075 Kennedy Road  
Toronto, Ontario  
M1T 3V4

Customer Support  
1-416-609-3800 (Toronto & International)  
1-800-387-5164 (Toll Free Canada & U.S.)  
Fax 1-416-298-5082 (Toronto)  
Fax 1-877-750-9041 (Toll Free Canada Only)  
Email [CustomerSupport.LegalTaxCanada@TR.com](mailto:CustomerSupport.LegalTaxCanada@TR.com)

# Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 42) for the period January – December 2019, inclusive.

The index includes material found in Chapters 1 (Notices), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes a corporate or personal name, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

## Supplements in 2019

The Supplement published in 2019 was:

- **Client Focused Reforms – Notice of Amendments to National Instrument 31-103 and Companion Policy 31-103CP** – Volume 42, Issue 40, Supplement 1 (October 3, 2019)

Particular Instruments, Policies and Forms affected appear in the Cumulative Index.

This page intentionally left blank

# Ontario Securities Commission Bulletin

## Annual Cumulative Index, 2019

---

---

<b>0799714 B.C. Ltd.</b>	
Order.....	#19 (May 9) 4280
<b>0944460 B.C. Ltd.</b>	
Order.....	#41 (October 10) 8081
<b>0985812 B.C. Ltd.</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#29 (July 18) 6099
Notice from the Office of the Secretary.....	#29 (July 18) 6110
Notice from the Office of the Secretary.....	#41 (October 10) 8053
Order – ss. 127(1), 127(10).....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10).....	#41 (October 10) 8085
<b>11208578 Canada Inc.</b>	
Decision.....	#19 (May 9) 4252
<b>1212771 B.C. Ltd.</b>	
Order.....	#48 (November 28) 9162
<b>123Dentist Corporation</b>	
Decision.....	#31 (August 1) 6464
<b>123Dentist Inc.</b>	
Decision.....	#31 (August 1) 6464
<b>1802146 Ontario Limited</b>	
Order – ss. 21, 144.....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181
<b>1832 AM Investment Grade U.S. Corporate Bond Pool</b>	
Decision.....	#31 (August 1) 6468
<b>1832 Asset Management L.P.</b>	
Decision.....	#26 (June 27) 5581
Decision.....	#31 (August 1) 6468
Decision.....	#47 (November 21) 8993
<b>360 Treasury Systems AG</b>	
Marketplaces – Application by 360 Treasury Systems AG for Exemption from Recognition as an Exchange – Notice and Request for Comment.....	#15 (April 11) 3583
Order – s. 147.....	#25 (June 20) 5450
Marketplaces – Application for Exemptive Relief – Notice of Commission Order.....	#25 (June 20) 5548

**3iQ Corp.**

Director's Decision .....	#8 (February 21) 1671
Change in Registration Category .....	#9 (February 28) 1951
Notice of Hearing – s. 8 .....	#13 (March 28) 2799
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#15 (April 11) 3325
Order – s. 8 .....	#15 (April 11) 3374
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order – s. 8 .....	#18 (May 2) 4036
Notice from the Office of the Secretary .....	#21 (May 23) 4601
Notice from the Office of the Secretary .....	#45 (November 7) 8639
Order – s. 8 .....	#45 (November 7) 8661
Reasons and Decision .....	#45 (November 7) 8673

**4Front Corp.**

Decision .....	#31 (August 1) 6470
----------------	---------------------

**8022275 Canada Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10) .....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085

**A Trust for Bettina Jane Richman**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**A Trust for Emma Richman**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**A Trust for Francesca Richman**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Abattis Bioceticals Corp.**

Cease Trading Order .....	#6 (February 7) 1169
---------------------------	----------------------

**Aberdeen Standard Investments (Canada) Limited**

Change of Registration Category .....	#2 (January 10) 539
Consent to Suspension (Pending Surrender) .....	#46 (November 14) 8975

<b>Abrams Capital Partners I, L.P.</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Abrams Capital Partners II, L.P.</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Acasta Capital Inc.</b>	
Voluntary Surrender .....	#8 (February 21) 1755
<b>Accelerate Absolute Return Hedge Fund</b>	
Decision .....	#6 (February 7) 1121
<b>Accelerate Enhanced Canadian Benchmark Alternative Fund</b>	
Decision .....	#6 (February 7) 1121
<b>Accelerate Financial Technologies Inc.</b>	
New Registration .....	#5 (January 31) 1095
Decision .....	#6 (February 7) 1121
<b>Accelerate Private Equity Alpha Fund</b>	
Decision .....	#6 (February 7) 1121
<b>Achievers Plan</b>	
Decision .....	#12 (March 21) 2557
<b>Acreage Holdings, Inc.</b>	
Order – s. 9.1 of National Instrument 61-101 Protection of Minority Security Holders in Special Transactions .....	#22 (May 30) 4820
<b>Act to enact, amend and repeal various statutes, S.O. 2018, c. 17</b>	
Notice of Amendments .....	#3 (January 17) 549
Legislation .....	#3 (January 17) 737
<b>Administrative Arrangement for the Transfer of Personal Data with EEA Authorities</b>	
Notice .....	#20 (May 16) 4421
Notice of Ministerial Approval .....	#28 (July 11) 5885
<b>Advance Trading Inc.</b>	
Ruling – s. 38 of the CFA .....	#49 (December 5) 9351
<b>Advanced Education Savings Plan</b>	
Decision .....	#11 (March 14) 2163
<b>Advantex Marketing International Inc.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Aequitas Innovations Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>Aequitas NEO Exchange Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
Marketplaces – Notice of Variation Orders .....	#8 (February 21) 1758

<b>Agellan Commercial Real Estate Investment Trust</b>	
Order.....	#19 (May 9) 4278
<b>Agentis Capital Markets Canada Limited Partnership</b>	
New Registration.....	#35 (August 29) 7151
<b>AGF Canadian Growth Equity Fund</b>	
Decision.....	#17 (April 25) 3867
<b>AGF Flex Asset Allocation Fund</b>	
Decision.....	#17 (April 25) 3867
<b>AGF Investments Inc.</b>	
Decision.....	#17 (April 25) 3867
Decision.....	#22 (May 30) 4781
<b>Agility Health, Inc.</b>	
Cease Trading Order.....	#19 (May 9) 4301
<b>Agora Dealer Services Corp.</b>	
New Registration.....	#16 (April 18) 3849
<b>AGT Food and Ingredients Inc.</b>	
Order.....	#33 (August 15) 6742
Order – s. 1(6) of the OBCA.....	#48 (November 28) 9163
<b>Aguia Resources Limited</b>	
Order – s. 1(11)(b).....	#40 (October 3) 7956
<b>Aimia Inc.</b>	
Decision.....	#16 (April 18) 3620
<b>Alberta Investment Management Corporation,</b>	
Order – ss. 21, 144.....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181
<b>Alcedio Securities Inc.</b>	
New Registration.....	#37 (September 12) 7621
<b>Alchemist Mining Incorporated</b>	
Cease Trading Order.....	#37 (September 12) 7383
<b>Aleafia Health Inc.</b>	
Decision.....	#19 (May 9) 4252
<b>Alexandria Minerals Corporation</b>	
Order.....	#38 (September 19) 7663
<b>Algonquin Capital Corporation</b>	
Decision.....	#34 (August 22) 6883
Decision.....	#40 (October 3) 7925
<b>Algonquin Fixed Income 2.0 Fund</b>	
Decision.....	#34 (August 22) 6883
<b>Algonquin Power &amp; Utilities Corp.</b>	
Decision.....	#19 (May 9) 4259
<b>Alignvest Acquisition II Corporation</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA.....	#37 (September 12) 7623
<b>Alignvest Capital Management Inc.</b>	
Change in Registration Category.....	#31 (August 1) 6609
<b>Alkaline Water Company Inc. (The)</b>	
Decision.....	#9 (February 28) 1791



<b>Allard, Allard &amp; Associés Inc.</b>	
Change in Registration Category .....	#18 (May 2) 4203
Decision .....	#34 (August 22) 6895
<b>Allegro Balanced Growth Fund</b>	
Decision .....	#1 (January 3) 21
<b>Alli, Nayeem</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3) 7916
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350
<b>Almoty Industries Inc.</b>	
Cease Trading Order .....	#2 (January 10) 371
Cease Trading Order .....	#3 (January 17) 597
<b>Alpha Exchange Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Marketplaces – Notice of Variation Orders .....	#8 (February 21) 1758
Marketplaces – Notice of Proposed Changes and Request for Comments .....	#11 (March 14) 2512
Marketplaces – Dynamic OPR Reprice – Notice of Approval .....	#16 (April 18) 3857
Marketplaces – Fee Changes Effective June 1, 2019 – Notice of Approval .....	#23 (June 6) 5154
Order .....	#24 (June 13) 5181
Marketplaces – Housekeeping Amendment to the Rules of Alpha Exchange Inc. – Notice of Housekeeping Rule Amendment .....	#27 (July 4) 5877
<b>Alpha Market Services Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
<b>Alpha Trading Systems Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
<b>Alpha Trading Systems Limited Partnership</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
<b>AlphaNorth Asset Management</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#8 (February 21) 1511
Notice from the Office of the Secretary .....	#8 (February 21) 1518
Order with Related Settlement Agreement – ss. 127, 127.1 .....	#8 (February 21) 1656
Oral Reasons for Approval of a Settlement – ss. 127, 127.1 .....	#8 (February 21) 1669
<b>Alto Monthly Income &amp; Global Growth Fund</b>	
Decision .....	#1 (January 3) 21
<b>Altrinsic Global Advisors Canada Inc.</b>	
New Registration .....	#2 (January 10) 539
<b>Alvest Holding</b>	
Decision .....	#43 (October 24) 8416
<b>Amadeus Investment Partners Inc.</b>	
Name Change .....	#28 (July 11) 6091
<b>Amended and Restated Memorandum of Understanding with the UK Financial Conduct Authority – Concerning Consultation, Cooperation and the Exchange of Information Related to the Supervision of Cross-Border Alternative Investment Fund Managers</b>	
Notice of Ministerial Approval .....	#49 (December 5) 9301

<b>Amendments to the Ontario Securities Commission Rules of Procedure and Forms and Practice Guideline as of July 23, 2019</b>	
Notice from the Office of the Secretary .....	#31 (August 1) 6460
<b>Amendments to the Ontario Securities Commission Rules of Procedure and Forms and Practice Guideline as of December 10, 2019</b>	
Notice from the Office of the Secretary .....	#51 (December 19) 9680
<b>Amundi (UK) Ltd.</b>	
Order – ss. 78(1) and 80 of the CFA.....	#17 (April 25) 3885
<b>Amundi Asset Management</b>	
Order – ss. 78(1) and 80 of the CFA.....	#17 (April 25) 3885
<b>Amundi Canada Inc.</b>	
Change in Registration Category .....	#40 (October 3) 8041
<b>Amundi SGR S.P.A.</b>	
Order – ss. 78(1) and 80 of the CFA.....	#17 (April 25) 3885
<b>Analytic Investors, LLC</b>	
Voluntary Surrender .....	#33 (August 15) 6863
<b>Angellist Advisors, LLC</b>	
Decision .....	#14 (April 4) 3041
<b>Angellist Holdings, LLC</b>	
Decision .....	#14 (April 4) 3041
<b>Anson Advisors Inc.</b>	
Notice of Hearing – ss. 8, 21.7 and 127 .....	#11 (March 14) 2159
Notice from the Office of the Secretary .....	#11 (March 14) 2161
Notice from the Office of the Secretary .....	#12 (March 21) 2531
Order .....	#12 (March 21) 2572
Notice of Withdrawal .....	#16 (April 18) 3606
Notice from the Office of the Secretary .....	#16 (April 18) 3613
<b>Antera Capital Corp.</b>	
New Registration.....	#10 (March 7) 2151
<b>Arrangements Regarding the Access, Collection, Storage and Use of Derivatives Data</b>	
Notice of Ministerial Approval.....	#6 (February 7) 1115
Rules and Policies .....	#6 (February 7) 1171
<b>Arrow Capital Management Inc.</b>	
Decision .....	#12 (March 21) 2559
Decision .....	#15 (April 11) 3362
Decision .....	#26 (June 27) 5599
<b>Ascend Capital, LLC</b>	
Consent to Suspension (Pending Surrender).....	#1 (January 3) 285
<b>Ascension Foundation</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1).....	#46 (November 14) 8792

**Ashley S. Baker 3/15/84 Trust**

Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Ashraf, Talat**

Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order – s. 17 .....	#18 (May 2) 4033
Reasons and Decision – s. 17 .....	#18 (May 2) 4039

**Atlanta Gold Inc.**

Cease Trading Order .....	#19 (May 9) 4301
---------------------------	------------------

**Atlatsa Resources Corporation**

Decision .....	#41 (October 10) 8079
----------------	-----------------------

**Audebert, Gabriel**

Order – s. 144(1).....	#23 (June 6) 5039
------------------------	-------------------

**Aug Enterprises Inc.**

Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1).....	#46 (November 14) 8792

**Authorization Order**

Authorization Order – s. 3.5(3).....	#4 (January 24) 790
Authorization Order – s. 3.5(3).....	#7 (February 14) 1346
Authorization Order – s. 3.5(3).....	#19 (May 9) 4289

**Ava Trade Ltd.**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#30 (July 25) 6288
Notice from the Office of the Secretary .....	#30 (July 25) 6294
Notice from the Office of the Secretary .....	#31 (August 1) 6459
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#31 (August 1) 6498
Oral Reasons for Approval of Settlement – ss. 127, 127.1 .....	#31 (August 1) 6520

**Avalon Works Corp.**

Order – s. 144 .....	#9 (February 28) 1800
Order – s. 144 .....	#40 (October 3) 7943
Cease Trading Order .....	#40 (October 3) 7977

**Avion Gold Corporation**

Order .....	#1 (January 3) 70
Order – s. 1(6) of the OBCA.....	#7 (February 14) 1345

**AXA S.A.**

Decision .....	#46 (November 14) 8773
----------------	------------------------

**Azema Sciences Inc.**

Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353

**Aziz, Maurice**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3)
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350

**B.E.S.T. Investment Counsel Limited**

Decision .....	#15 (April 11) 3348
----------------	---------------------

**Bajaj, Harish**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3) 7916
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350

**Baker, Christina**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Baker, Lisa**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Baker, Richard A.**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Baker, Robert**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Bakshi, Prabhjot Singh**

Notice from the Office of the Secretary .....	#1 (January 3) 20
Order – ss. 127(1), 127(10) .....	#1 (January 3) 71
Reasons and Decision – ss. 127(1), 127(10) .....	#1 (January 3) 89

<b>Balmoral Wood Commercial Advocate Fund I LP</b>	
Decision .....	#5 (January 31) 941
<b>Balmoral Wood Commercial Advocate International Fund I LP</b>	
Decision .....	#5 (January 31) 941
<b>Balmoral Wood Commercial Advocate Parallel Fund I LP</b>	
Decision .....	#5 (January 31) 941
<b>Banque Centrale De Compensation</b>	
Clearing Agencies – Application for Exemption from Recognition as a Clearing Agency – OSC Notice and Request for Comment .....	#32 (August 8) 6714
Order – s. 147 of the OSA .....	#49 (December 5) 9341
Clearing Agencies – Application for Exemptive Relief – Notice of Commission Order .....	#49 (December 5) 9455
<b>Banwell Financial Inc.</b>	
Voluntary Surrender .....	#2 (January 10) 539
<b>Barclays Corporation Limited</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>Barker Minerals Ltd.</b>	
Cease Trading Order .....	#15 (April 11) 3375
<b>Barrantagh Investment Management Inc.</b>	
Change in Registration Category .....	#13 (March 28) 3009
<b>Baskin Financial Services Inc.</b>	
Change in Registration .....	#32 (August 8) 6707
Decision .....	#48 (November 28) 9136
<b>BCE Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>BDO Canada LLP</b>	
Notice from the Office of the Secretary .....	#10 (March 7) 1959
Order .....	#10 (March 7) 1985
Notice from the Office of the Secretary .....	#20 (May 16) 4433
Notice from the Office of the Secretary .....	#20 (May 16) 4434
Order .....	#20 (May 16) 4453
Notice from the Office of the Secretary .....	#24 (June 13) 5167
OSC Decision .....	#24 (June 13) 5237
Notice from the Office of the Secretary .....	#25 (June 20) 5421
Order .....	#25 (June 20) 5449
Notice from the Office of the Secretary .....	#26 (June 27) 5564
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6914
Notice from the Office of the Secretary with Related Statements of Allegations .....	#38 (September 19) 7637
<b>Belay Wealth Inc.</b>	
New Registration .....	#26 (June 27) 5719
<b>Beleave Inc.</b>	
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#34 (August 22) 6917
Cease Trading Order .....	#35 (August 29) 7047
Cease Trading Order .....	#36 (September 5) 7277
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#38 (September 19) 7665
Cease Trading Order .....	#39 (September 26) 7811
Cease Trading Order .....	#40 (October 3) 7977
Cease Trade Orders .....	#41 (October 10) 8103

<b>Ber Tov Capital Corporation</b>	
Change in Registration Category .....	#7 (February 14) 1505
<b>Berkley Renewables Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Bernholtz, Martin</b>	
Notice from the Office of the Secretary .....	#9 (February 28) 1770
Order .....	#9 (February 28) 1799
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice of Hearing – ss. 127, 127.1 .....	#21 (May 23) 4595
Notice from the Office of the Secretary .....	#21 (May 23) 4602
Notice from the Office of the Secretary .....	#22 (May 30) 4760
Order with Related Settlement Agreement – ss. 127, 127.1 .....	#22 (May 30) 4828
Oral Reasons for Approval of a Settlement – ss. 127, 127.1 .....	#22 (May 30) 4835
Notice of Hearing – s. 144 .....	#29 (July 18) 6098
Notice from the Office of the Secretary .....	#29 (July 18) 6107
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Application for Variation of an Order – s. 144 .....	#29 (July 18) 6109
Order – s. 144 .....	#29 (July 18) 6131
<b>Besra Gold Inc.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Best, Jeffrey</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
<b>BetaPro Canadian Gold Miners -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Canadian Gold Miners 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Crude Oil -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Crude Oil 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Gold Bullion -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Gold Bullion 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Marijuana Companies 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Marijuana Companies Inverse ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Nasdaq-100® -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Nasdaq-100® 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Natural Gas -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Natural Gas 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Betapro S&amp;P 500 VIX Short-Term Futures™ ETF</b>	
Decision .....	#47 (November 21) 9006

<b>BetaPro S&amp;P 500® -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P 500® 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P 500® Daily Inverse ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX 60™ -2X Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX 60™ 2X Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX 60™ Daily Inverse ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX Capped Energy™ -2X Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX Capped Energy™ 2X Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX Capped Financials™ -2X Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro S&amp;P/TSX Capped Financials™ 2X Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Silver -2x Daily Bear ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetaPro Silver 2x Daily Bull ETF</b>	
Decision .....	#47 (November 21) 9006
<b>BetterU Education Corp.</b>	
Cease Trading Order .....	#32 (August 8) 6631
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#34 (August 22) 6917
Cease Trading Order .....	#35 (August 29) 7047
Cease Trading Order .....	#36 (September 5) 7277
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#38 (September 19) 7665
Cease Trading Order .....	#39 (September 26) 7811
Cease Trading Order .....	#40 (October 3) 7977
Cease Trading Order .....	#41 (October 10) 8103
Cease Trading Order .....	#44 (October 31) 8589
<b>Bexar Ventures Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#8 (February 21) 1679
<b>Big 8 Split Inc.</b>	
Order .....	#20 (May 16) 4461
Order – s. 1(6) of the OBCA .....	#22 (May 30) 4804
<b>Bill 100, the Protecting What Matters Most Act (Budget Measures), 2019</b>	
Notice of Amendments .....	#24 (June 13) 5165
Legislation .....	#24 (June 13) 5403

**Bitcoin Fund (The)**

Cease Trading Order .....	#6 (February 7) 1169
Director's Decision .....	#8 (February 21) 1671
Notice of Hearing – s. 8 .....	#13 (March 28) 2799
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#15 (April 11) 3325
Order – s. 8 .....	#15 (April 11) 3374
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order – s. 8 .....	#18 (May 2) 4036
Notice from the Office of the Secretary .....	#21 (May 23) 4601
Notice from the Office of the Secretary .....	#45 (November 7) 8639
Order – s. 8 .....	#45 (November 7) 8661
Reasons and Decision .....	#45 (November 7) 8673

**BitRush Corp.**

Partial Revocation Order .....	#19 (May 9) 4285
--------------------------------	------------------

**BlackPearl Resources Inc.**

Order .....	#4 (January 24) 791
-------------	---------------------

**Blackrock Asset Management Canada Limited**

Decision .....	#51 (December 19) 9703
----------------	------------------------

**Blissco Cannabis Corp.**

Order .....	#37 (September 12) 7381
-------------	-------------------------

**Blizzard, Johnny**

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
Order – s. 144(1) .....	#23 (June 6) 5039

**Blockchain Power Trust**

Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#24 (June 13) 5245

**Blocplay Entertainment Inc.**

Cease Trading Order .....	#1 (January 3) 97
Cease Trading Order .....	#2 (January 10) 371
Cease Trading Order .....	#3 (January 17) 597
Cease Trading Order .....	#4 (January 24) 819
Cease Trading Order .....	#5 (January 31) 1001
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#25 (June 20) 5463
Cease Trading Order .....	#26 (June 27) 5627
Cease Trading Order .....	#27 (July 4) 5763
Cease Trading Order .....	#28 (July 11) 5927

**Bloom Investment Counsel, Inc.**

Change in Registration Category .....	#4 (January 24) 923
---------------------------------------	---------------------

**Bloomberg Trading Facility Limited**

Order – s. 144 .....	#27 (July 4) 5753
Marketplaces – Notice of Commission Order .....	#27 (July 4) 5879



<b>Blue Trust</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>BMO Asset Management Inc.</b>	
Decision .....	#25 (June 20) 5442
<b>BMO Balanced Yield Plus ETF Portfolio</b>	
Decision .....	#13 (March 28) 2842
<b>BMO Canadian Top 15 Small Cap Tactic™ Fund</b>	
Decision .....	#5 (January 31) 947
<b>BMO Capital Markets Corp.</b>	
Decision .....	#42 (October 17) 8259
<b>BMO Fintech Sector Tactic™ Fund</b>	
Decision .....	#5 (January 31) 947
<b>BMO Fixed Income Yield Plus ETF Portfolio</b>	
Decision .....	#13 (March 28) 2842
<b>BMO Investments Inc.</b>	
Decision .....	#13 (March 28) 2842
<b>BMO InvestorLine Inc.</b>	
Decision .....	#4 (January 24) 759
<b>BMO Laddered Corporate Bond Fund</b>	
Decision .....	#13 (March 28) 2842
<b>BMO Nesbitt Burns Inc.</b>	
Decision .....	#3 (January 17) 557
Decision .....	#4 (January 24) 759
Decision .....	#5 (January 31) 947
Decision .....	#27 (July 4) 5736
Decision .....	#42 (October 17) 8259
<b>BMO U.S. Top 15 Small Cap Tactic™ Fund</b>	
Decision .....	#5 (January 31) 947
<b>BNY Mellon Asset Management North America Corporation</b>	
Name Change .....	#4 (January 24) 923
<b>Boliden AB</b>	
Order .....	#44 (October 31) 8536
<b>Border Petroleum Limited</b>	
Cease Trading Order .....	#32 (August 8) 6631
<b>Braille Energy Systems Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#8 (February 21) 1679
<b>Brandes Global Balanced Fund</b>	
Decision .....	#21 (May 23) 4603

<b>Brandes Investment Partners &amp; Co.</b>	
Decision .....	#1 (January 3) 32
Change in Registration Category .....	#16 (April 18) 3849
Decision .....	#21 (May 23) 4603
Decision .....	#24 (June 13) 5174
<b>Brandes U.S. Small Cap Equity Fund</b>	
Decision .....	#21 (May 23) 4603
<b>Braun Developments (B.C.) Ltd.,</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10) .....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085
<b>Braun, Alan</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10) .....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085
<b>Braun, Jerry</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10) .....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085
<b>Bridgeport Alternative Income Limited Partnership</b>	
Decision .....	#5 (January 31) 941
<b>BridgePoint Financial Securities Inc.</b>	
Voluntary Surrender .....	#49 (December 5) 9451
<b>Bridgeport Alternative Income Fund</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Asset Management Inc.</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Canadian Equity Fund</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Canadian Equity LP</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport High Income Fund</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Small and Mid Cap Equity Fund</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Small and Mid Cap Equity LP</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport Strategic Income LP</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport U.S. Equity Fund</b>	
Decision .....	#5 (January 31) 941
<b>Bridgeport U.S. Equity LP</b>	
Decision .....	#5 (January 31) 941

<b>Brightspark Financial Inc.</b>	
New Registration.....	#41 (October 10) 8243
<b>Brilliant Orange Holdings Ltd.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>Bristol Gate Capital Partners Inc.</b>	
Decision .....	#26 (June 27) 5592
<b>Brompton Corp.</b>	
Order.....	#12 (March 21) 2569
Order – s. 1(6) of the OBCA.....	#12 (March 21) 2571
<b>Brompton Funds Limited</b>	
Decision .....	#19 (May 9) 4237
<b>Brookfield Investment Management Inc.</b>	
Name Change.....	#11 (March 14) 2509
<b>Brookfield Property Partners L.P.</b>	
Decision .....	#9 (February 28) 1794
<b>Brookfield Public Securities Group LLC</b>	
Change in Registration Category .....	#29 (July 18) 6269
<b>Brookfield Public Securities Group LLC</b>	
Name Change.....	#11 (March 14) 2509
<b>Brown, Gary</b>	
Notice from the Office of the Secretary .....	#15 (April 11) 3326
Order.....	#15 (April 11) 3374
Notice from the Office of the Secretary .....	#24 (June 13) 5167
Order.....	#24 (June 13) 5222
Notice from the Office of the Secretary .....	#41 (October 10) 8054
Notice from the Office of the Secretary .....	#41 (October 10) 8055
Order.....	#41 (October 10) 8080
Notice from the Office of the Secretary .....	#43 (October 24) 8405
Order.....	#43 (October 24) 8427
Notice from the Office of the Secretary .....	#45 (November 7) 8640
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Notice from the Office of the Secretary .....	#46 (November 14) 8772
<b>BRP Inc.</b>	
Decision .....	#25 (June 20) 5423
<b>BSM Technologies Inc.</b>	
Order.....	#27 (July 4) 5754
Order – s. 1(6) of the OBCA.....	#28 (July 11) 5904
<b>Burdon, Ronald Bradley</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order.....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order.....	#42 (October 17) 8278
<b>Caisse de Dépôt et Placement du Québec</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181

**Caldwell Investment Management Ltd.**

Decision .....	#5 (January 31) 949
Notice from the Office of the Secretary .....	#16 (April 18) 3614
Order .....	#16 (April 18) 3640
Notice of Correction .....	#19 (May 9) 4211
Notice from the Office of the Secretary .....	#19 (May 9) 4217
Decision .....	#22 (May 30) 4773
Notice of Hearing – ss. 127(1), 127(2), and 127.1 .....	#29 (July 18) 6098
Notice from the Office of the Secretary .....	#29 (July 18) 6108
Notice from the Office of the Secretary .....	#30 (July 25) 6292
Notice from the Office of the Secretary .....	#30 (July 25) 6293
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#30 (July 25) 6313
Oral Reasons for Approval of a Settlement – ss. 127, 127.1 .....	#30 (July 25) 6327
Decision .....	#34 (August 22) 6887
Decision .....	#45 (November 7) 8656
Decision .....	#49 (December 5) 9316

**Callidus Capital Corporation**

Decision .....	#41 (October 10) 8074
Order .....	#46 (November 14) 8797
Order – s. 1(6) of the OBCA .....	#47 (November 21) 9012

**Callitas Health Inc.**

Cease Trading Order .....	#29 (July 18) 6161
---------------------------	--------------------

**Calyx Ventures Inc.**

Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#24 (June 13) 5245

**Cambridge Canadian Growth Companies Fund**

Decision .....	#44 (October 31) 8526
----------------	-----------------------

**Canaccord Genuity Growth Corp.**

Decision .....	#10 (March 7) 1969
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#18 (May 2) 4205
Decision .....	#26 (June 27) 5586

**Canaccord Genuity Growth II Corp.**

Decision .....	#36 (September 5) 7202
----------------	------------------------

**Canada Cannabis Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#38 (September 19) 7631
Notice from the Office of the Secretary .....	#38 (September 19) 7636
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Order – ss. 127, 127.1 .....	#40 (October 3) 7953

**Canada Pension Plan Investment Board**

Order – ss. 21, 144 .....	#8 (February 21) 1610
Order .....	#24 (June 13) 5181

**Canadian Cannabis Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#38 (September 19) 7631
Notice from the Office of the Secretary .....	#38 (September 19) 7636

**Canadian Depository for Securities Limited (The)**

Order – s. 147 .....	#5 (January 31) 983
Clearing Agencies .....	#5 (January 31) 1102
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Order – ss. 127, 127.1 .....	#40 (October 3) 7953
Variation Order – s. 144 .....	#51 (December 19) 9709
Clearing Agencies – Notice of Issuance of Variation Order .....	#51 (December 19) 9893

**Canadian Derivatives Clearing Corporation**

Clearing Agencies – Proposed Amendments to the Rules, Risk Manual and Operations Manual with Respect to Liquidity Risk Management – Notice of Request for Comment .....	#45 (November 7) 8749
---	-----------------------

<b>Canadian Equity Class</b>	
Decision .....	#5 (January 31) 955
<b>Canadian Investor Protection Fund</b>	
SROs – Proposed Amendments to the Oversight of CIPF – OSC Notice and Request for Comment .....	#17 (April 25) 3984
<b>Canadian Premium Sand Inc.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#1 (January 3) 295
<b>Canadian Securities Exchange</b>	
Marketplaces – Amendments to Trading System Functionality & Features – Notice and Request for Comment .....	#13 (March 28) 3014
Marketplaces – System Functionality – GMF Start Time – Notice of Approval .....	#21 (May 23) 4747
Marketplaces – System Functionality – Closing Price Session – Notice of Approval .....	#39 (September 26) 7886
Marketplaces – Significant Change Subject to Public Comment – Amendments to Trading System Functionality & Features – Notice and Request for Comment .....	#49 (December 5) 9453
<b>Canamex Gold Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>CanDeal.ca Inc.</b>	
Decision .....	#4 (January 24) 755
<b>Candusso, Christopher</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order .....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order .....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467
<b>Candusso, Claudio</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order .....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order .....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467
<b>Cannabis Strategies Acquisition Corp.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#14 (April 4) 3315
<b>CannTrust Holdings Inc.</b>	
Cease Trading Order .....	#34 (August 22) 6917
Cease Trading Order .....	#35 (August 29) 7047
Cease Trading Order .....	#36 (September 5) 7277
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#38 (September 19) 7665
Cease Trading Order .....	#39 (September 26) 7811
Cease Trading Order .....	#40 (October 3) 7977
Cease Trading Order .....	#41 (October 10) 8103
Cease Trading Order .....	#42 (October 17) 8283
Cease Trading Order .....	#43 (October 24) 8441
Cease Trading Order .....	#44 (October 31) 8589
Cease Trading Order .....	#45 (November 7) 8691
Cease Trading Order .....	#46 (November 14) 8813
Cease Trading Order .....	#47 (November 21) 9013
Cease Trading Order .....	#48 (November 28) 9169
Cease Trading Order .....	#49 (December 5) 9369
Cease Trading Order .....	#50 (December 12) 9503
Cease Trading Order .....	#51 (December 19) 9711

<b>Canoe Canadian Corporate Bond Fund</b>	
Decision .....	#9 (February 28) 1785
<b>Canoe Canadian Monthly Income Class</b>	
Decision .....	#9 (February 28) 1785
<b>Canoe Canadian Small Mid Cap Fund</b>	
Decision .....	#36 (September 5) 7166
Decision .....	#36 (September 5) 7172
<b>Canoe Canadian Small Mid Cap Portfolio Class</b>	
Decision .....	#36 (September 5) 7166
Decision .....	#36 (September 5) 7172
<b>Canoe Equity Income Class</b>	
Decision .....	#9 (February 28) 1785
<b>Canoe Financial LP</b>	
Decision .....	#9 (February 28) 1785
Decision .....	#36 (September 5) 7166
Decision .....	#36 (September 5) 7172
Decision .....	#46 (November 14) 8781
<b>Canoe Floating Rate Income Fund</b>	
Decision .....	#9 (February 28) 1785
<b>Canpro Decorating Products Cooperative</b>	
Decision .....	#33 (August 15) 6738
<b>Cansortium Inc.</b>	
Decision .....	#12 (March 21) 2551
<b>Cantor Fitzgerald Canada Corporation</b>	
Decision .....	#50 (December 12) 9477
<b>Capital One, National Association</b>	
Decision .....	#3 (January 17) 574
<b>Captor Capital Corp.</b>	
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#46 (November 14) 8813
<b>Cargojet Inc.</b>	
Decision .....	#19 (May 9) 4247
<b>Carmanah Technologies Corporation</b>	
Order .....	#38 (September 19) 7657
<b>CARS and PARS Programme™ (The)</b>	
Decision .....	#27 (July 4) 5736
<b>Caruso, Patrick Jelf</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 555
Order .....	#3 (January 17) 593
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#5 (January 31) 938
Order .....	#5 (January 31) 980
Notice from the Office of the Secretary .....	#7 (February 14) 1315
Reasons for Decision on Motion .....	#7 (February 14) 1347
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#44 (October 31) 8509
Order – s. 127(1) .....	#44 (October 31) 8535
Reasons and Decision – s. 127(1) .....	#44 (October 31) 8543
<b>Cascades inc</b>	
Decision .....	#49 (December 5) 9311

<b>Cassio Capital Partners Inc.</b>	
Voluntary Surrender .....	#31 (August 1) 6609
<b>CAT Strategic Metals Corporation</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Catalyst Group Inc. (The)</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>CBLT Inc.</b>	
Cease Trading Order .....	#41 (October 10) 8103
<b>CC&amp;L Alternative Canadian Equity</b>	
Decision .....	#22 (May 30) 4802
<b>CC&amp;L Alternative Global Equity Fund</b>	
Decision .....	#22 (May 30) 4802
<b>CC&amp;L Alternative Income Fund</b>	
Decision .....	#22 (May 30) 4802
<b>CCM FS, LLC</b>	
Ruling – s. 38 of the CFA .....	#4 (January 24) 793
<b>CDCC</b>	
Clearing Agencies – Proposed Amendments to Rule A-9 Adjustments in Contract Terms – OSC Staff Notice of Request for Comment .....	#9 (February 28) 1953
Clearing Agencies – Proposed Amendments to the Rules and Operations Manual to Shorten Time Frames for Monthly Options Expiry – OSC Staff Notice of Request for Comment .....	#20 (May 16) 4588
Clearing Agencies – Proposed Amendments to Rule C-14 to Modify the Delivery Standards of the Five-Year Government of Canada Bond Future Contracts – OSC Staff Notice of Request for Comment .....	#22 (May 30) 4957
Clearing Agencies – Amendments to the Rules, Risk Manual and Operations Manual of the Canadian Derivatives Clearing Corporation for Implementation of the New Risk System – Notice of Commission Approval .....	#23 (June 6) 5160
Clearing Agencies – Amendments to the Rules and Operations Manual of the Canadian Derivatives Clearing Corporation to Shorten Time Frames for Monthly Options Expiry – Notice of Commission Approval .....	#30 (July 25) 6455
Clearing Agencies – Amendments to Rule C-14 to Modify the Delivery Standards of the Five-Year Government of Canada Bond Future Contracts (CGF) – Notice of Commission Approval .....	#31 (August 1) 6611
Clearing Agencies – Proposed Amendments to the Rules, Risk Manual and Operations Manual with Respect to Liquidity Risk Management – Notice of Request for Comment .....	#45 (November 7) 8749
<b>CDS Clearing and Depository Services Inc.</b>	
Order – s. 147 .....	#5 (January 31) 983
Clearing Agencies .....	#5 (January 31) 1102
Clearing Agencies – Proposed Material Amendments to CDS Rules – China Bond Link – Notice of Withdrawal .....	#29 (July 18) 6271
Clearing Agencies – Proposed Material Amendments to CDS Fee Schedule – China Bond Link – Notice of Withdrawal .....	#29 (July 18) 6272
Clearing Agencies – Material Amendments to CDS Rules Related to Liquidity Risk Management – Notice of Request for Comment .....	#45 (November 7) 8749
Clearing Agencies – Material Amendments to CDS External Procedures Related to Liquidity Risk Management – OSC Staff Notice of Request for Comment .....	#50 (December 12) 9660
Variation Order – s. 144 .....	#51 (December 19) 9709
Clearing Agencies – Notice of Issuance of Variation Order .....	#51 (December 19) 9893
Clearing Agencies – Proposed Significant Change to Eliminate CDS Fee Rebates and Proposed Amendments to Eliminate Network Connectivity Fees – OSC Staff Notice of Request for Comment .....	#51 (December 19) 9898

<b>CDS Innovations Inc.</b>	
Order – s. 147 .....	#5 (January 31) 983
<b>CDS</b>	
Clearing Agencies – Material Amendments to CDS Participant Rules Related to Lines of Credit Requirements for Non-Contributing Receivers of Credit Making Canadian Dollar Settlements – Notice of Commission Approval .....	#2 (January 10) 546
Clearing Agencies – Technical Amendments to CDS Procedures – Housekeeping Changes – April 2019 – Notice of Effective Date.....	#20 (May 16) 4589
Clearing Agencies – Material Amendments to CDS External Procedures Related to Liquidity Risk Management – OSC Staff Notice of Request for Comment.....	#50 (December 12) 9660
Clearing Agencies – Technical Amendment to CDS Procedures – Application for Participation Changes – October 2019 – Notice of Effective Date 9892	
Clearing Agencies – Proposed Significant Change to Eliminate CDS Fee Rebates and Proposed Amendments to Eliminate Network Connectivity Fees – OSC Staff Notice of Request for Comment....	#51 (December 19) 9898
<b>CellCube Energy Storage Systems Inc.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Cheetah Canyon Resources Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Chemesis International Inc.</b>	
Cease Trading Order .....	#45 (November 7) 8691
Cease Trading Order .....	#46 (November 14) 8813
<b>Cheng, Benedict</b>	
Notice from the Office of the Secretary .....	#6 (February 7) 1116
Reasons and Decision .....	#6 (February 7) 1148
Notice of Hearing – s. 144.....	#42 (October 17) 8249
Notice from the Office of the Secretary .....	#42 (October 17) 8256
Notice from the Office of the Secretary .....	#43 (October 24) 8407
Order – s. 144 .....	#43 (October 24) 8431
Reasons and Decision – s. 144 .....	#43 (October 24) 8439
<b>Chickalo, Tawlia</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 556
Order.....	#3 (January 17) 595
Notice from the Office of the Secretary .....	#15 (April 11) 3325
Order.....	#15 (April 11) 3370
Notice from the Office of the Secretary .....	#18 (May 2) 3995
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order.....	#18 (May 2) 4035
Order – s. 127(8).....	#18 (May 2) 4037
Notice from the Office of the Secretary .....	#28 (July 11) 5886
Order.....	#28 (July 11) 5901
Reasons and Decision – s. 127(1).....	#28 (July 11) 5905
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order – ss. 127(1), 127.1 .....	#40 (October 3) 7941
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1.....	#40 (October 3) 7961
<b>Children’s Education Funds Inc.</b>	
Decision .....	#12 (March 21) 2557
<b>Children’s Education Trust of Canada</b>	
Decision .....	#12 (March 21) 2557
<b>Christina Baker Trust for Grandchildren</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708



<b>Chronicle Investments Ltd.</b>	
Change in Registration Category .....	#1 (January 3) 285
<b>CI American Equity Fund</b>	
Decision .....	#44 (October 31) 8526
<b>CI Can-Am Small Cap Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>CI Global Small Companies Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>CI Global Small Companies Fund</b>	
Decision .....	#44 (October 31) 8526
<b>CI Investments Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
Decision .....	#25 (June 20) 5434
Decision .....	#40 (October 3) 7922
Decision .....	#44 (October 31) 8526
<b>CI Lawrence Park Alternative Investment Grade Credit Fund</b>	
Decision .....	#40 (October 3) 7922
<b>CI Marret Alternative Absolute Return Bond Fund</b>	
Decision .....	#40 (October 3) 7922
<b>CI Munro Alternative Global Growth Fund</b>	
Decision .....	#40 (October 3) 7922
<b>CIBC Active Investment Grade Corporate Bond ETF</b>	
Decision .....	#2 (January 10) 305
<b>CIBC Active Investment Grade Floating Rate Bond ETF</b>	
Decision .....	#2 (January 10) 305
<b>CIBC Asset Management Inc.</b>	
Decision .....	#2 (January 10) 305
<b>CIBC Mellon Trust Company</b>	
Decision .....	#19 (May 9) 4266
<b>CIBC Multifactor Canadian Equity ETF</b>	
Decision .....	#2 (January 10) 305
<b>CIBC Multifactor U.S. Equity ETF</b>	
Decision .....	#2 (January 10) 305
<b>CIBC World Markets Corp.</b>	
Decision .....	#49 (December 5) 9305
<b>CIBC World Markets Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order .....	#24 (June 13) 5181
Decision .....	#27 (July 4) 5736
Decision .....	#49 (December 5) 9305
<b>Cinaport Acquisition Corp. II</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#12 (March 21) 2793
<b>CIPF</b>	
SROs – Amendments to CIPF By-Law No. 1 – Notice of Commission Approval .....	#10 (March 7) 2155
<b>Citigroup Global Markets Inc.</b>	
Decision .....	#23 (June 6) 4991

<b>Citizens Bank, National Association</b>	
Decision .....	#13 (March 28) 2814
<b>Claim Post Resources Inc.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#1 (January 3) 295
<b>Claret Asset Management Corporation</b>	
Decision .....	#23 (June 6) 5005
<b>Clarocity Corporation</b>	
Cease Trading Order .....	#19 (May 9) 4301
Clearing Agencies – Material Amendments to CDS Rules Related to Tiered Participation Information	
Sharing – Notice of Request for Comment.....	#45 (November 7) 8750
<b>Clearpoint Global Dividend Fund</b>	
Decision .....	#45 (November 7) 8656
<b>Clearpoint Short Term Income Fund</b>	
Decision .....	#34 (August 22) 6887
<b>Clearpool Execution Services (Canada) Limited</b>	
New Registration.....	#6 (February 7) 1305
<b>ClearStream Energy Services Inc.</b>	
Decision .....	#49 (December 5) 9319
<b>Clifton Blake Asset Management Ltd.</b>	
Notice of Hearing with Related Statement of Allegations – s. 127 .....	#13 (March 28) 2800
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Order with Related Settlement Agreement – s. 127.....	#14 (April 4) 3057
Oral Reasons for Approval of a Settlement – s. 127 .....	#14 (April 4) 3133
<b>Clifton Blake Mortgage Fund Trust</b>	
Notice of Hearing with Related Statement of Allegations – s. 127 .....	#13 (March 28) 2800
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Order with Related Settlement Agreement – s. 127.....	#14 (April 4) 3057
Oral Reasons for Approval of a Settlement – s. 127 .....	#14 (April 4) 3133
<b>CMC Markets Canada Inc.</b>	
Decision .....	#4 (January 24) 767
<b>CME</b>	
Trade Repositories – Approval to Act as a Trade Repository in Ontario to Which Reporting Counterparties Can Report Trades in the Equity Asset Class – Notice of Commission Approval.....	#21 (May 23) 4751
<b>CNSX Markets Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1560
Marketplaces – Notice of Variation Orders.....	#8 (February 21) 1758
<b>Cobalt 27 Capital Corp.</b>	
Order .....	#48 (November 28) 9162
<b>Cockfield Porretti Cunningham Investment Counsel Inc.</b>	
Voluntary Surrender .....	#1 (January 3) 285
<b>CoinLaunch Corp.</b>	
Notice of Hearing – ss. 127, 127.1.....	#30 (July 25) 6280
Notice from the Office of the Secretary .....	#30 (July 25) 6293
Notice from the Office of the Secretary .....	#31 (August 1) 6459
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#31 (August 1) 6487
Oral Reasons for Approval of Settlement – ss. 127, 127.1 .....	#31 (August 1) 6515

<b>Colwill, Matthew</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
<b>Commodity Futures Act, R.S.O. 1990, c. C.20</b>	
Notice of Amendments .....	#3 (January 17) 549
Legislation .....	#3 (January 17) 737
<b>Community Forward Fund Assistance Corp.</b>	
Change in Registration Category .....	#48 (November 28) 9293
<b>Companion Policy 11-102CP Passport System</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 13-103 [System Replacement Rule] (Proposed)</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 13-502CP Fees</b>	
Rules and Policies .....	#20 (May 16) 4479
Notice .....	#29 (July 18) 6097
Rules and Policies .....	#29 (July 18) 6164
<b>Companion Policy 13-503CP (Commodity Futures Act) Fees</b>	
Rules and Policies .....	#20 (May 16) 4479
Notice .....	#29 (July 18) 6097
Rules and Policies .....	#29 (July 18) 6166
<b>Companion Policy 21-101CP Marketplace Operation</b>	
Request for Comments .....	#16 (April 18) 3645
<b>Companion Policy 25-102 Designated Benchmarks and Benchmark Administrators</b>	
Request for Comments .....	#11 (March 14) 2179
<b>Companion Policy 31-103CP Registration Requirements, Exemptions and Ongoing Registrant Obligations</b>	
CSA Second Notice and Request for Comments relating to Syndicated Mortgages .....	#12 (March 21) 2607
Supplement 1 .....	#40 (October 3) 1
<b>Companion Policy 41-101 General Prospectus Requirements</b>	
Request for Comment .....	#36 (September 5) 7279
<b>Companion Policy 41-101CP General Prospectus Requirements</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>Companion Policy 43-101CP Standards of Disclosure for Mineral Projects</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 44-101 Short Form Prospectus Distributions</b>	
Request for Comment .....	#36 (September 5) 7279
<b>Companion Policy 44-101CP Short Form Prospectus Distributions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 44-102CP Shelf Distributions</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#19 (May 9) 4305
<b>Companion Policy 44-103CP Post-Receipt Pricing</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 45-102CP Resale of Securities</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 45-106CP Prospectus Exemptions</b>	
CSA Second Notice and Request for Comments relating to Syndicated Mortgages .....	#12 (March 21) 2607

<b>Companion Policy 45-106CP Prospectus Exemptions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 45-108CP Crowdfunding</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 51-101CP Standards of Disclosure for Oil and Gas Activities</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 51-102CP Continuous Disclosure Obligations</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comment .....	#36 (September 5) 7279
<b>Companion Policy 51-105CP Issuers Quoted in the U.S. Over-the-Counter Markets</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 52-107CP Acceptable Accounting Principles and Auditing Standards</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 52-108CP Auditor Oversight</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 52-109CP Certification of Disclosure in Issuers' Annual and Interim Filings</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 54-101CP Communication with Beneficial Owners of Securities of a Reporting Issuer</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 61-101CP Protection of Minority Security Holders in Special Transactions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 71-102CP Continuous Disclosure and Other Exemptions Relating Foreign Issuers</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Companion Policy 81-101CP Mutual Fund Prospectus Disclosure</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>Companion Policy 81-102CP Investment Funds</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>Companion Policy 81-106CP Investment Fund Continuous Disclosure</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>Compass Gold Corporation</b>	
Order – s. 1(11)(b) .....	#1 (January 3) 68
<b>Compel Capital Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#21 (May 23) 4633
<b>Concentra Bank</b>	
Decision .....	#3 (January 17) 563
<b>Connacher Oil and Gas Limited</b>	
Decision .....	#34 (August 22) 6891
<b>Connor, Clark &amp; Lunn Funds Inc. et al.</b>	
Decision .....	#22 (May 30) 4802
<b>Co-Operation Agreement Concerning Innovative Fintech Businesses with the Monetary Authority of Singapore</b>	
Notice .....	#46 (November 14) 8759

<b>CordovaCann Corp.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Cornish, Cameron Edward</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 555
Order .....	#3 (January 17) 593
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#5 (January 31) 938
Order .....	#5 (January 31) 980
Notice from the Office of the Secretary .....	#7 (February 14) 1315
Reasons for Decision on Motion .....	#7 (February 14) 1347
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#44 (October 31) 8509
Order – s. 127(1).....	#44 (October 31) 8535
Reasons and Decision – s. 127(1).....	#44 (October 31) 8543
<b>Corsurex Resource Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#21 (May 23) 4633
<b>Cortex Business Solutions Inc.</b>	
Order.....	#10 (March 7) 1985
<b>Cortland Credit Group Inc.</b>	
Decision .....	#34 (August 22) 6887
<b>Corton Capital Inc.</b>	
New Registration.....	#13 (March 28) 3009
Decision .....	#41 (October 10) 8067
<b>Cotinga Pharmaceuticals Inc.</b>	
Cease Trading Order .....	#41 (October 10) 8103
Cease Trading Order .....	#46 (November 14) 8813
<b>Counsel Income Portfolio</b>	
Decision .....	#33 (August 15) 6733
<b>Counsel Portfolio Services Inc.</b>	
Decision .....	#33 (August 15) 6733
<b>Covenant Securities Corp.</b>	
Name Change.....	#32 (August 8) 6707
Decision .....	#36 (September 5) 7191
<b>Cowan Asset Management Limited</b>	
Decision .....	#49 (December 5) 9313
<b>Craig, Brian</b>	
Decision .....	#1 (January 3) 48
<b>Crimson Asset Management Ltd.</b>	
Approval – s. 213(3)(b) of the LTCA .....	#5 (January 31) 1108
<b>Critical Control Energy Services Corp.</b>	
Order.....	#40 (October 3) 7946
<b>Crown Capital Partners Inc.</b>	
Change in Registration Category .....	#30 (July 25) 6437
<b>CryptoStar Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#21 (May 23) 4633

**CryptoWealth**

Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1) .....	#46 (November 14) 8792

**CSA Multilateral Staff Notice 51-359 – Corporate Governance Related Disclosure Expectations for Reporting Issuers in the Cannabis Industry**

Notice .....	#46 (November 14) 8767
--------------	------------------------

**CSA Multilateral Staff Notice 58-311 Report on Fifth Staff Review of Disclosure regarding Women on Boards and in Executive Officer Positions**

Notice .....	#40 (October 3) 7903
--------------	----------------------

**CSA Multilateral Staff Notice 91-306 Compliance Review Findings for Reporting Counterparties**

Notice .....	#23 (June 6) 4965
--------------	-------------------

**CSA Notice and Request for Comment – Proposed Amendments to National Instrument 52-108 Auditor Oversight and Proposed Changes to Companion Policy 52-108 Auditor Oversight**

Notice .....	#40 (October 3) 7889
--------------	----------------------

**CSA Staff Notice 11-340 Extension of Comment Period – CSA Staff Notice and Request for Comment 23-323 Trading Fee Rebate Pilot Study**

Notice .....	#3 (January 17) 553
--------------	---------------------

**CSA Staff Notice 11-341 Withdrawal of Staff Notices**

Notice .....	#10 (March 7) 1957
--------------	--------------------

**CSA Staff Notice 13-315 (Revised) – Securities Regulatory Authority Closed Dates 2020**

Notice .....	#50 (December 12) 9463
--------------	------------------------

**CSA Staff Notice 21-325 Follow-up on Marketplace Systems Incidents**

Notice .....	#12 (March 21) 2521
--------------	---------------------

**CSA Staff Notice 21-326 Guidance for Reporting Material Systems Incidents**

Notice .....	#12 (March 21) 2524
--------------	---------------------

**CSA Staff Notice 23-324 Order Protection Rule: Market Share Threshold for the period April 1, 2019 to March 31, 2020**

Notice .....	#5 (January 31) 935
--------------	---------------------

**CSA Staff Notice 31-354 Suggested Practices for Engaging with Older or Vulnerable Clients**

Notice .....	#26 (June 27) 5555
--------------	--------------------

**CSA Staff Notice 31-355 – OBSI Joint Regulators Committee Annual Report for 2018**

Notice .....	#33 (August 15) 6729
--------------	----------------------

**CSA Staff Notice 31-356 – Guidance on Compliance Consultants Engaged by Firms Following a Regulatory Decision**

Notice .....	#34 (August 22) 6869
--------------	----------------------

**CSA Staff Notice 45-324 Update on the Start-up Crowdfunding Registration and Prospectus Exemptions**

Notice .....	#8 (February 21) 1509
--------------	-----------------------

**CSA Staff Notice 45-325 Filing Requirement and Fee Payable for Exempt Distributions involving Fully Managed Accounts**

Notice .....	#6 (February 7) 1111
--------------	----------------------

<b>CSA Staff Notice 45-326 – Update on: Amendments to National Instrument 45-106 Prospectus Exemptions and National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations and Changes to Companion Policy 45-106CP Prospectus Exemptions and Companion Policy 31-103CP Registration Requirements, Exemptions and Ongoing Registrant Obligations relating to Syndicated Mortgages</b>	
Notice .....	#51 (December 19) 9665
<b>CSA Staff Notice 51-358 – Reporting of Climate Change-related Risks</b>	
Notice .....	#32 (August 8) 6617
<b>CSA Staff Notice 81-332 – Next Steps on Proposals to Prohibit Certain Investment Fund Embedded Commissions</b>	
Notice .....	#51 (December 19) 9669
<b>CSA Staff Notice 95-301 Margin and Collateral Requirements for Non-Centrally Cleared Derivatives</b>	
Notice .....	#34 (August 22) 6879
<b>CSA Staff Notice and Request for Comment 23-323 Trading Fee Rebate Pilot Study</b>	
Notice .....	#3 (January 17) 553
<b>CSE</b>	
Marketplaces – Policy 6 Distributions – Summary of Comments and Notice of Approval .....	#8 (February 21) 1759
Marketplaces – Amendments to Trading System Functionality & Features – Notice and Request for Comment .....	#32 (August 8) 6710
Marketplaces – Notice of Withdrawal of Proposed Amendments to Trading Rules .....	#32 (August 8) 6713
Marketplaces – Significant Change Subject to Public Comment – Amendments to Trading System Functionality & Features – Notice and Request for Comment .....	#49 (December 5) 9453
<b>Currenex Multilateral Trading Facility</b>	
Order – s. 147 .....	#30 (July 25) 6307
Trade Repositories – Application for Exemptive Relief – Notice of Commission Interim Order .....	#30 (July 25) 6455
<b>Current Water Technologies Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#20 (May 16) 4477
<b>Cybervision MMX Inc.</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1) .....	#46 (November 14) 8792
<b>Cygnus Investment Partners LP</b>	
Name change .....	#26 (June 27) 5719
<b>Daley, Sean</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1) .....	#46 (November 14) 8792
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#48 (November 28) 9112
Notice from the Office of the Secretary .....	#48 (November 28) 9119
Notice from the Office of the Secretary .....	#51 (December 19) 9680

<b>Davidson, Darren Scott</b>	
Notice from the Office of the Secretary .....	#2 (January 10) 303
Order – ss. 127(1), 127(10).....	#2 (January 10) 355
Reasons and Decision – ss. 127(1), 127(10).....	#2 (January 10) 359
<b>Davis, Larry Keith</b>	
Notice from the Office of the Secretary .....	#4 (January 24) 751
Order – ss. 127(1), 127(10).....	#4 (January 24) 789
Reasons and Decision – ss. 127(1), 127(10).....	#4 (January 24) 812
<b>Daya, Qasim (KC)</b>	
Notice of Hearing with Related Statement of Allegations – s. 127 .....	#13 (March 28) 2800
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Order with Related Settlement Agreement – s. 127 .....	#14 (April 4) 3057
Oral Reasons for Approval of a Settlement – s. 127 .....	#14 (April 4) 3133
<b>De Monte-Whelan, Mary Anne</b>	
Authorization Order – s. 3.5(3).....	#19 (May 9) 4289
<b>Debus, Joseph</b>	
Notice of Hearing – ss. 8, 21.7 .....	#19 (May 9) 4212
Notice from the Office of the Secretary .....	#19 (May 9) 4217
Notice from the Office of the Secretary .....	#22 (May 30) 4760
Order.....	#22 (May 30) 4803
Notice from the Office of the Secretary .....	#35 (August 29) 7041
Order – s. 21.7 .....	#35 (August 29) 7045
<b>Delivra Corp.</b>	
Order.....	#32 (August 8) 6628
Order – s. 1(6) of the OBCA.....	#36 (September 5) 7176
<b>Depository Trust &amp; Clearing Corporation (The)</b>	
Decision .....	#13 (March 28) 2811
<b>Desert Mountain Energy Corp.</b>	
Cease Trading Order .....	#11 (March 14) 2171
Cease Trading Order .....	#12 (March 21) 2575
<b>Desjardins Financial Corporation</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181
<b>Desjardins Investments Inc.</b>	
Decision .....	#26 (June 27) 5574
<b>Desjardins Securities Inc.</b>	
Decision .....	#27 (July 4) 5736
<b>Desjardins SocieTerra Global Bond Fund</b>	
Decision .....	#26 (June 27) 5574
<b>Digimax Capital Corp.</b>	
New Registration.....	#26 (June 27) 5719
<b>Digital Consumer Dividend Fund</b>	
Decision .....	#39 (September 26) 7799
<b>Dionymed Brands Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#50 (December 12) 9503



<b>Distinct Infrastructure Group Inc.</b>	
Cease Trading Order .....	#8 (February 21) 1679
Cease Trading Order .....	#9 (February 28) 1809
Cease Trading Order .....	#10 (March 7) 1991
Cease Trading Order .....	#30 (July 25) 6331
<b>Divestco Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>DMDCnects Services Inc.</b>	
Order .....	#17 (April 25) 3880
<b>DMG Blockchain Solutions Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#36 (September 5) 7277
Revocation Order .....	#40 (October 3) 7950
<b>DNI Metals Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>DoubleLine Income Solutions Trust</b>	
Decision .....	#3 (January 17) 557
<b>DowDuPont Inc.</b>	
Decision .....	#19 (May 9) 4227
<b>DPN Capital Inc.</b>	
Consent to Suspension (Pending Surrender).....	#48 (November 28) 9293
<b>Driehaus Capital Management LLC</b>	
Voluntary Surrender .....	#32 (August 8) 6707
<b>Driscoll, Sean</b>	
Notice of Hearing – s. 144.....	#36 (September 5) 7157
Notice from the Office of the Secretary .....	#36 (September 5) 7158
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Order – s. 144 .....	#39 (September 26) 7809
<b>Dundee Energy Limited</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Dundee Securities Ltd.</b>	
Amalgamation .....	#1 (January 3) 285
<b>Dunk, Michelle</b>	
Notice from the Office of the Secretary .....	#5 (January 31) 939
Order – ss. 127(1), 127(10).....	#5 (January 31) 985
Reasons and Decision – ss. 127(1), 127(10).....	#5 (January 31) 997
<b>Durham Asset Management Inc.</b>	
New Registration.....	#6 (February 7) 1305
<b>DX Financial Securities Ltd.</b>	
New Registration.....	#14 (April 4) 3311
<b>Dynamic Credit Absolute Return II Fund</b>	
Decision .....	#47 (November 21) 8993
<b>Dynex Power Inc.</b>	
Order.....	#18 (May 2) 4033
<b>EastSiberia Plc</b>	
Cease Trading Order .....	#7 (February 14) 1353
<b>Echelon Wealth Partners Inc.</b>	
Amalgamation .....	#1 (January 3) 285

<b>eCobalt Solutions Inc.</b>	
Order.....	#40 (October 3) 7954
<b>EGF Theramed Health Corp.</b>	
Cease Trading Order .....	#45 (November 7) 8691
Cease Trading Order .....	#49 (December 5) 9369
<b>Eight Solutions Inc.</b>	
Cease Trading Order .....	#24 (June 13) 5245
<b>EI-Bouji, Issam</b>	
Notice from the Office of the Secretary .....	#1 (January 3) 19
Notice from the Office of the Secretary .....	#9 (February 28) 1769
Notice from the Office of the Secretary .....	#9 (February 28) 1771
Notice from the Office of the Secretary .....	#18 (May 2) 3997
Order.....	#18 (May 2) 4037
Notice from the Office of the Secretary .....	#20 (May 16) 4433
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order.....	#22 (May 30) 4819
Notice from the Office of the Secretary .....	#23 (June 6) 4989
Reasons for Decision .....	#23 (June 6) 5065
Notice from the Office of the Secretary .....	#24 (June 13) 5166
Notice from the Office of the Secretary .....	#26 (June 27) 5564
Order.....	#26 (June 27) 5625
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Order.....	#29 (July 18) 6139
Notice from the Office of the Secretary .....	#41 (October 10) 8054
Reasons and Decision – s. 127 .....	#41 (October 10) 8094
Notice from the Office of the Secretary .....	#42 (October 17) 8256
Notice from the Office of the Secretary .....	#43 (October 24) 8405
Order – ss. 127(1), 127.1 .....	#43 (October 24) 8420
<b>Eldorado Gold Corporation</b>	
Decision .....	#42 (October 17) 8259
<b>Electrameccanica Vehicles Corp.</b>	
Decision .....	#1 (January 3) 37
<b>Elementos Limited</b>	
Cease Trading Order .....	#48 (November 28) 9169
Cease Trading Order .....	#49 (December 5) 9369
<b>Emblem Corp.</b>	
Decision .....	#19 (May 9) 4252
<b>Emera Incorporated</b>	
Decision .....	#39 (September 26) 7793
<b>Emerald Health Therapeutics, Inc.</b>	
Decision .....	#15 (April 11) 3356
<b>Emerge Ark AI &amp; Big Data ETF</b>	
Decision .....	#26 (June 27) 5577
<b>Emerge Ark Autonomous Tech &amp; Robotics ETF</b>	
Decision .....	#26 (June 27) 5577
<b>Emerge Ark Fintech Innovation ETF</b>	
Decision .....	#26 (June 27) 5577
<b>Emerge Ark Genomics &amp; Biotech ETF</b>	
Decision .....	#26 (June 27) 5577
<b>Emerge Ark Global Disruptive Innovation ETF</b>	
Decision .....	#26 (June 27) 5577

<b>Emerge Canada Inc.</b>	
New Registration.....	#25 (June 20) 5545
Decision.....	#26 (June 27) 5577
<b>Empower Clinics Inc.</b>	
Cease Trading Order.....	#19 (May 9) 4301
Cease Trading Order.....	#24 (June 13) 5245
<b>Enbridge Inc.</b>	
Decision.....	#7 (February 14) 1325
<b>Enbridge Income Fund</b>	
Order.....	#4 (January 24) 792
<b>Encana Corporation</b>	
Decision.....	#7 (February 14) 1329
<b>Endocan Solutions Inc.</b>	
Cease Trading Order.....	#43 (October 24) 8441
Order – s. 144.....	#44 (October 31) 8540
<b>Energold Drilling Corp.</b>	
Cease Trading Order.....	#41 (October 10) 8103
<b>Energy Conversion Technologies Inc.</b>	
Order – s. 144.....	#49 (December 5) 9323
<b>Enfield Exploration Corp.</b>	
Cease Trading Order.....	#41 (October 10) 8103
Cease Trading Order.....	#42 (October 17) 8283
<b>Ensoleillement Inc.</b>	
Order – ss. 21, 144.....	#8 (February 21) 1580
<b>EnTrust Focus Partners LP</b>	
Voluntary Surrender.....	#8 (February 21) 1755
<b>Environmental Waste International Inc.</b>	
Cease Trading Order.....	#19 (May 9) 4301
Cease Trading Order.....	#32 (August 8) 6631
Cease Trading Order.....	#46 (November 14) 8813
Cease Trading Order.....	#47 (November 21) 9013
<b>Equium Capital Management Inc.</b>	
Change in Registration Category.....	#32 (August 8) 6707
Consent to Suspension (Pending Surrender).....	#44 (October 31) 8635
Voluntary Surrender.....	#48 (November 28) 9293
<b>Espial Group Inc.</b>	
Cease Trading Order.....	#37 (September 12) 7383
Cease Trading Order.....	#41 (October 10) 8103
Order.....	#43 (October 24) 8424
Revocation Order.....	#43 (October 24) 8425
<b>Esrey Resources Ltd.</b>	
Cease Trading Order.....	#15 (April 11) 3375
Cease Trading Order.....	#23 (June 6) 5075
<b>Essilor International S.A.</b>	
Decision.....	#7 (February 14) 1334
<b>Eureka 93 Inc.</b>	
Cease Trading Order.....	#37 (September 12) 7383
<b>European Commercial Real Estate Investment Trust</b>	
Decision.....	#14 (April 4) 3057

<b>Eviana Health Corporation</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Evolve Active Core Fixed Income ETF</b>	
Decision .....	#4 (January 24) 783
<b>Evolve Blockchain ETF</b>	
Decision .....	#4 (January 24) 783
<b>Evolve Funds Group Inc.</b>	
Decision .....	#4 (January 24) 783
Decision .....	#45 (November 7) 8641
<b>Evolve Marijuana ETF</b>	
Decision .....	#4 (January 24) 783
<b>Evolving Gold Corp.</b>	
Cease Trading Order .....	#32 (August 8) 6631
Cease Trading Order .....	#46 (November 14) 8813
<b>Evovest Inc.</b>	
New Registration .....	#3 (January 17) 745
<b>Ewing Morris &amp; Co. Investment Partners Ltd.</b>	
Notice of Hearing – ss. 8, 21.7 and 127 .....	#11 (March 14) 2159
Notice from the Office of the Secretary .....	#11 (March 14) 2161
Notice from the Office of the Secretary .....	#12 (March 21) 2531
Order .....	#12 (March 21) 2572
Notice of Withdrawal .....	#16 (April 18) 3603
Notice from the Office of the Secretary .....	#16 (April 18) 3612
Decision .....	#42 (October 17) 8275
<b>Excel Funds Management Inc.</b>	
Consent to Suspension (Pending Surrender) .....	#2 (January 10) 539
Voluntary Surrender .....	#45 (November 7) 8747
<b>Excel Investment Counsel Inc.</b>	
Consent to Suspension (Pending Surrender) .....	#2 (January 10) 539
Voluntary Surrender .....	#45 (November 7) 8747
<b>Exemplar Diversified Portfolio</b>	
Decision .....	#26 (June 27) 5599
<b>Exemplar Leaders Fund</b>	
Decision .....	#12 (March 21) 2559
<b>Extuple Management Inc.</b>	
Consent to Suspension (Pending Surrender) .....	#48 (November 28) 9293
<b>Fabric Luxembourg Holdings S.À.R.L.</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Fakhry, Frank</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order .....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order .....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467

**Fassman, Steven**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10).....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085

**Fenn, Garnet W.**

Authorization Order – s. 3.5(3).....	#4 (January 24) 790
Authorization Order – s. 3.5(3).....	#7 (February 14) 1346
Authorization Order – s. 3.5(3).....	#19 (May 9) 4289

**Fidelity Investments Canada ULC**

Decision #23 (June 6) 4999	
Decision .....	#38 (September 19) 7647
Change in Registration Category .....	#38 (September 19) 7777

**Fidelity North American Directional Long Short Fund**

Decision .....	#38 (September 19) 7647
----------------	-------------------------

**Fiduciary Trust Company of Canada**

Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
Decision .....	#51 (December 19) 9691

**Fielding, John**

Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order.....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order.....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467

**Fiera Capital Core Canadian Equity Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital Corporation**

Decision .....	#14 (April 4) 3067
Amalgamation.....	#37 (September 12) 7621
Decision .....	#40 (October 3) 7934
Decision .....	#40 (October 3) 7936

**Fiera Capital Defensive Global Equity Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital Diversified Bond Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital Equity Growth Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital Fund Management Inc.**

Amalgamation.....	#37 (September 12) 7621
-------------------	-------------------------

**Fiera Capital Fund Management Inc./Gestion De Fonds Fiera Capital Inc.**

Name Change.....	#35 (August 29) 7151
------------------	----------------------

**Fiera Capital Global Equity Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital High Income Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

**Fiera Capital Income and Growth Fund**

Decision .....	#14 (April 4) 3067
----------------	--------------------

<b>Fiera Capital International Equity Fund</b>	
Decision .....	#14 (April 4) 3067
<b>Fiera Capital U.S. Equity Fund</b>	
Decision .....	#14 (April 4) 3067
<b>Fiera Investments Limited/Fiera Investissements Limitee</b>	
Name Change .....	#34 (August 22) 7019
<b>Fiera Investments LP</b>	
Name Change .....	#38 (September 19) 7777
<b>Fiera Private Alternative Investments Inc.</b>	
Order .....	#31 (August 1) 6484
Order – s. 1(6) of the OBCA .....	#34 (August 22) 6915
<b>Financial &amp; Risk Transaction Services Ireland Limited</b>	
Marketplaces – Application for Exemption from Recognition as an Exchange – OSC Staff Notice and Request for Comment .....	#30 (July 25) 6442
Order – s. 147 .....	#36 (September 5) 7209
Marketplaces – Application for Exemptive Relief – Notice of Commission Order .....	#36 (September 5) 7378
<b>Finn River Investment Management Inc.</b>	
New Registration .....	#30 (July 25) 6437
<b>Fire &amp; Flower Holdings Corp.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#12 (March 21) 2793
<b>First Asset Active Credit ETF</b>	
Decision .....	#1 (January 3) 26
<b>First Asset Core Canadian Equity ETF</b>	
Decision .....	#1 (January 3) 26
<b>First Asset Core U.S. Equity ETF</b>	
Decision .....	#1 (January 3) 26
<b>First Asset Investment Management Inc.</b>	
Decision .....	#1 (January 3) 26
<b>First Capital Realty Inc.</b>	
Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids .....	#10 (March 7) 1987
<b>First Global Data Ltd.</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3) 7916
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350
<b>First Mexican Gold Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#31 (August 1) 6525
<b>First Mining Gold Corp.</b>	
Decision .....	#50 (December 12) 9477
<b>First Trust Short Duration High Yield Bond ETF (CAD-Hedged)</b>	
Decision .....	#45 (November 7) 8645

**Fixed Income Clearing Corporation**

Clearing Agencies – Application for Exemption from Recognition as a Clearing Agency – Notice and Request for Comment.....	#4 (January 24) 925
Decision – s. 6.1 of NI 24-102 Clearing Agency Requirements .....	#13 (March 28) 2825
Order – s. 147 .....	#13 (March 28) 2847
Clearing Agencies – Notice of Commission Order – Application for Exemptive Relief.....	#13 (March 28) 3015

**Flow Investment Services Corp.**

Name Change.....	#34 (August 22) 7019
------------------	----------------------

**Flowr Corporation (The)**

Order – s. 1(11)(b) .....	#19 (May 9) 4296
---------------------------	------------------

**FNB Capital Asset Management Inc.**

New Registration.....	#4 (January 24) 923
-----------------------	---------------------

**Folkstone Capital Corp.**

Cease Trading Order .....	#41 (October 10) 8103
---------------------------	-----------------------

**Fonds de Solidarité des Travailleurs du Québec**

Order – ss. 21, 144 .....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181

**Foresters Asset Management Inc.**

Decision .....	#32 (August 8) 6621
----------------	---------------------

**Foresters Asset Management Inc. /Gestion D'actifs Foresters inc.**

Name Change .....	#35 (August 29) 7151
-------------------	----------------------

**Form 21-101F1 Information Statement – Exchange or Quotation and Trade Reporting System**

Request for Comments .....	#16 (April 18) 3645
----------------------------	---------------------

**Form 21-101F2 Information Statement – Alternative Trading System**

Request for Comments .....	#16 (April 18) 3645
----------------------------	---------------------

**Form 21-101F3 Quarterly Report of Marketplace Activities**

Request for Comments .....	#16 (April 18) 3645
----------------------------	---------------------

**Form 21-101F5 Information Statement – Information Processor**

Request for Comments .....	#16 (April 18) 3645
----------------------------	---------------------

**ForStar Capital Limited**

Voluntary Surrender .....	#48 (November 28) 9293
---------------------------	------------------------

**Forsys Metals Corp.**

Cease Trading Order .....	#15 (April 11) 3375
Cease Trading Order .....	#16 (April 18) 3643

**Forthlane Partners Inc.**

New Registration.....	#18 (May 2) 4203
-----------------------	------------------

**Forysta Capital Inc.**

Consent to Suspension (Pending Surrender).....	#1 (January 3) 285
--	--------------------

**FPE Trading**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#30 (July 25) 6281
Notice from the Office of the Secretary .....	#30 (July 25) 6292
Notice from the Office of the Secretary .....	#43 (October 24) 8406
Order – ss. 127(1), 127(10).....	#43 (October 24) 8428
Reasons and Decision – ss. 127(1), 127(10).....	#43 (October 24) 8433

**Franklin Advisers, Inc.**

Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618

<b>Franklin Advisory Services, LLC</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Franklin FTSE Canada All Cap Index ETF</b>	
Decision.....	#47 (November 21) 8983
<b>Franklin FTSE Europe Ex U.K. Index ETF</b>	
Decision.....	#47 (November 21) 8983
<b>Franklin FTSE Japan Index ETF</b>	
Decision.....	#47 (November 21) 8983
<b>Franklin FTSE U.S. Index ETF</b>	
Decision.....	#47 (November 21) 8983
<b>Franklin Mutual Advisers, LLC</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Franklin Templeton Institutional, LLC</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Franklin Templeton International Services S.à.r.l.</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Franklin Templeton Investment Management Limited</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Franklin Templeton Investments Corp.</b>	
Decision.....	#3 (January 17) 584
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
Decision.....	#42 (October 17) 8265
Decision.....	#47 (November 21) 8983
Decision.....	#51 (December 19) 9691
<b>Freckle Ltd.</b>	
Order – s. 1(11)(b).....	#49 (December 5) 9348
<b>Freedom International Brokerage Company</b>	
Decision.....	#32 (August 8) 6625
<b>Friedberg Mercantile Group Ltd.</b>	
Decision.....	#34 (August 22) 6906
<b>Frontenac Mortgage Investment Corporation</b>	
Decision.....	#2 (January 10) 312
<b>Frontwater Capital Inc.</b>	
Change in Registration Category.....	#13 (March 28) 3009
<b>FT Portfolios Canada Co.</b>	
Decision.....	#45 (November 7) 8645
<b>FTC Investor Services Inc.</b>	
Decision.....	#3 (January 17) 584
<b>Funding Portal Inc. (The)</b>	
Voluntary Surrender.....	#12 (March 21) 2791
<b>Fundserv Inc.</b>	
Clearing Agencies – New Rules for Service Providers Related to Access Standards – Notice of Approval.....	#3 (January 17) 747



<b>FundSERV Inc.</b>	
Decision .....	#5 (January 31) 963
<b>Furlong, William J.</b>	
Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
<b>FX Connect Multilateral Trading Facility</b>	
Order – s. 147 .....	#20 (May 16) 4454
Marketplaces – Application for Exemptive Relief – Notice of Commission Interim Order .....	#20 (May 16) 4587
<b>Galileo Global Equity Advisors Inc.</b>	
Decision .....	#16 (April 18) 3625
<b>Gallagher, Liam</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
Order – s. 144(1) .....	#23 (June 6) 5039
<b>Garbers, Deon</b>	
Order – s. 144(1) .....	#23 (June 6) 5039
<b>Gastar Exploration LLC</b>	
Order .....	#7 (February 14) 1342
<b>GBC Corporate Bond Fund</b>	
Decision .....	#20 (May 16) 4449
Decision .....	#23 (June 6) 5017
<b>GE Capital Markets (Canada) Company</b>	
Consent to Suspension (Pending Surrender) .....	#1 (January 3) 285
<b>Gelum Capital Ltd.</b>	
Revocation Order .....	#51 (December 19) 9707
<b>Generic Gold Corp.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#43 (October 24) 8505
<b>Genus Capital Management Inc.</b>	
Order .....	#41 (October 10) 8082
<b>Genus Dividend Equity Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Fossil Free CanGlobe Equity Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Fossil Free Corporate Bond Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Fossil Free Dividend Equity Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Fossil Free High Impact Equity Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Government Bond Fund</b>	
Order .....	#41 (October 10) 8082
<b>Genus Short-Term Bond Fund</b>	
Order .....	#41 (October 10) 8082

<b>Germeil, Jean-Smaille</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#30 (July 25) 6281
Notice from the Office of the Secretary .....	#30 (July 25) 6292
Notice from the Office of the Secretary .....	#43 (October 24) 8406
Order – ss. 127(1), 127(10).....	#43 (October 24) 8428
Reasons and Decision – ss. 127(1), 127(10).....	#43 (October 24) 8433
<b>Gestion FÉRIQUE</b>	
Decision .....	#29 (July 18) 6127
<b>Gestion Palos Inc. / Palos Management Inc.</b>	
Change in Registration Category .....	#35 (August 29) 7151
<b>Getchell Gold Corporation</b>	
Decision .....	#21 (May 23) 4609
<b>GLC Asset Management Group Ltd.</b>	
Change of Registration Category.....	#27 (July 4) 5871
<b>Global Asset Advisors LLC</b>	
Ruling – s. 38 of the CFA .....	#13 (March 28) 2853
<b>Global Bioenergy Resources Inc.</b>	
Notice of Hearing with Related Statements of Allegation – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3) 7916
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350
<b>Global Gardens Group Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
<b>Global Growth Assets Inc.</b>	
Decision .....	#11 (March 14) 2163
<b>Global Iman Fund</b>	
Decision .....	#11 (March 14) 2163
<b>Global Real Estate &amp; E-Commerce Dividend Fund</b>	
Decision .....	#5 (January 31) 969
<b>Global Resource Champions Split Corp.</b>	
Order.....	#26 (June 27) 5624
Order – s. 1(6) of the OBCA.....	#27 (July 4) 5752
<b>Gluskin Sheff + Associates Inc.</b>	
Order.....	#26 (June 27) 5604
Order – s. 1(6) of the OBCA.....	#27 (July 4) 5751
Decision .....	#41 (October 10) 8062
<b>GMP Securities L.P.</b>	
Decision .....	#2 (January 10) 322
Decision .....	#15 (April 11) 3356
<b>Goldcorp Inc.</b>	
Decision .....	#9 (February 28) 1779
Order.....	#38 (September 19) 7659
<b>Goldman Sachs International</b>	
Decision – s. 6.1 of OSC Rule 91-502 Trades in Recognized Options .....	#43 (October 24) 8409

<b>Goldspot Discoveries Corp.</b>	
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
<b>Goss, Donald Alexander (Sandy)</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order .....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order .....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467
<b>GoverMedia Plus Canada Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Grand Peak Capital Corp.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353
<b>Granite Real Estate Investment Trust</b>	
Decision .....	#24 (June 13) 5169
<b>Granite REIT Inc.</b>	
Decision .....	#24 (June 13) 5169
<b>Gravitas Financial Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#27 (July 4) 5763
<b>Gravity Partners Capital Management Inc.</b>	
New Registration .....	#4 (January 24) 923
<b>Great Lakes Graphite Inc.</b>	
Cease Trading Order .....	#11 (March 14) 2171
<b>Great-West Lifeco Inc.</b>	
Decision .....	#12 (March 21) 2533
<b>Green Growth Brands Inc.</b>	
Order – s. 5.1 of OSC Rule 48-501 Trading During Distributions, Formal Bids and Share Exchange Transactions, s. 9.1 of MI 61-101 Protection of Minority Security Holders in Special Transactions and s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids .....	#17 (April 25) 3873
<b>Greenshield Explorations Limited</b>	
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353
<b>Greyhill Capital Partners Inc.</b>	
New Registration .....	#43 (October 24) 8501
<b>Greystone Canadian Equity Income &amp; Growth Fund</b>	
Decision .....	#1 (January 3) 32
<b>Greystone Capital Management Inc.</b>	
Decision .....	#45 (November 7) 8653
Amalgamation .....	#48 (November 28) 9293
<b>Greystone Managed Investments Inc.</b>	
Decision .....	#45 (November 7) 8653
Amalgamation .....	#48 (November 28) 9293

**Grossman, Allan**

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#40 (October 3) 7905
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order .....	#42 (October 17) 8281
Notice from the Office of the Secretary – Notice of Correction .....	#43 (October 24) 8406
Amended Order .....	#43 (October 24) 8429

**Group Option Plan**

Decision .....	#12 (March 21) 2557
----------------	---------------------

**GRUPO4X INC.**

Decision .....	#15 (April 11) 2.1.5
----------------	----------------------

**GS Investment Strategies Canada Inc.**

New Registration .....	#50 (December 12) 9649
------------------------	------------------------

**GTA Private Capital Income Fund**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**GTA Private Capital Income Limited Partnership**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Guarda Capital Group Corp.**

Voluntary Surrender .....	#42 (October 17) 8379
---------------------------	-----------------------

**Guardian Capital LP**

Decision .....	#30 (July 25) 6295
Decision .....	#47 (November 21) 9003

**Guerrero Ventures Inc.**

Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#38 (September 19) 7665

**H4 SAS**

Decision .....	#15 (April 11) 3366
----------------	---------------------

**Haber, Lawrence P.**

Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289

<b>Halio Energy Inc.</b>	
Cease Trading Order .....	#38 (September 19) 7665
Revocation Order .....	#40 (October 3) 7952
Cease Trading Order .....	#50 (December 12) 9503
<b>Haltain Developments Corp.</b>	
Cease Trading Order .....	#15 (April 11) 3375
<b>Hamilton Australian Financials Yield ETF</b>	
Decision .....	#41 (October 10) 8057
<b>Hamilton Capital Australian Financials Yield ETF</b>	
Decision .....	#41 (October 10) 8057
<b>Hamilton Capital Partners Inc.</b>	
Decision .....	#41 (October 10) 8057
<b>Hamilton Lane (Canada) LLC</b>	
New Registration .....	#15 (April 11) 3581
<b>Handa, Rakesh</b>	
Notice of Hearing with Related Statement of Allegations .....	#51 (December 19) 9674
Notice from the Office of the Secretary .....	#51 (December 19) 9681
<b>Hanover Investments (Luxembourg) S.A.</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Harbour Canadian Dividend Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Harbour Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>Harbour Global Equity Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>Harbour Global Equity Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Harbour Global Growth &amp; Income Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>Harbour Voyageur Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>Harness Investment Management Inc.</b>	
New Registration .....	#40 (October 3) 8041
<b>Harrington MacMillan Fund Management Limited</b>	
Consent to Suspension (Pending Surrender) .....	#51 (December 19) 9887
<b>Harris Douglas Asset Management Inc.</b>	
New Registration .....	#7 (February 14) 1505
<b>Harvest Portfolios Group Inc.</b>	
Decision .....	#2 (January 10) 314
<b>Hayman, Craig</b>	
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289

<b>Henderson, Tim</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
Order – s. 144(1).....	#23 (June 6) 5039
<b>Héroux-Devtek Inc.</b>	
Decision .....	#1 (January 3) 45
<b>Hess Corporation</b>	
Order – s. 1(10)(a)(ii) .....	#21 (May 23) 4615
<b>HEXO Corp.</b>	
Decision .....	#23 (June 6) 5021
Decision .....	#49 (December 5) 9305
<b>Highness Global Capital Inc.</b>	
New Registration.....	#18 (May 2) 4203
<b>Highvista Gold Inc.</b>	
Cease Trading Order .....	#32 (August 8) 6631
<b>Highwood Value Partners Inc.</b>	
New Registration.....	#23 (June 6) 5151
<b>Hiku Brands Company Ltd.</b>	
Order.....	#1 (January 3) 64
<b>HNW Management Inc.</b>	
Consent to Suspension (Pending Surrender).....	#1 (January 3) 285
<b>HNW Management Inc./Management HNW</b>	
Voluntary Surrender.....	#20 (May 16) 4585
<b>Hoisington Investment Management Company</b>	
Voluntary Surrender.....	#42 (October 17) 8379
<b>Holloway Lodging Corporation</b>	
Order.....	#45 (November 7) 8666
Order – s. 1(6) of the OBCA.....	#47 (November 21) 9011
<b>Honeytree Investment Management Ltd.</b>	
New Registration.....	#19 (May 9) 4415
<b>Horizons CDN High Dividend Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons CDN Select Universe Bond ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Crude Oil ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Equal Weight Canada Banks Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Equal Weight Canada Reit Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons ETFs Management (Canada) Inc.</b>	
Decision .....	#46 (November 14) 8789
Decision .....	#47 (November 21) 9006
<b>Horizons EURO STOXX 50® Index ETF</b>	
Decision .....	#47 (November 21) 9006

<b>Horizons Gold ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Intl Developed Markets Equity Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Laddered Canadian Preferred Share Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons NASDAQ-100® Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Natural Gas ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons S&amp;P 500 CAD Hedged Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons S&amp;P 500® Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons S&amp;P/TSX 60™ Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons S&amp;P/TSX Capped Energy Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons S&amp;P/TSX Capped Financials Index ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons Silver ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons US 7-10 Year Treasury Bond CAD Hedged ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Horizons US 7-10 Year Treasury Bond ETF</b>	
Decision .....	#47 (November 21) 9006
<b>Hsu, Victor</b>	
Notice of Hearing with Related Statement of Allegations – s. 127 .....	#13 (March 28) 2800
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Order with Related Settlement Agreement – s. 127 .....	#14 (April 4) 3057
Oral Reasons for Approval of a Settlement – s. 127 .....	#14 (April 4) 3133
<b>Hudbay Arizona Inc.</b>	
Order .....	#5 (January 31) 981
<b>Hudson’s Bay Company</b>	
Decision .....	#27 (July 4) 5748
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Husky Energy Inc.</b>	
Revocation .....	#6 (February 7) 1309
Decision [Corrected] .....	#30 (July 25) 6304

**Hutchinson, Donna**

Notice from the Office of the Secretary .....	#3 (January 17) 555
Order.....	#3 (January 17) 593
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#5 (January 31) 938
Order.....	#5 (January 31) 980
Notice from the Office of the Secretary .....	#7 (February 14) 1315
Reasons for Decision on Motion .....	#7 (February 14) 1347
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#44 (October 31) 8509
Order – s. 127(1).....	#44 (October 31) 8535
Reasons and Decision – s. 127(1).....	#44 (October 31) 8543

**Hutchison, Robert P.**

Authorization Order – s. 3.5(3).....	#4 (January 24) 790
Authorization Order – s. 3.5(3).....	#7 (February 14) 1346

**Hydro One Inc.**

Decision .....	#13 (March 28) 2838
----------------	---------------------

**Hydro One Limited**

Decision .....	#13 (March 28) 2838
----------------	---------------------

**Hydrogenics Corporation**

Order.....	#43 (October 24) 8427
------------	-----------------------

**HyperBlock Inc.**

Cease Trading Order .....	#19 (May 9) 4303
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633

**I.G. Investment Management, Ltd.**

Decision .....	#1 (January 3) 21
Decision .....	#7 (February 14) 1340
Decision .....	#27 (July 4) 5725

**IA Clarington Canadian Growth Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Investments Inc.**

Decision .....	#14 (April 4) 3060
Decision .....	#29 (July 18) 6111

**IA Clarington Sarbit Activist Opportunities Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Short-Term Income Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Short-Term Bond Fund**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Strategic Corporate Bond Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Strategic Income Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Tactical Bond Class**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Clarington Tactical Bond Fund**

Decision .....	#14 (April 4) 3060
----------------	--------------------

**IA Investment Counsel Inc.**

Decision .....	#12 (March 21) 2538
----------------	---------------------



<b>iAnthus Capital Holdings, Inc.</b>	
Decision .....	#29 (July 18) 6113
<b>ICAP Securities Limited</b>	
Ruling & Exemption – s. 38 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options .....	#45 (November 7) 8667
<b>ICC Labs Inc.</b>	
Order .....	#19 (May 9) 4291
<b>ICPP Funds Ltd.</b>	
Decision .....	#19 (May 9) 4242
<b>IDM Mining Ltd.</b>	
Decision .....	#23 (June 6) 5015
<b>IEPP Investment Management Inc.</b>	
Voluntary Surrender .....	#45 (November 7) 8747
<b>IG Mackenzie Canadian Equity Growth Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Mackenzie Cundill Global Value Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Mackenzie Income Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Mackenzie Ivy Canadian Balanced Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Putnam Emerging Markets Income Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Putnam Low Volatility U.S. Equity Fund</b>	
Decision .....	#1 (January 3) 21
<b>IG Putnam U.S. High Yield Income Fund</b>	
Decision .....	#1 (January 3) 21
<b>IGM Financial Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>IIFL Capital (Canada) Limited</b>	
New Registration .....	#12 (March 21) 2791

**IIROC**

SROs – Proposed Amendments to Notes and Instructions to Schedules 1 and 7 of Form 1 Regarding Agency Tri-Party Arrangements – Request for Comment .....#8 (February 21) 1757

SROs – Housekeeping Amendments to Form 1 to Adopt IFRS for Leases – Notice of Commission Deemed Approval ..... #10 (March 7) 2153

SROs – Housekeeping Amendment to Schedule 7A of Form 1 – Notice of Commission Deemed Approval..... #10 (March 7) 2154

SROs – Amendments to Transaction Reporting for Debt Securities – Notice of Commission Approval ..... #13 (March 28) 3013

SROs – Proposed Amendments to IIROC By-Law No. 1, s. 5.3(2) (Director Term Limits) – Request for Comment ..... #14 (April 4) 3313

SROs – Amendments Respecting Client Identifiers – Notice of Commission Approval .....#16 (April 18) 3851

SROs – Minor Contravention Program and Early Resolution Offers – Request for Comment.....#17 (April 25) 3983

SROs – Amendments Respecting Order Execution Only Service Eligibility and Adviser Identifiers – Notice of Commission Approval ..... #23 (June 6) 5153

SROs – Proposed Amendment to IIROC By-Law No. 1 section 5.3(2) (Director Term Limits) – Notice of Commission Approval .....#28 (July 11) 6093

SROs – Notice of Proposed Amendments Respecting Client Identifiers for Reportable Debt Transactions – Request for Comment .....#28 (July 11) 6093

SROs – Proposed Amendments to Continuing Education Requirements – Request for Comment .....#28 (July 11) 6094

SROs – Amendments Respecting Provision of Price Improvement by a Dark Order – Notice of Commission Approval .....#32 (August 8) 6709

SROs – Dealer Member Plain Language Rule Book – Notice of Commission Approval.....#34 (August 22) 7021

SROs – Amendments to Client Identification and Verification Requirements – Notice of Commission Approval..... #34 (August 22) 7022

SROs – Amendments to Form 1 for Use in, and Consistency with, the Dealer Member Plain Language Rule Book – Notice of Commission Approval..... #34 (August 22) 7023

SROs – Amendments to Forms and Guidance Respecting Investment in a Dealer Member – Notice of Commission Approval .....#34 (August 22) 7024

SROs – Notice of Proposed Amendments Respecting Free Credit Cash Segregated in Trust for Clients – Request for Comment.....#35 (August 29) 7153

SROs – Notice of Republication of Proposed Amendments to Dealer Member Rules and Form 1 Regarding the Securities Concentration Test and Designated Rating Organizations – Request for Comment ..... #35 (August 29) 7154

SROs – Proposed Amendments Respecting Non-Clients – Request for Comment.....#36 (September 5) 7377

SROs – Amendments Respecting Client Identifiers for Reportable Debt Transactions – Notice of Commission Approval ..... #42 (October 17) 8381

SROs – Housekeeping Amendments to Form 1 for use in, and consistency with, the Plain Language Dealer Member Rules Rule Book – Notice of Commission Deemed Approval ..... #42 (October 17) 8381

SROs – Proposed Amendments Respecting Disclosure of Information by Ombudsman Service to IIROC – Request for Comment..... #42 (October 17) 8382

SROs – Amendments Respecting Mandatory Reporting of Cybersecurity Incidents – Notice of Commission Approval .....#46 (November 14) 8977

SROs – Notice of Proposed Derivatives Rule Modernization, Stage 1 – Request for Comment .....#47 (November 21) 9097

SROs – Amendments to Dealer Member Rule 1200.3 and Notes to Statement D of Form 1 Regarding Free Credit Cash Segregated in Trust for Clients – Notice of Commission Approval .....#50 (December 12) 9651

SROs – Amendments to Continuing Education Rules – Notice of Commission Approval .....#51 (December 19) 9889

SROs – Proposed Amendments to Dealer Member Rules and Form 1 Relating to the Futures Market Segregation and Portability Customer-Protection Regime – Notice of Withdrawal .....#51 (December 19) 9889

**Ikkuma Resources Corp.**

Order.....#8 (February 21) 1654

**IMAX Corporation**

Decision ..... #13 (March 28) 2834

**imaxx Canadian Bond Fund**

Decision .....#32 (August 8) 6621

**imaxx Canadian Dividend Plus Fund**

Decision .....#32 (August 8) 6621

**imaxx Canadian Fixed Pay Fund**

Decision .....#32 (August 8) 6621

<b>imaxx Equity Growth Fund</b>	
Decision .....	#32 (August 8) 6621
<b>imaxx Global Fixed Pay Fund</b>	
Decision .....	#32 (August 8) 6621
<b>imaxx Short Term Bond Fund</b>	
Decision .....	#32 (August 8) 6621
<b>Imex Systems Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
<b>Industrial Alliance Insurance and Financial Services Inc.</b>	
Decision .....	#3 (January 17) 580
<b>Industrial Alliance Investment Management Inc.</b>	
Decision .....	#12 (March 21) 2538
<b>Infrastructure Materials Corp.</b>	
Cease Trading Order .....	#11 (March 14) 2171
<b>Integra Capital Limited</b>	
Decision .....	#2 (January 10) 309
<b>Integrated Asset Management Corp.</b>	
Order .....	#31 (August 1) 6484
<b>Integrity Gaming ULC</b>	
Decision .....	#12 (March 21) 2541
<b>International Capital Markets Pty. Ltd.</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), (2), 127.1 .....	#30 (July 25) 6285
Notice from the Office of the Secretary .....	#30 (July 25) 6294
Notice from the Office of the Secretary .....	#31 (August 1) 6460
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#31 (August 1) 6505
Reasons and Decision for Approval of a Settlement – ss. 127, 127.1 .....	#31 (August 1) 6522
<b>Internet of Things Inc.</b>	
Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#25 (June 20) 5463
<b>INTL FCStone Financial (Canada) Inc.</b>	
New Registration .....	#21 (May 23) 4745
Voluntary Surrender .....	#36 (September 5) 7375
<b>Invesco Advisers, Inc.</b>	
Order – s. 80 of the CFA .....	#46 (November 14) 8799
<b>Invesco Asset Management Limited</b>	
Order – s. 80 of the CFA .....	#46 (November 14) 8799
<b>Invesco Canada Ltd.</b>	
Order – s. 80 of the CFA .....	#46 (November 14) 8799

**Investment Industry Regulatory Organization of Canada**

SROs – Amendments Respecting Order Execution Only Service Eligibility and Adviser Identifiers –  
 Notice of Commission Approval ..... #23 (June 6) 5153  
 SROs – Proposed Amendment to IIROC By-Law No. 1 section 5.3(2) (Director Term Limits) – Notice  
 of Commission Approval ..... #28 (July 11) 6093  
 SROs – Notice of Proposed Amendments Respecting Client Identifiers for Reportable Debt  
 Transactions – Request for Comment ..... #28 (July 11) 6093  
 SROs – Proposed Amendments to Continuing Education Requirements – Request for Comment ..... #28 (July 11) 6094  
 SROs – Amendments Respecting Provision of Price Improvement by a Dark Order – Notice of  
 Commission Approval ..... #32 (August 8) 6709  
 SROs – Amendments Respecting Client Identifiers for Reportable Debt Transactions – Notice of  
 Commission Approval ..... #42 (October 17) 8381  
 SROs – Housekeeping Amendments to Form 1 for use in, and consistency with, the Plain Language  
 Dealer Member Rules Rule Book – Notice of Commission Deemed Approval ..... #42 (October 17) 8381  
 SROs – Proposed Amendments Respecting Disclosure of Information by Ombudsman Service to  
 IIROC – Request for Comment ..... #42 (October 17) 8382  
 SROs – Amendments Respecting Mandatory Reporting of Cybersecurity Incidents – Notice of  
 Commission Approval ..... #46 (November 14) 8977  
 SROs – Notice of Proposed Derivatives Rule Modernization, Stage 1 – Request for Comment ..... #47 (November 21) 9097  
 SROs – Amendments to Dealer Member Rule 1200.3 and Notes to Statement D of Form 1  
 Regarding Free Credit Cash Segregated in Trust for Clients – Notice of Commission Approval ..... #50 (December 12) 9651  
 SROs – Amendments to Continuing Education Rules – Notice of Commission Approval ..... #51 (December 19) 9889  
 SROs – Proposed Amendments to Dealer Member Rules and Form 1 Relating to the Futures  
 Market Segregation and Portability Customer-Protection Regime – Notice of Withdrawal ..... #51 (December 19) 9889

**Investors Canadian Bond Fund**

Decision ..... #1 (January 3) 21

**Investors Canadian Equity Fund**

Decision ..... #1 (January 3) 21

**Investors Canadian Growth Fund**

Decision ..... #1 (January 3) 21

**Investors Canadian Large Cap Value Fund**

Decision ..... #1 (January 3) 21

**Investors Canadian Small Cap Fund**

Decision ..... #1 (January 3) 21

**Investors Canadian Small Cap Growth Fund**

Decision ..... #1 (January 3) 21

**Investors Core U.S. Equity Fund**

Decision ..... #1 (January 3) 21

**Investors Global Fund**

Decision ..... #1 (January 3) 21

**Investors Global Real Estate Fund**

Decision ..... #1 (January 3) 21

**Investors Mutual of Canada**

Decision ..... #1 (January 3) 21

**Investors North American Equity Fund**

Decision ..... #1 (January 3) 21

**Investors U.S. Dividend Growth Fund**

Decision ..... #1 (January 3) 21

**Investors U.S. Large Cap Value Fund**

Decision ..... #1 (January 3) 21

**IP Investment Counsel Inc.**

New Registration ..... #3 (January 17) 745

<b>IPC Investment Corporation</b>	
Decision .....	#18 (May 2) 4024
<b>IPSoI Capital Inc.</b>	
Change in Registration Category .....	#19 (May 9) 4415
Voluntary Surrender .....	#19 (May 9) 4415
<b>ITG Canada Corp.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
Name Change .....	#15 (April 11) 3581
<b>Itwaru, Andre</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#23 (June 6) 4977
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Notice from the Office of the Secretary .....	#26 (June 27) 5565
Order – ss. 127, 127.1 .....	#26 (June 27) 5626
Notice from the Office of the Secretary .....	#40 (October 3) 7916
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order – ss. 127, 127.1 .....	#42 (October 17) 8279
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127, 127.1 .....	#49 (December 5) 9350
<b>J.P. Morgan Securities Canada Inc.</b>	
Decision .....	#19 (May 9) 4259
Decision .....	#39 (September 26) 7793
Decision .....	#47 (November 21) 8997
<b>J.P. Morgan Securities LLC</b>	
Decision .....	#19 (May 9) 4259
<b>Janus Capital Management LLC</b>	
Consent to Suspension (Pending Surrender) .....	#2 (January 10) 539
Voluntary Surrender .....	#17 (April 25) 3981
<b>JayCap Financial Ltd.</b>	
Voluntary Surrender .....	#41 (October 10) 8243
<b>Jensen, Maureen</b>	
Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289
<b>Jeotex Inc.</b>	
Cease Trading Order .....	#32 (August 8) 6631
<b>Joint Canadian Securities Administrators/Investment Industry Regulatory Organization of Canada Consultation Paper 21-402 Proposed Framework for Crypto-Asset Trading Platforms</b>	
Request for Comments .....	#11 (March 14) 2302
<b>Joint CSA/IIROC Consultation Paper 23-406 Internalization within the Canadian Equity Market</b>	
Request for Comments .....	#12 (March 21) 2577
<b>Jones, Bradley</b>	
Notice from the Office of the Secretary .....	#15 (April 11) 3326
Order .....	#15 (April 11) 3374
Notice from the Office of the Secretary .....	#24 (June 13) 5167
Order .....	#24 (June 13) 5222
Notice from the Office of the Secretary .....	#41 (October 10) 8054
Notice from the Office of the Secretary .....	#41 (October 10) 8055
Order .....	#41 (October 10) 8080
Notice from the Office of the Secretary .....	#43 (October 24) 8405
Order .....	#43 (October 24) 8427
Notice from the Office of the Secretary .....	#45 (November 7) 8640
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Notice from the Office of the Secretary .....	#46 (November 14) 8772

<b>Josephine Mining Corp.</b>	
Cease Trading Order .....	#22 (May 30) 4839
Order – s. 144 .....	#23 (June 6) 5036
<b>Just Energy Group Inc.</b>	
Decision .....	#8 (February 21) 1521
<b>JVAR Capital Limited</b>	
Consent to Suspension (Pending Surrender).....	#1 (January 3) 285
Voluntary Surrender.....	#25 (June 20) 5545
<b>K2/D&amp;S Management Co., LLC</b>	
Order – s. 80 of the CFA.....	#2 (January 10) 331
Order – ss. 78(1), 80 of the CFA.....	#26 (June 27) 5618
<b>Kadonoff, Kenneth</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#40 (October 3) 7905
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order.....	#42 (October 17) 8281
Notice from the Office of the Secretary – Notice of Correction.....	#43 (October 24) 8406
Amended Order .....	#43 (October 24) 8429
<b>Karmin Exploration Inc.</b>	
Order.....	#50 (December 12) 9495
<b>Kassirer Asset Management Corporation</b>	
Consent to Suspension (Pending Surrender).....	#49 (December 5) 9451
<b>Katanga Mining Limited</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
Cease Trading Order .....	#1 (January 3) 97
Cease Trading Order .....	#2 (January 10) 371
Cease Trading Order .....	#3 (January 17) 597
Notice from the Office of the Secretary .....	#4 (January 24) 751
Confidential Order – s. 17 .....	#4 (January 24) 785
Confidential Order – s. 17 .....	#4 (January 24) 786
Confidential Order – s. 17 .....	#4 (January 24) 787
Confidential Reasons for Decision – s. 17 .....	#4 (January 24) 803
Cease Trading Order .....	#4 (January 24) 819
Cease Trading Order .....	#5 (January 31) 1001
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353
Cease Trading Order .....	#8 (February 21) 1679
Cease Trading Order .....	#9 (February 28) 1809
Cease Trading Order .....	#10 (March 7) 1991
Cease Trading Order .....	#11 (March 14) 2171
Cease Trading Order .....	#12 (March 21) 2575
Cease Trading Order .....	#13 (March 28) 2863
Cease Trading Order .....	#14 (April 4) 3137
Cease Trading Order .....	#15 (April 11) 3375
Cease Trading Order .....	#16 (April 18) 3643
Cease Trading Order .....	#17 (April 25) 3895
Cease Trading Order .....	#18 (May 2) 4043
Cease Trading Order .....	#19 (May 9) 4303
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Order – s. 144(1).....	#23 (June 6) 5039

**Katebian, Morteza**

Notice from the Office of the Secretary .....	#2 (January 10) 304
Order.....	#2 (January 10) 357
Notice from the Office of the Secretary .....	#3 (January 17) 554
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#9 (February 28) 1769
Notice from the Office of the Secretary .....	#9 (February 28) 1771
Notice from the Office of the Secretary .....	#11 (March 14) 2160
Notice from the Office of the Secretary .....	#20 (May 16) 4436
Notice from the Office of the Secretary .....	#21 (May 23) 4600

**Katebian, Payam**

Notice from the Office of the Secretary .....	#2 (January 10) 304
Order.....	#2 (January 10) 357
Notice from the Office of the Secretary .....	#3 (January 17) 554
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#9 (February 28) 1769
Notice from the Office of the Secretary .....	#9 (February 28) 1771
Notice from the Office of the Secretary .....	#11 (March 14) 2160
Notice from the Office of the Secretary .....	#20 (May 16) 4436
Notice from the Office of the Secretary .....	#21 (May 23) 4600

**Khan, Muhammad M.**

Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order – s. 17 .....	#18 (May 2) 4033
Reasons and Decision – s. 17 .....	#18 (May 2) 4039

**Kindiak, Raymond**

Authorization Order – s. 3.5(3).....	#19 (May 9) 4289
--------------------------------------	------------------

**Kindigo Capital Ltd.**

Change in Registration Category .....	#25 (June 20) 5545
---------------------------------------	--------------------

**Kingsdale Capital Markets Inc.**

Name Change.....	#22 (May 30) 4955
------------------	-------------------

**Kingsway Financial Services Inc.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#1 (January 3) 289
--	--------------------

**Kinsted Wealth Inc.**

New Registration.....	#35 (August 29) 7151
-----------------------	----------------------

**Kitmitto, Majd**

Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order.....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order.....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467

**Knick Exploration Inc.**

Cease Trading Order .....	#19 (May 9) 4301
---------------------------	------------------

**Knol Resources Corp.**

Order – s. 1(11)(b) .....	#49 (December 5) 9348
---------------------------	-----------------------

**Knowledge First Financial Inc.**

Decision .....	#14 (April 4) 3082
----------------	--------------------

**Kraken Robotics Inc.**

Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#20 (May 16) 4477

**L&T B (Cayman) Inc.**

Notice from the Office of the Secretary .....	#50 (December 12) 9467
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Landucci, Rinaldo**

Notice from the Office of the Secretary .....	#3 (January 17) 556
Order .....	#3 (January 17) 595
Notice from the Office of the Secretary .....	#15 (April 11) 3325
Order .....	#15 (April 11) 3370
Notice from the Office of the Secretary .....	#18 (May 2) 3995
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order .....	#18 (May 2) 4035
Order – s. 127(8) .....	#18 (May 2) 4037
Notice from the Office of the Secretary .....	#28 (July 11) 5886
Order .....	#28 (July 11) 5901
Reasons and Decision – s. 127(1) .....	#28 (July 11) 5905
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order – ss. 127(1), 127.1 .....	#40 (October 3) 7941
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1 .....	#40 (October 3) 7961

**Laverty, Matthew**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Lawrence Park Strategic Income Fund**

Decision .....	#44 (October 31) 8526
----------------	-----------------------

**LCH Limited**

Decision .....	#20 (May 16) 4437
----------------	-------------------

**LCH SA**

Clearing Agencies – Application for Exemption from Recognition as a Clearing Agency – OSC Notice and Request for Comment .....	#32 (August 8) 6714
Order – s. 147 of the OSA .....	#49 (December 5) 9340
Clearing Agencies – Application for Exemptive Relief – Notice of Commission Order .....	#49 (December 5) 9455

**LeadFX Inc.**

Order .....	#23 (June 6) 5044
-------------	-------------------

**Leckman, Deborah**

Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
---------------------------------------	---------------------

**Lee S. Neibart 2010 Grat**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Legacy Education Savings Plan**

Decision .....	#11 (March 14) 2163
----------------	---------------------



**Leiper, Janet**

Authorization Order – s. 3.5(3).....	#4 (January 24) 790
Authorization Order – s. 3.5(3).....	#7 (February 14) 1346

**Les Conseillers En Valeurs Razorbill Inc. / Razorbill Advisors Inc.**

Voluntary Surrender.....	#22 (May 30) 4955
--------------------------	-------------------

**Leviathan Cannabis Group Inc.**

Cease Trading Order.....	#2 (January 10) 371
Cease Trading Order.....	#3 (January 17) 597
Cease Trading Order.....	#4 (January 24) 819
Cease Trading Order.....	#5 (January 31) 1001
Cease Trading Order.....	#6 (February 7) 1169

**Levon Resources Ltd.**

Order.....	#33 (August 15) 6750
------------	----------------------

**LGC Capital Ltd.**

Cease Trading Order.....	#6 (February 7) 1169
Cease Trading Order.....	#7 (February 14) 1353
Cease Trading Order.....	#8 (February 21) 1679
Cease Trading Order.....	#9 (February 28) 1809
Cease Trading Order.....	#10 (March 7) 1991
Cease Trading Order.....	#11 (March 14) 2171
Cease Trading Order.....	#12 (March 21) 2575

**Lifestyle Global Brands Limited**

Cease Trading Order.....	#45 (November 7) 8691
Cease Trading Order.....	#48 (November 28) 9169

**Lincluden Mutual Fund Dealer Inc.**

Voluntary Surrender.....	#20 (May 16) 4585
Decision.....	#50 (December 12) 9483

**Lion Trust**

Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708

**Liquidnet Canada**

Marketplaces – Notice of Proposed Changes.....	#47 (November 21) 9098
--	------------------------

**Lisa and Richard Baker Enterprises, LLC**

Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708

**LME Clear Limited**

Order – s. 147.....	#1 (January 3) 72
Clearing Agencies – Application for Exemptive Relief – Notice of Commission Order.....	#1 (January 3) 288

**Loewen, Ondaatje, McCuthcheon Limited**

Voluntary Surrender.....	#40 (October 3) 8041
--------------------------	----------------------

**Logan Resources Ltd.**

Decision.....	#1 (January 3) 61
---------------	-------------------

<b>LOGiQ Asset Management Ltd.</b>	
Name Change.....	#34 (August 22) 7019
<b>Lombard Odier Securities (Canada) Inc.</b>	
Voluntary Surrender.....	#9 (February 28) 1951
<b>London Metal Exchange (The)</b>	
Order – s. 147 of the OSA and ss. 38 and 80 of the CFA.....	#2 (January 10) 342
Marketplaces – Application for Exemptive Relief – Notice of Commission Order.....	#2 (January 10) 541
<b>Lorica Investment Counsel Inc.</b>	
Decision.....	#4 (January 24) 774
<b>Low Volatility U.S. Equity Income Fund</b>	
Decision.....	#50 (December 12) 9469
<b>Lubbe, Jacques</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1.....	#1 (January 3) 2
Notice from the Office of the Secretary.....	#1 (January 3) 19
Order – s. 144(1).....	#23 (June 6) 5039
<b>Lütke, Tobias</b>	
Decision.....	#51 (December 19) 9688
<b>M2 Cobalt Corp.</b>	
Order.....	#36 (September 5) 7229
<b>Mack 2010 Family Trust I</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708
<b>Mack, Richard</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708
<b>Mack, William</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708
<b>Mackenzie Anti-Benchmark Global Investment Grade Fund</b>	
Decision.....	#29 (July 18) 6116
<b>Mackenzie Canadian All Cap Dividend Class</b>	
Decision.....	#5 (January 31) 955
<b>Mackenzie Canadian All Cap Dividend Fund</b>	
Decision.....	#5 (January 31) 955
<b>Mackenzie Canadian Balanced Fund</b>	
Decision.....	#25 (June 20) 5437

<b>Mackenzie Canadian Short Term Fixed Income ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie China Bond Fund</b>	
Decision .....	#39 (September 26) 7785
<b>Mackenzie Core Plus Canadian Fixed Income ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Core Plus Global Fixed Income ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Credit Absolute Return Fund</b>	
Decision .....	#4 (January 24) 753
<b>Mackenzie Cundill US Class</b>	
Decision .....	#48 (November 28) 9131
<b>Mackenzie Enhanced Fixed Income Risk Premia Fund</b>	
Decision .....	#18 (May 2) 3999
<b>Mackenzie Financial Corporation</b>	
Decision .....	#4 (January 24) 753
Decision .....	#5 (January 31) 955
Decision .....	#6 (February 7) 1117
Decision .....	#18 (May 2) 3999
Decision .....	#18 (May 2) 4024
Decision .....	#25 (June 20) 5437
Decision .....	#29 (July 18) 6116
Decision .....	#29 (July 18) 6119
Decision .....	#39 (September 26) 7785
Decision .....	#48 (November 28) 9131
<b>Mackenzie Floating Rate Income ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Global High Yield Fixed Income ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Global Leadership Impact ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Ivy Global Equity ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Portfolio Completion ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie Unconstrained Bond ETF</b>	
Decision .....	#6 (February 7) 1117
<b>Mackenzie US Strategic Income Fund</b>	
Decision .....	#25 (June 20) 5437
<b>Maclos Capital Inc.</b>	
Cease Trading Order .....	#32 (August 8) 6631
<b>Macquarie Capital Markets Canada Ltd.</b>	
Decision .....	#5 (January 31) 966
Ruling – s. 38(1) of the CFA.....	#5 (January 31) 986
<b>Mag One Products Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
<b>Mainstream Minerals Corporation</b>	
Order – s. 144 .....	#1 (January 3) 66

<b>Mangrove Partners</b>	
Notice of Hearing – ss. 104, 127 .....	#16 (April 18) 3611
Notice from the Office of the Secretary .....	#16 (April 18) 3612
Notice from the Office of the Secretary .....	#16 (April 18) 3613
Notice from the Office of the Secretary .....	#16 (April 18) 3614
Order .....	#16 (April 18) 3639
Order .....	#16 (April 18) 3641
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Reasons for Decision – ss. 104, 127 .....	#23 (June 6) 5057
<b>Manning &amp; Napier Advisors, LLC</b>	
Voluntary Surrender .....	#31 (August 1) 6609
<b>Manufacturers Life Insurance Company (The)</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order .....	#24 (June 13) 5181
<b>Manulife Asset Management Investments Inc./Investissements Gestion d’Actifs Manuvie inc.</b>	
Name Change .....	#20 (May 16) 4585
<b>Manulife Asset Management Limited</b>	
Decision .....	#14 (April 4) 3091
Decision .....	#28 (July 11) 5887
<b>Manulife Asset Management Limited/Gestion d’Actifs Manuvie Limitee</b>	
Name Change .....	#20 (May 16) 4585
<b>Manulife International Value Equity Fund</b>	
Decision .....	#14 (April 4) 3091
<b>Manulife Investment Management Distributors Inc./Distribution Gestion de placements Manuvie inc.</b>	
Name Change .....	#20 (May 16) 4585
<b>Manulife Investment Management Limited/Gestion de placements Manuvie Limitee</b>	
Name Change .....	#20 (May 16) 4585
<b>Maple Leaf Angels Corporation</b>	
Decision .....	#19 (May 9) 4231
<b>Marex North America LLC</b>	
Ruling – s. 38 of the CFA .....	#49 (December 5) 9351
<b>MarketAxess Canada Company</b>	
Decision .....	#9 (February 28) 1782
<b>Marksman Asset Management Inc.</b>	
Name Change .....	#43 (October 24) 8501
<b>Marquest Asset Management Inc.</b>	
Decision .....	#4 (January 24) 774
<b>Marquest Canadian Fixed Income Fund</b>	
Decision .....	#4 (January 24) 774
<b>Marret High Yield Bond Fund</b>	
Decision .....	#44 (October 31) 8526
<b>MARS VX</b>	
Name Change .....	#21 (May 23) 4745
<b>Martello Technologies Group Inc.</b>	
Order – s. 1(11)(b) .....	#28 (July 11) 5901
<b>Master Credit Card Trust II</b>	
Order .....	#46 (November 14) 8793

<b>Mawji, Aly Babu Husein</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#42 (October 17) 8252
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Order – 127(1), 127(10).....	#50 (December 12) 9494
Reasons and Decision – ss. 127(1), 127(10).....	#50 (December 12) 9497
<b>Maxheleau, Elise</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order – s. 127(8).....	#18 (May 2) 4037
<b>Maxsood, Daniel</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order – s. 17 .....	#18 (May 2) 4033
Reasons and Decision – s. 17 .....	#18 (May 2) 4039
<b>Maxwell, Steven</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10).....	#29 (July 18) 6099
Notice from the Office of the Secretary .....	#29 (July 18) 6110
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Order – ss. 127(1), 127(10).....	#41 (October 10) 8078
Reasons and Decision – 127(1), 127(10) .....	#41 (October 10) 8085
<b>Mazzacato, Charles</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#40 (October 3) 7905
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order.....	#42 (October 17) 8281
Notice from the Office of the Secretary – Notice of Correction.....	#43 (October 24) 8406
Amended Order .....	#43 (October 24) 8429
<b>MedMen Enterprises Inc.</b>	
Decision .....	#26 (June 27) 5586
<b>Meharchand, Dennis L.</b>	
Notice from the Office of the Secretary .....	#6 (February 7) 1116
Order – ss. 127(1), 127.1 .....	#6 (February 7) 1125
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1.....	#6 (February 7) 1135
<b>Melior Resources Inc.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Mellon Investments Corporation</b>	
Name Change.....	#4 (January 24) 923
<b>Memorandum of Understanding – Cooperation and the Exchange of Information Related to the Supervision of Regulated Entities Operating in Ontario and Germany</b>	
Notice.....	#43 (October 24) 8386
<b>Memorandum of Understanding – Cooperation and the Exchange of Information Related to the Supervision of Regulated Entities Operating in Ontario and Ireland</b>	
Notice.....	#43 (October 24) 8396
<b>Memorandum of Understanding – Cooperation and the Exchange of Information Related to the Supervision of Regulated Entities Operating in Ontario and the Netherlands</b>	
Notice.....	#48 (November 28) 9103
<b>Memorandum of Understanding with the UK Financial Conduct Authority Concerning Consultation, Cooperation and the Exchange of Information Related to the Supervision of Cross-Border Alternative Investment Fund Managers – Amended and Restated</b>	
Notice.....	#40 (October 3) 7904

<b>Mercal Capital Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#30 (July 25) 6331
Order .....	#36 (September 5) 7208
<b>Mercer Park Brand Acquisition Corp.</b>	
Decision .....	#36 (September 5) 7177
<b>Merrill Lynch Canada Inc.</b>	
Decision .....	#19 (May 9) 4259
<b>Merrill Lynch, Pierce, Fenner &amp; Smith Incorporated</b>	
Decision .....	#19 (May 9) 4259
<b>Mezzotin Minerals Inc.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#5 (January 31) 1105
<b>MFDA</b>	
SROs – Housekeeping Amendments to Sample Auditor’s Reports in MFDA Form 1 – Notice of Commission Deemed Approval.....	#1 (January 3) 287
SROs – Proposed Amendments to MFDA By-Law No. 1, Sections 3.3 (Election and Term), 3.6.1 (Governance Committee) and 4.7 (Quorum) – Request for Comment.....	#11 (March 14) 2511
SROs – Proposed Amendments to MFDA By-Law No. 1, ss. 1 (Definitions) and 22 (Investigatory Powers) – Request for Comments.....	#13 (March 28) 3011
SROs – Proposed Amendments to MFDA By-Law No. 1, s. 24.A (Ombudservice) – Request for Comment .....	#13 (March 28) 3012
SROs – Proposed Amendments to MFDA Rule 2.3.1(b) (Discretionary Trading) – Request for Comment .....	#14 (April 4) 3314
SROs – Continuing Education Requirements for MFDA Members and their Approved Persons – Notice of Commission Approval .....	#39 (September 26) 7885
SROs – Proposed Amendments to MFDA Rule 1.1.1(A) (Business Structures – Members) – Request for Comment.....	#43 (October 24) 8503
SROs – Amendment to MFDA By-Law No. 1 Section 24.A (Ombudservice) – Notice of Commission Approval.....	#50 (December 12) 9651
<b>MFDA Investor Protection Corporation</b>	
SROs – Proposed Amendments to the Oversight of MFDA IPC – OSC Notice and Request for Comment ...	#17 (April 25) 3986
<b>MFS Institutional Advisors, Inc.</b>	
Order – ss. 78(1), 80 of the CFA.....	#48 (November 28) 9164
<b>Michaels, David Michael</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127(10).....	#21 (May 23) 4596
Notice from the Office of the Secretary .....	#21 (May 23) 4600
Notice from the Office of the Secretary .....	#27 (July 4) 5723
Order – ss. 127(1), 127(10).....	#27 (July 4) 5752
Reasons and Decision .....	#27 (July 4) 5757
<b>Middlefield Healthcare &amp; Life Sciences ETF</b>	
Decision .....	#5 (January 31) 971
<b>Middlefield Limited</b>	
Decision .....	#5 (January 31) 971
<b>Middlefield REIT Indexplus ETF</b>	
Decision .....	#5 (January 31) 971
<b>Millennial Esports Corp.</b>	
Cease Trading Order .....	#16 (April 18) 3643
<b>Millennial Esports Corp.</b>	
Cease Trading Order .....	#2 (January 10) 371
<b>Mine Equities Ltd.</b>	
New Registration.....	#24 (June 13) 5411

<b>Miner Edge Corp.</b>	
Notice of Hearing with Related Statement of Allegations .....	#51 (December 19) 9674
Notice from the Office of the Secretary .....	#51 (December 19) 9681
<b>Miner Edge Inc.</b>	
Notice of Hearing with Related Statement of Allegations .....	#51 (December 19) 9674
Notice from the Office of the Secretary .....	#51 (December 19) 9681
<b>Mint Corporation (The)</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
<b>Minvestec Capital Corp.</b>	
Voluntary Surrender .....	#49 (December 5) 9451
<b>Mistakidis, Aristotelis</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#1 (January 3) 2
Notice from the Office of the Secretary .....	#1 (January 3) 19
Order – s. 144(1).....	#23 (June 6) 5039
<b>Mizuho Securities Canada Inc.</b>	
New Registration.....	#49 (December 5) 9451
<b>MJardin Group, Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4301
Cease Trading Order .....	#20 (May 16) 4477
<b>MLD Core Fund</b>	
Decision .....	#26 (June 27) 5592
<b>MOAG Copper Gold Resources Inc.</b>	
Notice from the Office of the Secretary .....	#15 (April 11) 3326
Order.....	#15 (April 11) 3374
Notice from the Office of the Secretary .....	#24 (June 13) 5167
Order.....	#24 (June 13) 5222
Notice from the Office of the Secretary .....	#41 (October 10) 8054
Notice from the Office of the Secretary .....	#41 (October 10) 8055
Order.....	#41 (October 10) 8080
Notice from the Office of the Secretary .....	#43 (October 24) 8405
Order.....	#43 (October 24) 8427
Notice from the Office of the Secretary .....	#45 (November 7) 8640
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Notice from the Office of the Secretary .....	#46 (November 14) 8772
<b>Mogo Finance Technology Inc.</b>	
Order.....	#33 (August 15) 6749
<b>Mohawk Private Wealth Inc.</b>	
New Registration.....	#21 (May 23) 4745
<b>Molystar Resources Inc.</b>	
Cease Trading Order .....	#14 (April 4) 3137
<b>Money Gate Corp.</b>	
Notice from the Office of the Secretary .....	#2 (January 10) 304
Order.....	#2 (January 10) 357
Notice from the Office of the Secretary .....	#3 (January 17) 554
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#9 (February 28) 1769
Notice from the Office of the Secretary .....	#9 (February 28) 1771
Notice from the Office of the Secretary .....	#11 (March 14) 2160
Notice from the Office of the Secretary .....	#20 (May 16) 4436
Notice from the Office of the Secretary .....	#21 (May 23) 4600

**Money Gate Mortgage Investment Corporation**

Notice from the Office of the Secretary .....	#2 (January 10) 304
Order .....	#2 (January 10) 357
Notice from the Office of the Secretary .....	#3 (January 17) 554
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#9 (February 28) 1769
Notice from the Office of the Secretary .....	#9 (February 28) 1771
Notice from the Office of the Secretary .....	#11 (March 14) 2160
Notice from the Office of the Secretary .....	#20 (May 16) 4436
Notice from the Office of the Secretary .....	#21 (May 23) 4600

**Montego Resources Inc.**

Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#33 (August 15) 6751

**Moseley, Timothy**

Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289

**Mosport Park Entertainment Corporation (The)**

Cease Trading Order .....	#37 (September 12) 7383
Order – s. 144 .....	#40 (October 3) 7958

**Mount Logan Capital Inc.**

Decision .....	#22 (May 30) 4777
----------------	-------------------

**MPX Bioceutical Corporation**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#5 (January 31) 1103
--	----------------------

**MPX Bioceutical ULC**

Order .....	#11 (March 14) 2166
-------------	---------------------

**Mr. and Mrs. Robert Baker Family Foundation**

Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**Multilateral Instrument 11-102 Passport System**

Request for Comments .....	#18 (May 2) 4045
----------------------------	------------------

**Multilateral Instrument 13-102 System Fees for SEDAR and NRD**

Request for Comments .....	#18 (May 2) 4137
Request for Comments .....	#37 (September 12) 7393

**Multilateral Instrument 45-108 Crowdfunding**

Request for Comments .....	#18 (May 2) 4045
----------------------------	------------------

**Multilateral Instrument 51-105 Issuers Quoted in the U.S. Over-the-Counter Markets**

Request for Comments .....	#18 (May 2) 4045
----------------------------	------------------

**Mutual Fund Dealers Association of Canada**

SROs – Proposed Amendments to MFDA Rule 1.1.1(A) (Business Structures – Members) – Request for Comment .....	#43 (October 24) 8503
SROs – Amendment to MFDA By-Law No. 1 Section 24.A (Ombudservice) – Notice of Commission Approval .....	#50 (December 12) 9651



**Myles, Wesley**

Notice of Hearing with Related Statement of Allegations – s. 127 .....	#13 (March 28) 2800
Notice from the Office of the Secretary .....	#13 (March 28) 2809
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Order with Related Settlement Agreement – s. 127 .....	#14 (April 4) 3057
Oral Reasons for Approval of a Settlement – s. 127 .....	#14 (April 4) 3133

**MYM Nutraceuticals Inc.**

Decision .....	#2 (January 10) 322
----------------	---------------------

**Namaste Technologies Inc.**

Cease Trading Order .....	#15 (April 11) 3375
Cease Trading Order .....	#16 (April 18) 3643
Cease Trading Order .....	#17 (April 25) 3895
Cease Trading Order .....	#18 (May 2) 4043
Cease Trading Order .....	#19 (May 9) 4303
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245

**Namburi, Radhakrishna**

Notice of Hearing with Related Statement of Allegations– ss. 127(1), 127.1 .....	#48 (November 28) 9115
Notice from the Office of the Secretary .....	#48 (November 28) 9119

**Nasdaq CXC Limited**

Marketplaces – Introduction of the CX2 GEF Facility – Notice of Approval .....	#2 (January 10) 542
Order – ss. 21, 144 .....	#8 (February 21) 1580
Marketplaces – Notice of Variation Orders.....	#8 (February 21) 1758
Marketplaces – Notice of Proposed Changes and Request for Comment .....	#23 (June 6) 5155
Marketplaces – Notice of Proposed Changes and Request for Comment .....	#24 (June 13) 5413
Marketplaces – Introduction of Midpoint Extended Life Orders – Notice of Approval.....	#28 (July 11) 6094
Marketplaces – Introduction of Point-In-Time Closing Cross – Notice of Approval .....	#29 (July 18) 6271
Decision .....	#31 (August 1) 6461
Marketplaces – Notice of Proposed Changes and Request for Comment .....	#51 (December 19) 9890

**Naspers Limited**

Decision .....	#10 (March 7) 1961
----------------	--------------------

**Natcore Technology Inc.**

Cease Trading Order .....	#19 (May 9) 4302
---------------------------	------------------

**National Bank AltaFund Investment Corp.**

Decision .....	#48 (November 28) 9121
----------------	------------------------

**National Bank Asia Pacific Fund**

Decision .....	#48 (November 28) 9121
----------------	------------------------

**National Bank Asset Allocation Fund**

Decision .....	#48 (November 28) 9121
----------------	------------------------

**National Bank Dividend Income Fund Inc.**

Decision .....	#48 (November 28) 9121
----------------	------------------------

**National Bank Energy Fund**

Decision .....	#48 (November 28) 9121
----------------	------------------------

**National Bank European Equity Fund**

Decision .....	#48 (November 28) 9121
----------------	------------------------

<b>National Bank Financial Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order .....	#24 (June 13) 5181
Decision .....	#27 (July 4) 5736
Decision .....	#43 (October 24) 8410
Decision .....	#46 (November 14) 8781
<b>National Bank Global RSP Bond Fund</b>	
Decision .....	#51 (December 19) 9700
<b>National Bank Global Small Cap Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Global Tactical Bond Fund</b>	
Decision .....	#51 (December 19) 9700
<b>National Bank Group Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order .....	#24 (June 13) 5181
<b>National Bank Health Sciences Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank High Dividend Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Investments Inc.</b>	
Decision .....	#5 (January 31) 975
Decision .....	#48 (November 28) 9121
Decision .....	#51 (December 19) 9700
<b>National Bank Japanese Equity Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Long Term Bond Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Balanced Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Conservative Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Equity Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Growth Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Moderate Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Monthly Secure Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Precious Metals Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank Science and Technology Fund</b>	
Decision .....	#48 (November 28) 9121
<b>National Bank U.S. \$ Global Tactical Bond Fund</b>	
Decision .....	#48 (November 28) 9121

<b>National Instrument 13-101 System for Electronic Document Analysis and Retrieval</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>National Instrument 13-103 [System Replacement Rule] (Proposed)</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 14-101 Definitions</b>	
Request for Comments .....	#37 (September 12) 7393
<b>National Instrument 21-101 Marketplace Operation</b>	
Request for Comments .....	#16 (April 18) 3645
<b>National Instrument 25-102 Designated Benchmarks and Benchmark Administrators</b>	
Request for Comments .....	#11 (March 14) 2179
<b>National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations</b>	
Rules and Policies – Custody-Related Amendments .....	#11 (March 14) 2173
CSA Second Notice and Request for Comments relating to Syndicated Mortgages .....	#12 (March 21) 2607
Notice of Ministerial Approval .....	#23 (June 6) 4976
Rules and Policies .....	#23 (June 6) 5077
Supplement 1 .....	#40 (October 3) 1
Notice of Ministerial Approval .....	#50 (December 12) 9465
Rules and Policies .....	#50 (December 12) 9505
<b>National Instrument 41-101 General Prospectus Requirements</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>National Instrument 43-101 Standards of Disclosure for Mineral Projects</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 44-101 Short Form Prospectus Distributions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 44-102 Shelf Distributions</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#19 (May 9) 4305
<b>National Instrument 45-102 Resale of Securities</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 45-106 Prospectus Exemptions</b>	
CSA Second Notice and Request for Comments relating to Syndicated Mortgages .....	#12 (March 21) 2607
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 51-101 Standards of Disclosure for Oil and Gas Activities</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 51-102 Continuous Disclosure Obligations</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comment .....	#36 (September 5) 7279
<b>National Instrument 54-101 Communication with Beneficial Owners of Securities of a Reporting Issuer</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 58-101 Disclosure of Corporate Governance Practices</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 62-104 Take-Over Bids and Issuer Bids</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 81-101 Mutual Fund Prospectus Disclosure</b>	
Request for Comments .....	#37 (September 12) 7393

<b>National Instrument 81-101 Mutual Fund Prospectus Disclosure</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Instrument 81-102 Investment Funds</b>	
Request for Comments .....	#37 (September 12) 7393
<b>National Instrument 81-106 Investment Fund Continuous Disclosure</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>National Instrument 81-107 Independent Review Committee for Investment Funds</b>	
Request for Comments .....	#18 (May 2) 4045
Request for Comments .....	#37 (September 12) 7393
<b>National Policy 11-201 Electronic Delivery of Documents</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 11-202 Process for Prospectus Reviews in Multiple Jurisdictions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 11-203 Process for Exemptive Relief Applications in Multiple Jurisdictions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 11-206 Process for Cease to be a Reporting Issuer Applications</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 11-207 Failure-to-File Cease Trade Orders and Revocations in Multiple Jurisdictions</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 12-202 Revocation of Certain Cease Trade Orders</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 12-203 Management Cease Trade Orders</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 41-201 Income Trusts and Other Indirect Offerings</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 46-201 Escrow for Initial Public Offerings</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 47-201 Trading Securities Using the Internet and Other Electronic Means</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 51-201 Disclosure Standards</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 58-201 Corporate Governance Guidelines</b>	
Request for Comments .....	#18 (May 2) 4045
<b>National Policy 62-203 Take-Over Bids and Issuer Bids</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Natixis Investment Managers Canada LP/Gestionnaires De Placements Natixis S.E.C.</b>	
Name Change.....	#34 (August 22) 7019
Name Change .....	#38 (September 19) 7777

<b>Natural Bee Works Apiaries Inc.</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 556
Order .....	#3 (January 17) 595
Notice from the Office of the Secretary .....	#15 (April 11) 3325
Order .....	#15 (April 11) 3370
Notice from the Office of the Secretary .....	#18 (May 2) 3995
Notice from the Office of the Secretary .....	#18 (May 2) 3996
Order .....	#18 (May 2) 4035
Order – s. 127(8).....	#18 (May 2) 4037
Notice from the Office of the Secretary .....	#28 (July 11) 5886
Order .....	#28 (July 11) 5901
Reasons and Decision – s. 127(1).....	#28 (July 11) 5905
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order – ss. 127(1), 127.1 .....	#40 (October 3) 7941
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1.....	#40 (October 3) 7961
<b>NatWest Markets PLC</b>	
Decision .....	#3 (January 17) 566
<b>NBC Asset Trust™</b>	
Decision .....	#51 (December 19) 9694
<b>NBI Active Canadian Preferred Shares ETF</b>	
Decision .....	#5 (January 31) 975
<b>NBI Asia Pacific Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Asset Allocation Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Canadian Family Business Index ETF</b>	
Decision .....	#5 (January 31) 975
<b>NBI Currency-Hedged International High Conviction Equity Private Portfolio</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Currency-Hedged U.S. High Conviction Equity Private Portfolio</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Energy Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI European Equity Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Global Bond Fund</b>	
Decision .....	#51 (December 19) 9700
<b>NBI Global Real Assets Income ETF</b>	
Decision .....	#5 (January 31) 975
<b>NBI Global Small Cap Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Global Tactical Bond Fund</b>	
Decision .....	#51 (December 19) 9700
<b>NBI Health Sciences Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI High Dividend Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Japanese Equity Fund</b>	
Decision .....	#48 (November 28) 9121

<b>NBI Liquid Alternatives ETF</b>	
Decision .....	#5 (January 31) 975
<b>NBI Long Term Bond Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Balanced Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Conservative Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Equity Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Growth Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Moderate Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Monthly Secure Income Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Precious Metals Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Science and Technology Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI U.S. \$ Global Tactical Bond Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Unconstrained Fixed Income ETF</b>	
Decision .....	#51 (December 19) 9700
<b>NBI Unconstrained Fixed Income Fund</b>	
Decision .....	#51 (December 19) 9700
<b>NBI Westwood Global Dividend Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBI Westwood Global Equity Fund</b>	
Decision .....	#48 (November 28) 9121
<b>NBS Capital Inc.</b>	
Cease Trading Order .....	#11 (March 14) 2171
Cease Trading Order .....	#13 (March 28) 2863
<b>NCM Asset Management Ltd.</b>	
Decision .....	#1 (January 3) 54
Decision .....	#14 (April 4) 3107
<b>NCM Balanced Income Portfolio</b>	
Decision .....	#1 (January 3) 54
<b>NCM Conservative Income Portfolio</b>	
Decision .....	#1 (January 3) 54
<b>NCM Growth and Income Portfolio</b>	
Decision .....	#1 (January 3) 54
<b>NCM High Income Fund</b>	
Decision .....	#1 (January 3) 54
<b>NCM Premium Growth Class</b>	
Decision .....	#1 (January 3) 54

<b>NCM Tactical Opportunities Fund</b>	
Decision .....	#1 (January 3) 54
<b>NDX Growth &amp; Income Fund</b>	
Decision .....	#50 (December 12) 9469
<b>NEI International Equity Fund</b>	
Notice of Correction .....	#22 (May 30) 4755
<b>NEI Jantzi Social Index Fund</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>NEI Select Growth &amp; Income Portfolio</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>NEI Select Growth &amp; Income RS Portfolio</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>NEI Select Income Portfolio</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>NEI Select Maximum Growth RS Portfolio</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>NEI U.S. Equity Fund</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>Neibart, Lee</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>NEO Exchange Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
Marketplaces – Notice of Variation Orders .....	#8 (February 21) 1758
Marketplaces – Proposed Amendments to the Listing Manual and Listing Forms – OSC Staff Notice of Request for Comment .....	#8 (February 21) 1760
Marketplaces – Listing Manual and Listing Forms Amendments – Notice of Approval .....	#15 (April 11) 3595
Marketplaces – Proposed Public Interest Rule Amendments to NEO’s Trading Policies Adding Anonymous Broker Preferencing in NEO-D – Trading Notice .....	#27 (July 4) 5880
Marketplaces – Amendments Respecting Foreign-Listed Structured Product Notes – Notice of Approval .....	#30 (July 25) 6440
Marketplaces – Anonymous Broker Preferencing in NEO-D – Amendments to Trading Policies – Notice of Approval .....	#38 (September 19) 7779
Marketplaces – Listing Manual & Forms Amendments – Request for Comments .....	#50 (December 12) 9655
<b>Neo-Criterion Capital Limited</b>	
New Registration .....	#15 (April 11) 3581
<b>Netgear, Inc.</b>	
Decision .....	#1 (January 3) 29
<b>Neuberger Berman Investment Advisers LLC</b>	
Order – s. 80 of the CFA .....	#26 (June 27) 5604
<b>Nevsun Resources Ltd.</b>	
Order .....	#14 (April 4) 3116

<b>Newcastle Energy Inc.</b>	
Order – s. 1(11)(b) .....	#28 (July 11) 5901
<b>NewGrowth Corp.</b>	
Order .....	#30 (July 25) 6312
Order – s. 1(6) of the OBCA .....	#31 (August 1) 6477
<b>Newstrike Brands Ltd.</b>	
Decision .....	#23 (June 6) 5021
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#32 (August 8) 6723
<b>Next Edge AHL Fund</b>	
Decision .....	#23 (June 6) 5032
<b>Next Edge Capital Corp.</b>	
Decision .....	#23 (June 6) 5032
<b>NextBlock Global Limited</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#20 (May 16) 4429
Notice from the Office of the Secretary .....	#20 (May 16) 4434
Notice from the Office of the Secretary .....	#20 (May 16) 4436
Order with Related Settlement Agreement – ss. 127, 127.1 .....	#20 (May 16) 4463
Oral Reasons for Approval of a Settlement – ss. 127, 127.1 .....	#20 (May 16) 4473
<b>NGAM Canada LP</b>	
Decision .....	#48 (November 28) 9141
Decision .....	#48 (November 28) 9148
<b>Niagara Falls Facility Inc.</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
<b>Nikoo, Farhang (Fred) Dagostar</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10) .....	#41 (October 10) 8049
Notice from the Office of the Secretary .....	#41 (October 10) 8053
Notice from the Office of the Secretary .....	#49 (December 5) 9303
Order – ss. 127(1), 127(10) .....	#49 (December 5) 9322
Reasons and Decision – ss. 127(1), 127(10) .....	#49 (December 5) 9365
<b>Nilsson, Ola Fredrik</b>	
Decision .....	#4 (January 24) 755
<b>Ninepoint Enhanced Balanced Class</b>	
Decision .....	#22 (May 30) 4790
<b>Ninepoint Focused U.S. Dividend Class</b>	
Decision .....	#22 (May 30) 4790
<b>Ninepoint Gold Bullion Fund</b>	
Decision .....	#19 (May 9) 4266
<b>Ninepoint Partners LP</b>	
Decision .....	#14 (April 4) 3100
Decision .....	#15 (April 11) 3327
Decision .....	#15 (April 11) 3333
Decision .....	#19 (May 9) 4266
Decision .....	#22 (May 30) 4790
Decision .....	#39 (September 26) 7789
Change in Registration Category .....	#44 (October 31) 8635
<b>Ninepoint Real Asset Class</b>	
Decision .....	#15 (April 11) 3333



<b>Ninepoint Short-Term Bond Class</b>	
Decision .....	#15 (April 11) 3333
<b>Noah Canada Wealth Management Limited</b>	
New Registration .....	#23 (June 6) 5151
Change in Registration Category .....	#38 (September 19) 7777
<b>Noble Minerals Exploration Inc.</b>	
Cease Trading Order .....	#2 (January 10) 371
Cease Trading Order .....	#4 (January 24) 819
<b>North American Specialty Class</b>	
Decision .....	#5 (January 31) 955
<b>Northcore Resources Inc.</b>	
Cease Trading Order .....	#16 (April 18) 3643
Order – s. 144 .....	#17 (April 25) 3882
<b>Northern Sphere Mining Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>Northfront Financial Inc.</b>	
New Registration .....	#21 (May 23) 4745
<b>Northwest &amp; Ethical Investments L.P.</b>	
Decision .....	#8 (February 21) 1519
Notice of Correction .....	#22 (May 30) 4755
<b>Novelion Therapeutics Inc.</b>	
Cease Trading Order .....	#35 (August 29) 7047
<b>Nuance Communications, Inc.</b>	
Decision .....	#40 (October 3) 7931
<b>Nuveen Canada Company</b>	
New Registration .....	#4 (January 24) 923
<b>NWQ Investment Management Company, LLC</b>	
Order – s. 80 of the CFA .....	#6 (February 7) 1126
<b>Oakpoint Asset Management Inc.</b>	
Voluntary Surrender .....	#22 (May 30) 4955
<b>Oanda (Canada) Corporation ULC</b>	
Decision .....	#18 (May 2) 4017
<b>OceanRock Investments Inc.</b>	
Voluntary Surrender .....	#24 (June 13) 5411
<b>OCP Fund Management LP</b>	
Name change .....	#26 (June 27) 5719
<b>Oechsle International Advisors, LLC</b>	
Voluntary Surrender .....	#38 (September 19) 7777
<b>OGAM Ltd.</b>	
Change in Registration Category .....	#46 (November 14) 8975
<b>OMERS OCM Investments II Inc.</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1526
<b>ONEnergy Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#19 (May 9) 4303

<b>OneSixtyTwo Capital Inc.</b>	
Name Change.....	#28 (July 11) 6091
<b>Ontario Teachers' Pension Plan Board</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Order.....	#24 (June 13) 5181
<b>Organto Foods Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245
<b>Oriental Non-Ferrous Resources Development Inc.</b>	
Cease Trading Order .....	#37 (September 12) 7383
<b>Orion Nutraceuticals Inc.</b>	
Cease Trading Order .....	#50 (December 12) 9503
<b>OSC Notice 11-785 – Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2020</b>	
Notice.....	#13 (March 28) 2797
<b>OSC Notice 11-786 Notice of Statement of Priorities for Financial Year to end March 31, 2020</b>	
Notice.....	#26 (June 27) 5551
<b>OSC Rule (Commodity Futures Act) Fees</b>	
Rules and Policies .....	#20 (May 16) 4479
Notice.....	#29 (July 18) 6097
Rules and Policies .....	#29 (July 18) 6165
Rules and Policies .....	#29 (July 18) 6166
<b>OSC Rule 13-502 Fees</b>	
Rules and Policies .....	#20 (May 16) 4479
Notice.....	#29 (July 18) 6097
Rules and Policies .....	#29 (July 18) 6163
Rules and Policies .....	#29 (July 18) 6164
Rules and Policies .....	#37 (September 12) 7385
Notice.....	#43 (October 24) 8385
Rules and Policies .....	#43 (October 24) 8443
<b>OSC Rule 13-503 (Commodity Futures Act) Fees</b>	
Rules and Policies .....	#37 (September 12) 7385
Notice.....	#43 (October 24) 8385
Rules and Policies .....	#43 (October 24) 8444
<b>OSC Rule 25-501 (Commodity Futures Act) Designated Benchmarks and Benchmark Administrators</b>	
Request for Comments.....	#11 (March 14) 2249
<b>OSC Staff Notice 11-737 (Revised) – Securities Advisory Committee – Vacancies</b>	
Notice .....	#46 (November 14) 8766
<b>OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments</b>	
Notice.....	#7 (February 14) 1313
Notice.....	#18 (May 2) 3991
Notice.....	#30 (July 25) 6277
Notice.....	#41 (October 10) 8047
<b>OSC Staff Notice 11-742 (Revised) Securities Advisory Committee</b>	
Notice.....	#2 (January 10) 301
<b>OSC Staff Notice 11-784 Burden Reduction</b>	
Notice.....	#3 (January 17) 550

<b>OSC Staff Notice 11-787 Improving Fee Disclosure Through Behavioural Insights</b>	
Notice.....	#34 (August 22) 6877
<b>OSC Staff Notice 33-750 Compliance and Registrant Regulation – Summary Report for Dealers, Advisers and Investment Fund Managers</b>	
Notice.....	#32 (August 8) 6615
<b>OSC Staff Notice 43-706 – Pre-filing Review of Mining Technical Disclosure</b>	
Notice.....	#23 (June 6) 4974
<b>OSC Staff Notice 51-730 – Corporate Finance Branch 2019 Annual Report</b>	
Notice.....	#51 (December 19) 9667
<b>OSC Staff Notice 81-730 – Consideration of Alternative Approaches to Address Concerns Related to Deferred Sales Charges</b>	
Notice.....	#51 (December 19) 9672
<b>OTO.Money</b>	
Notice of Hearing – ss. 127(8), 127(1).....	#32 (August 8) 6619
Notice from the Office of the Secretary.....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5).....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1).....	#32 (August 8) 6725
Notice from the Office of the Secretary.....	#34 (August 22) 6881
Order.....	#34 (August 22) 6913
Notice from the Office of the Secretary.....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1).....	#39 (September 26) 7810
Notice from the Office of the Secretary.....	#46 (November 14) 8771
Order – ss. 127(8), 127(1).....	#46 (November 14) 8792
<b>Our Family Office Inc.</b>	
Change in Registration Category.....	#20 (May 16) 4585
<b>Outcome Wealth Management Inc.</b>	
Change in Registration Category.....	#16 (April 18) 3849
<b>P/E Global LLC</b>	
Order – s. 80 of the CFA.....	#46 (November 14) 8803
<b>PACC Ainslie Corporation</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1.....	#14 (April 4) 3021
Notice from the Office of the Secretary.....	#14 (April 4) 3036
Notice from the Office of the Secretary.....	#20 (May 16) 4435
Notice of Withdrawal.....	#22 (May 30) 4759
Notice from the Office of the Secretary.....	#22 (May 30) 4761
Notice from the Office of the Secretary.....	#22 (May 30) 4762
Order with Amended Statement of Allegations.....	#22 (May 30) 4805
Notice from the Office of the Secretary.....	#35 (August 29) 7040
Order.....	#35 (August 29) 7043
Notice from the Office of the Secretary.....	#42 (October 17) 8257
Order.....	#42 (October 17) 8278
<b>PACC Costigan Corporation</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1.....	#14 (April 4) 3021
Notice from the Office of the Secretary.....	#14 (April 4) 3036
Notice from the Office of the Secretary.....	#20 (May 16) 4435
Notice of Withdrawal.....	#22 (May 30) 4759
Notice from the Office of the Secretary.....	#22 (May 30) 4761
Notice from the Office of the Secretary.....	#22 (May 30) 4762
Order with Amended Statement of Allegations.....	#22 (May 30) 4805
Notice from the Office of the Secretary.....	#35 (August 29) 7040
Order.....	#35 (August 29) 7043
Notice from the Office of the Secretary.....	#42 (October 17) 8257
Order.....	#42 (October 17) 8278

**PACC Crystallina Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Dacey Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Goulais Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Harriet Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Major Mack Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Maple Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Mulcaster Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Regent Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Scugog Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Sechelt Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Shaver Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Simcoe Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Thorold Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**PACC Wilson Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Palmer, Steven Douglas**

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#8 (February 21) 1511
Notice from the Office of the Secretary .....	#8 (February 21) 1518
Order with Related Settlement Agreement – ss. 127, 127.1 .....	#8 (February 21) 1656
Oral Reasons for Approval of a Settlement – ss. 127, 127.1 .....	#8 (February 21) 1669

**Panoply Capital Asset Management Inc.**

Voluntary Surrender .....	#33 (August 15) 6863
---------------------------	----------------------

**Paramount Alternative Capital Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Paramount Equity Financial Corporation**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7027
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Paramount Equity Investments Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Order with Amended Statement of Allegations .....	#22 (May 30) 4805

**Peeks Social Ltd.**

Cease Trading Order .....	#28 (July 11) 5927
Cease Trading Order .....	#29 (July 18) 6161
Cease Trading Order .....	#30 (July 25) 6331
Cease Trading Order .....	#31 (August 1) 6525
Cease Trading Order .....	#32 (August 8) 6631
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#34 (August 22) 6917
Cease Trading Order .....	#35 (August 29) 7047
Cease Trading Order .....	#36 (September 5) 7277
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#45 (November 7) 8691

**Pembroke Corporate Bond Fund**

Decision .....	#20 (May 16) 4449
Decision .....	#23 (June 6) 5017

**Pembroke Private Wealth Management Ltd.**

Decision .....	#20 (May 16) 4449
Decision .....	#23 (June 6) 5017

**Pennine Petroleum Corporation**

Cease Trading Order .....	#19 (May 9) 4301
---------------------------	------------------

**Pentecostal Assemblies of Canada (The)**

Decision .....	#36 (September 5) 7191
----------------	------------------------

**Pentecostal Financial Services Group Inc.**

Decision .....	#36 (September 5) 7182
----------------	------------------------

**Pentecostal Securities Corp.**

Name Change.....	#32 (August 8) 6707
Decision .....	#36 (September 5) 7191

**Pepcap Resources, Inc.**

Cease Trading Order .....	#6 (February 7) 1169
---------------------------	----------------------

**Performance Sports Group Ltd.**

Cease Trading Order .....	#1 (January 3) 97
Cease Trading Order .....	#2 (January 10) 371
Cease Trading Order .....	#3 (January 17) 597
Cease Trading Order .....	#4 (January 24) 819
Cease Trading Order .....	#5 (January 31) 1001
Cease Trading Order .....	#6 (February 7) 1169
Cease Trading Order .....	#7 (February 14) 1353
Cease Trading Order .....	#8 (February 21) 1679
Cease Trading Order .....	#9 (February 28) 1809
Cease Trading Order .....	#10 (March 7) 1991
Cease Trading Order .....	#11 (March 14) 2171
Cease Trading Order .....	#12 (March 21) 2575
Cease Trading Order .....	#13 (March 28) 2863
Cease Trading Order .....	#14 (April 4) 3137
Cease Trading Order .....	#15 (April 11) 3375
Cease Trading Order .....	#16 (April 18) 3643
Cease Trading Order .....	#17 (April 25) 3895
Cease Trading Order .....	#18 (May 2) 4043
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245
Cease Trading Order .....	#25 (June 20) 5463
Cease Trading Order .....	#26 (June 27) 5627
Cease Trading Order .....	#27 (July 4) 5763
Cease Trading Order .....	#28 (July 11) 5927
Cease Trading Order .....	#29 (July 18) 6161
Cease Trading Order .....	#30 (July 25) 6331
Cease Trading Order .....	#31 (August 1) 6525
Cease Trading Order .....	#32 (August 8) 6631
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#34 (August 22) 6917
Cease Trading Order .....	#35 (August 29) 7047
Cease Trading Order .....	#36 (September 5) 7277
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#38 (September 19) 7665
Cease Trading Order .....	#39 (September 26) 7811
Cease Trading Order .....	#40 (October 3) 7977
Cease Trading Order .....	#41 (October 10) 8103
Cease Trading Order .....	#42 (October 17) 8283
Cease Trading Order .....	#43 (October 24) 8441
Cease Trading Order .....	#44 (October 31) 8589
Cease Trading Order .....	#45 (November 7) 8691
Cease Trading Order .....	#46 (November 14) 8813
Cease Trading Order .....	#47 (November 21) 9013
Cease Trading Order .....	#48 (November 28) 9169
Cease Trading Order .....	#49 (December 5) 9369
Cease Trading Order .....	#50 (December 12) 9503
Cease Trading Order .....	#51 (December 19) 9711

**Perimeter Markets Inc.**

Decision .....	#3 (January 17) 590
Order – s. 8.1 of OSC Rule 13-502 Fees .....	#3 (January 17) 594



<b>Pernod Ricard S.A.</b>	
Decision .....	#13 (March 28) 2827
<b>Pershing Gold Corporation</b>	
Order .....	#20 (May 16) 4460
<b>Petrocapita Income Trust</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>PetroMaroc Corporation</b>	
Order .....	#11 (March 14) 2165
<b>PetroQuest Energy Inc.</b>	
Cease Trading Order .....	#37 (September 12) 7383
Cease Trading Order .....	#40 (October 3) 7977
Order .....	#49 (December 5) 9337
<b>PGIM, Inc.</b>	
Order – s. 80 of the CFA .....	#1 (January 3) 79
<b>PharmaCielo Ltd.</b>	
Order – s. 1(11)(b) .....	#25 (June 20) 5460
<b>Picton Mahoney Asset Management</b>	
Decision .....	#15 (April 11) 3339
Decision .....	#22 (May 30) 4795
Decision .....	#27 (July 4) 5727
Decision .....	#27 (July 4) 5731
<b>PIMCO – IG Global Bond Fund</b>	
Decision .....	#7 (February 14) 1340
<b>Pimco Canada Corp.</b>	
Decision .....	#40 (October 3) 7917
<b>PineBridge Investment Grade Preferred Securities Fund</b>	
Decision .....	#3 (January 17) 557
<b>Plymouth Realty Capital Corp.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#13 (March 28) 3017
<b>Policy Statement Regulation 81-107 Respecting Independent Review Committee for Investment Funds</b>	
Request for Comments .....	#18 (May 2) 4045
<b>Poplar Creek Resources Inc.</b>	
Order – s. 144 .....	#40 (October 3) 7938
Cease Trading Order .....	#40 (October 3) 7977
<b>Portage Biotech Inc.</b>	
Cease Trading Order .....	#32 (August 8) 6631
<b>Portfolio 22 Multi-Family REIT LP</b>	
Order .....	#45 (November 7) 8662
<b>Portfolio Management Association of Canada</b>	
Decision .....	#46 (November 14) 8789
<b>Portland Investment Counsel Inc.</b>	
Decision .....	#19 (May 9) 4219
Decision .....	#25 (June 20) 5428
<b>Power Corporation of Canada</b>	
Decision .....	#10 (March 7) 1975
<b>Power Financial Corporation</b>	
Decision .....	#10 (March 7) 1980

<b>Practice Guideline</b>	
Notice of Adoption.....	#31 (August 1) 6527
Notice of Adoption.....	#51 (December 19) 9713
Rules and Procedures .....	#51 (December 19) 9736
<b>Prairie Provident Resources Canada Ltd.</b>	
Order.....	#2 (January 10) 329
<b>Prime Advisors, Inc.</b>	
Order – ss. 78(1), 80 of the CFA.....	#48 (November 28) 9164
<b>Prime Quadrant Corp.</b>	
New Registration.....	#8 (February 21) 1755
Change in Registration Category.....	#41 (October 10) 8243
<b>Prime Quadrant</b>	
Voluntary Surrender.....	#8 (February 21) 1755
<b>Primo Nutraceuticals</b>	
Cease Trading Order .....	#50 (December 12) 9503
<b>ProSmart Enterprises Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
<b>Provisus Wealth Management Limited</b>	
Change in Registration Category.....	#11 (March 14) 2509
<b>Psihopedas, Maria</b>	
Notice of Withdrawal.....	#1 (January 3) 1
Notice from the Office of the Secretary.....	#1 (January 3) 20
<b>PSP Public Markets Inc.</b>	
Order – ss. 21, 144.....	#8 (February 21) 1526
<b>Puri, Poonam</b>	
Authorization Order – s. 3.5(3).....	#4 (January 24) 790
Authorization Order – s. 3.5(3).....	#7 (February 14) 1346
Authorization Order – s. 3.5(3).....	#19 (May 9) 4289
<b>Purpose Energy Credit Fund</b>	
Decision.....	#36 (September 5) 7161
<b>Purpose Floating Rate Income Fund</b>	
Decision.....	#26 (June 27) 5592
<b>Purpose Global Financials Income Fund</b>	
Decision.....	#26 (June 27) 5592
Decision.....	#41 (October 10) 28057
<b>Purpose Global Innovators Fund</b>	
Decision.....	#36 (September 5) 7161
<b>Purpose Investments Inc.</b>	
Decision.....	#26 (June 27) 5592
Decision.....	#36 (September 5) 7161
Decision.....	#41 (October 10) 8057
<b>Purpose Marijuana Opportunities Fund</b>	
Decision.....	#36 (September 5) 7161
<b>Purpose Multi-Asset Income Fund</b>	
Decision.....	#36 (September 5) 7161
<b>Purpose Strategic Yield Fund</b>	
Decision.....	#36 (September 5) 7161

<b>PVM Futures, Inc.</b>	
Ruling and Exemption – s. 38 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options ....	#21 (May 23) 4618
<b>Qs Investors, LLC</b>	
Voluntary Surrender.....	#2 (January 10) 539
<b>Quadravest Capital Management Inc.</b>	
Decision.....	#43 (October 24) 8410
<b>Quadron Cannatech Corporation</b>	
Order.....	#35 (August 29) 7044
<b>Quadrus Investment Services Ltd.</b>	
Decision.....	#29 (July 18) 6119
<b>R.J. O'Brien &amp; Associates Canada Inc.</b>	
Ruling – s. 38(1) of the CFA.....	#14 (April 4) 3129
Decision.....	#17 (April 25) 3863
<b>Rally Assets Inc.</b>	
New Registration.....	#36 (September 5) 7375
<b>Randgold Resources Limited</b>	
Order.....	#2 (January 10) 354
<b>Razorbill Advisors Inc.</b>	
Suspended (Non-Renewal).....	#5 (January 31) 1095
<b>RBC Canadian Bond Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RBC Canadian Equity Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RBC Canadian Short Term Bond Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RBC Capital Markets, LLC</b>	
Decision.....	#19 (May 9) 4259
<b>RBC Dominion Securities Inc.</b>	
Order – ss. 21, 144.....	#8 (February 21) 1526
Decision.....	#14 (April 4) 3087
Decision.....	#19 (May 9) 4259
Decision.....	#27 (July 4) 5736
Decision.....	#32 (August 8) 6625
Decision.....	#39 (September 26) 7793
<b>RBC Global Asset Management Inc.</b>	
Decision.....	#1 (January 3) 41
Decision.....	#18 (May 2) 4002
Decision.....	#44 (October 31) 8522
Decision.....	#51 (December 19) 9683
<b>RBC Global Government Bond (CAD Hedged) Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RBC International Equity Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RBC U.S. Equity Index ETF</b>	
Decision.....	#18 (May 2) 4002
<b>RCM Partners Inc.</b>	
Voluntary Surrender.....	#36 (September 5) 7375

<b>Real Crowd Capital Inc.</b>	
Consent to Suspension (Pending Surrender).....	#38 (September 19) 7777
<b>Real Estate Asset Liquidity Trust</b>	
Order.....	#31 (August 1) 6479
<b>Real Return Bond Fund (Portico)</b>	
Decision.....	#5 (January 31) 955
<b>Red Trust</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708
<b>Reducing Regulatory Burden for Investment Fund Issuers – Phase 2, Stage 1</b>	
Request for Comments.....	#37 (September 12) 7393
<b>Reducing Regulatory Burden in Ontario’s Capital Markets 2019</b>	
Notice.....	#49 (December 5) 9299
<b>Refinitiv Multilateral Trading Facility</b>	
Order – s. 147.....	#24 (June 13) 5223
Marketplaces – Application for Exemptive Relief – Notice of Variation of Commission Interim Order.....	#24 (June 13) 5416
<b>Regent Capital Partners Inc.</b>	
Name Change.....	#22 (May 30) 4955
<b>Reservoir Capital Corp.</b>	
Cease Trading Order.....	#19 (May 9) 4302
Cease Trading Order.....	#19 (May 9) 4303
Cease Trading Order.....	#20 (May 16) 4477
Cease Trading Order.....	#21 (May 23) 4633
<b>Resolute Asset Management Inc.</b>	
Name Change.....	#43 (October 24) 8501
<b>Resource Capital Gold Corp.</b>	
Cease Trading Order.....	#46 (November 14) 8813
<b>Revised Approval 81-901 Mutual Fund Trusts: Approval of Trustees Under Clause 213(3)(b) of the Loan and Trust Corporations Act</b>	
Notice.....	#26 (June 27) 5563
<b>Reynolds, Keir</b>	
Notice from the Office of the Secretary.....	#5 (January 31) 938
Order – ss. 127(1), 127(10).....	#5 (January 31) 979
Reasons and Decision – ss. 127(1), 127(10).....	#5 (January 31) 991
<b>RHC Capital Corporation</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA.....	#8 (February 21) 1765
<b>Ritchie Bros. Auctioneers Incorporated</b>	
Decision.....	#10 (March 7) 1964
<b>Robert C. Baker Trust for Grandchildren</b>	
Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708

<b>Robinson, Terry</b>	
Order – s. 144(1).....	#23 (June 6) 5039
<b>Robix Environmental Technologies, Inc.</b>	
Partial Revocation Order.....	#31 (August 1) 6485
<b>Rocaton Investment Advisors, LLC</b>	
Consent to Suspension (Pending Surrender).....	#51 (December 19) 9887
<b>Rosehearty Energy Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Order – s. 144 .....	#7 (February 14) 1343
<b>Rosita Mining Corporation</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477
<b>Rothstein, John David</b>	
Notice from the Office of the Secretary .....	#6 (February 7) 1116
Reasons and Decision .....	#6 (February 7) 1148
Notice of Hearing – s. 144.....	#42 (October 17) 8249
Notice from the Office of the Secretary .....	#42 (October 17) 8256
Notice from the Office of the Secretary .....	#43 (October 24) 8407
Order – s. 144 .....	#43 (October 24) 8431
Reasons and Decision – s. 144 .....	#43 (October 24) 8439
<b>Royal Bank of Canada</b>	
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#35 (August 29) 7027
Notice from the Office of the Secretary .....	#36 (September 5) 7159
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#36 (September 5) 7253
Oral Reasons for Approval of Settlement – ss. 127, 127.1 .....	#36 (September 5) 7275
Decision .....	#39 (September 26) 7802
<b>Royal Mutual Funds Inc.</b>	
Decision .....	#26 (June 27) 5570
<b>RP Investment Advisors LP</b>	
Decision .....	#50 (December 12) 9473
<b>Rudensky, Andrew Paul</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 554
Order – s. 21.7 .....	#3 (January 17) 593
Notice from the Office of the Secretary .....	#14 (April 4) 3035
Notice from the Office of the Secretary .....	#29 (July 18) 6107
Reasons and Decision – s. 21.7 .....	#29 (July 18) 6141
Rules and Policies .....	#31 (August 1) 6528
Rules and Policies .....	#31 (August 1) 6549
<b>Rules of Procedure and Forms</b>	
Notice of Adoption.....	#31 (August 1) 6527
Notice of Adoption.....	#51 (December 19) 9713
Rules and Procedures .....	#51 (December 19) 9714
<b>Rupert Acquisition LLC</b>	
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Russell Investments Canada Limited</b>	
Decision .....	#12 (March 21) 2564
Decision .....	#13 (March 28) 2820

**Ruttenberg, Marc**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Ryan Labs Asset Management Inc.**

Order – ss. 78(1), 80 of the CFA .....	#48 (November 28) 9164
--	------------------------

**Ryan, Annemarie**

Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346

**RYU Apparel Inc.**

Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#20 (May 16) 4477

**Samara Capital Inc.**

Decision .....	#14 (April 4) 3111
----------------	--------------------

**Sandler, Mark J.**

Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346

**Sandspring Resources Ltd.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#49 (December 5) 9457
--	-----------------------

**Sarbit Advisory Services Inc.**

Voluntary Surrender .....	#44 (October 31) 8635
---------------------------	-----------------------

**Savary Gold Corp.**

Order .....	#22 (May 30) 4824
Order – s. 1(6) of the OBCA .....	#23 (June 6) 5042

**SBC Financial Group Inc.**

Notice from the Office of the Secretary .....	#1 (January 3) 20
Order – ss. 127(1), 127(10) .....	#1 (January 3) 71
Reasons and Decision – ss. 127(1), 127(10) .....	#1 (January 3) 89

**SBD Capital Corp.**

Cease Trading Order .....	#32 (August 8) 6631
Cease Trading Order .....	#34 (August 22) 6917

**Scotia Aria Equity Build Portfolio**

Decision .....	#31 (August 1) 6468
----------------	---------------------

**Scotia Aria Equity Defend Portfolio**

Decision .....	#31 (August 1) 6468
----------------	---------------------

**Scotia Aria Equity Pay Portfolio**

Decision .....	#31 (August 1) 6468
----------------	---------------------

**Scotia Capital (USA) INC.**

Decision .....	#19 (May 9) 4259
----------------	------------------

<b>Scotia Capital Inc.</b>	
Decision .....	#4 (January 24) 755
Order – ss. 21, 144 .....	#8 (February 21) 1610
Decision .....	#19 (May 9) 4259
Order .....	#24 (June 13) 5181
Decision .....	#27 (July 4) 5736
Decision .....	#39 (September 26) 7793
<b>Scotia Latin American Fund</b>	
Decision .....	#26 (June 27) 5581
<b>Scotia Pacific Rim Fund</b>	
Decision .....	#26 (June 27) 5581
<b>Scotia Private Diversified International Equity Pool</b>	
Decision .....	#31 (August 1) 6468
<b>Scotia Private International Growth Equity Pool</b>	
Decision .....	#31 (August 1) 6468
<b>SDX Energy Inc.</b>	
Order .....	#22 (May 30) 4826
<b>SEB S.A.</b>	
Decision .....	#23 (June 6) 5027
<b>Securities Act, R.S.O. 1990, c. S.5</b>	
Notice of Amendments .....	#3 (January 17) 549
Legislation .....	#3 (January 17) 737
<b>SEDAR Filer Manual</b>	
Request for Comments .....	#18 (May 2) 4045
<b>SEI Investments Canada Company</b>	
Decision .....	#38 (September 19) 7651
<b>Self Initiated Plan</b>	
Decision .....	#12 (March 21) 2557
<b>Semafo (Holding) Limited</b>	
Order .....	#22 (May 30) 4824
Order – s. 1(6) of the OBCA .....	#23 (June 6) 5042
<b>Sentry Alternative Asset Income Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Canadian Bond Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Conservative Monthly Income Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Diversified Equity Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Energy Fund</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Global Tactical Fixed Income Private Pool</b>	
Decision .....	#44 (October 31) 8526
<b>Sentry Investments Inc.</b>	
Notice of Hearing – s. 144 .....	#36 (September 5) 7157
Notice from the Office of the Secretary .....	#36 (September 5) 7158
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Order – s. 144 .....	#39 (September 26) 7809

<b>Serinus Energy Plc</b>	
Decision .....	#1 (January 3) 58
<b>Serrano, Silvio</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#38 (September 19) 7631
Notice from the Office of the Secretary .....	#38 (September 19) 7636
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Order – ss. 127, 127.1 .....	#40 (October 3) 7953
<b>Sharno Capital Corporation</b>	
New Registration .....	#29 (July 18) 6269
<b>Short Term Bond Fund (Portico)</b>	
Decision .....	#5 (January 31) 955
<b>Sidders, David Paul George</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 555
Order .....	#3 (January 17) 593
Notice from the Office of the Secretary .....	#4 (January 24) 752
Notice from the Office of the Secretary .....	#5 (January 31) 938
Order .....	#5 (January 31) 980
Notice from the Office of the Secretary .....	#7 (February 14) 1315
Reasons for Decision on Motion .....	#7 (February 14) 1347
Notice from the Office of the Secretary .....	#13 (March 28) 2808
Notice from the Office of the Secretary .....	#44 (October 31) 8509
Order – s. 127(1) .....	#44 (October 31) 8535
Reasons and Decision – s. 127(1) .....	#44 (October 31) 8543
<b>Sightline Wealth Management LP</b>	
Name Change .....	#12 (March 21) 2791
<b>Sigma Analysis &amp; Management Ltd.</b>	
Approval – s. 213(3)(b) of the LTCA .....	#22 (May 30) 4959
<b>Signature Gold Corporate Class</b>	
Decision .....	#44 (October 31) 8526
<b>SilentVault</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1) .....	#46 (November 14) 8792
<b>Silk Road Energy Inc.</b>	
Cease Trading Order .....	#6 (February 7) 1169
Partial Revocation Order .....	#45 (November 7) 8663
<b>Silver Maple Ventures Inc.</b>	
Order .....	#49 (December 5) 9326



**Silverfern GP Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Silverfern Secured Mortgage Fund**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Silverfern Secured Mortgage Limited Partnership**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Sinclair-Cockburn Financial Services Inc.**

Change in Registration Category .....	#35 (August 29) 7151
---------------------------------------	----------------------

**Sionna Canadian Small Cap Equity Fund**

Decision .....	#21 (May 23) 4603
----------------	-------------------

**Sionna Diversified Income Fund**

Decision .....	#21 (May 23) 4603
----------------	-------------------

**Sionna Monthly Income Fund**

Decision .....	#21 (May 23) 4603
----------------	-------------------

**SmartBe Wealth Inc.**

Decision .....	#4 (January 24) 776
Decision .....	#4 (January 24) 780

**SMBC Nikko Securities Canada, Ltd.**

New Registration .....	#27 (July 4) 5871
------------------------	-------------------

**Sniper Resources Ltd.**

Order – s. 144 .....	#19 (May 9) 4282
----------------------	------------------

<b>Soave, Frank</b>	
Notice from the Office of the Secretary .....	#6 (February 7) 1116
Reasons and Decision .....	#6 (February 7) 1148
Notice of Hearing – s. 144.....	#42 (October 17) 8249
Notice from the Office of the Secretary .....	#42 (October 17) 8256
Notice from the Office of the Secretary .....	#43 (October 24) 8407
Order – s. 144 .....	#43 (October 24) 8431
Reasons and Decision – s. 144 .....	#43 (October 24) 8439
<b>Sodhi Asset Management Inc.</b>	
Voluntary Surrender.....	#46 (November 14) 8975
<b>Solar Income Fund Inc.</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#40 (October 3) 7905
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Notice from the Office of the Secretary .....	#42 (October 17) 8258
Order.....	#42 (October 17) 8281
Notice from the Office of the Secretary – Notice of Correction.....	#43 (October 24) 8406
Amended Order .....	#43 (October 24) 8429
<b>Solium Capital Inc.</b>	
Decision .....	#1 (January 3) 48
Order.....	#21 (May 23) 4612
<b>Soundvest Capital Management Ltd.</b>	
Decision .....	#26 (June 27) 5592
<b>Soundvest Split Trust</b>	
Decision .....	#26 (June 27) 5592
Order.....	#29 (July 18) 6138
<b>SP Wealth LP</b>	
Name Change.....	#12 (March 21) 2791
<b>Sphere Investment Management Inc.</b>	
Voluntary Surrender.....	#8 (February 21) 1755
<b>Sprott Capital Partners LP</b>	
Name Change.....	#4 (January 24) 923
<b>Sprott Private Wealth LP</b>	
Name Change.....	#4 (January 24) 923
<b>St Global Asset Management Inc.</b>	
New Registration.....	#18 (May 2) 4203
<b>Standard Life Investments (USA) Ltd.</b>	
Consent to Suspension (Pending Surrender).....	#10 (March 7) 2151
Voluntary Surrender.....	#31 (August 1) 6609
<b>Stans Energy Corp.</b>	
Decision .....	#18 (May 2) 4030
<b>Star Navigation Systems Group Ltd.</b>	
Cease Trading Order .....	#45 (November 7) 8691
<b>Starlight U.S. Multi-Family (No. 1) Value-Add Fund</b>	
Decision .....	#48 (November 28) 9156
<b>Starlight U.S. Multi-Family (No. 5) Core Fund</b>	
Decision .....	#16 (April 18) 3615
Order.....	#26 (June 27) 5625
<b>StartMonday Technology Corp.</b>	
Cease Trading Order .....	#29 (July 18) 6161

<b>Sterling Bridge Mortgage Corp.</b>	
Director's Decision (Opportunity to be Heard) – s. 31 .....	#18 (May 2) 4041
<b>Stewards Canada</b>	
Decision .....	#2 (January 10) 318
Decision .....	#14 (April 4) 3037
Decision .....	#27 (July 4) 5741
Decision .....	#36 (September 5) 7181
<b>St-Georges Eco-Mining Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#21 (May 23) 4633
<b>Stifel Nicolaus Canada Inc.</b>	
New Registration.....	#50 (December 12) 9649
<b>Stoyell, Hugh</b>	
Order – s. 144(1).....	#23 (June 6) 5039
<b>Strang, Peter</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#38 (September 19) 7631
Notice from the Office of the Secretary .....	#38 (September 19) 7636
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Order – ss. 127, 127.1 .....	#40 (October 3) 7953
<b>Strategic Global Advisors, LLC</b>	
New Registration.....	#3 (January 17) 745
<b>Strategic Oil &amp; Gas Ltd.</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>Strathbridge Asset Management Inc.</b>	
Decision .....	#50 (December 12) 9469
<b>Street Capital Group Inc.</b>	
Order.....	#46 (November 14) 8792
Order.....	#49 (December 5) 9321
<b>Sun Life Capital Management (Canada) Inc.</b>	
Order – ss. 78(1), 80 of the CFA.....	#48 (November 28) 9164
<b>Sun Life Capital Management (Canada) Inc./Gestion de capital Sun Life (Canada) Inc.</b>	
Name Change.....	#30 (July 25) 6437
<b>Sun Life Capital Management (U.S.) LLC</b>	
Order – ss. 78(1), 80 of the CFA.....	#48 (November 28) 9164
<b>Sun Life Global Investments (Canada) Inc.</b>	
Decision .....	#47 (November 21) 9000
Order – ss. 78(1), 80 of the CFA.....	#48 (November 28) 9164
<b>Sun Life Institutional Investments (Canada) Inc./Placements Institutionnel Sun Life (Canada) Inc.</b>	
Name Change.....	#30 (July 25) 6437
<b>SVX</b>	
Name Change.....	#21 (May 23) 4745
<b>Sweet Futures 1 LLC</b>	
Ruling – s. 38 of the CFA.....	#49 (December 5) 9351
<b>Sweet Natural Trading Co. Limited</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>Sygnnet Capital Inc.</b>	
New Registration.....	#36 (September 5) 7375

**Symbility Solutions Inc.**

Order..... #2 (January 10) 328

**Tapscott, Alex**

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 ..... #20 (May 16) 4429  
 Notice from the Office of the Secretary ..... #20 (May 16) 4434  
 Notice from the Office of the Secretary ..... #20 (May 16) 4436  
 Order with Related Settlement Agreement – ss. 127, 127.1 ..... #20 (May 16) 4463  
 Oral Reasons for Approval of a Settlement – ss. 127, 127.1 ..... #20 (May 16) 4473

**Taylor Asset Management Inc.**

Consent to Suspension (Pending Surrender).....#51 (December 19) 9887

**Taylor North American Equity Opportunities Fund**

Decision ..... #19 (May 9) 4237

**TD Advantage Balanced Income Portfolio**

Decision ..... #34 (August 22) 6901

**TD Asset Management Inc.**

Decision ..... #12 (March 21) 2543  
 Decision ..... #18 (May 2) 4010  
 Decision ..... #34 (August 22) 6901  
 Decision ..... #45 (November 7) 8653  
 Amalgamation ..... #48 (November 28) 9293

**TD Balanced Income Fund**

Decision ..... #34 (August 22) 6901

**TD Investment Services Inc.**

Decision ..... #45 (November 7) 8649

**TD Securities (USA) LLC**

Decision ..... #19 (May 9) 4259

**TD Securities Inc.**

Order – ss. 21, 144 ..... #8 (February 21) 1610  
 Decision ..... #19 (May 9) 4259  
 Decision ..... #24 (June 13) 5177  
 Order ..... #24 (June 13) 5181  
 Ruling – s. 38(1) of the CFA..... #24 (June 13) 5234  
 Decision ..... #27 (July 4) 5736  
 Decision ..... #32 (August 8) 6625

**Teck Resources Limited**

Decision ..... #1 (January 3) 51

**Templeton Global Advisors Limited**

Order – s. 80 of the CFA..... #2 (January 10) 331  
 Order – ss. 78(1), 80 of the CFA..... #26 (June 27) 5618

**Templeton Investment Counsel, LLC**

Order – s. 80 of the CFA..... #2 (January 10) 331  
 Order – ss. 78(1), 80 of the CFA..... #26 (June 27) 5618

**Tenth Avenue Petroleum Corp.**

Cease Trading Order ..... #19 (May 9) 4302

**Terracorp Investment Ltd.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127(10)..... #29 (July 18) 6099  
 Notice from the Office of the Secretary ..... #29 (July 18) 6110  
 Notice from the Office of the Secretary ..... #41 (October 10) 8053  
 Order – ss. 127(1), 127(10)..... #41 (October 10) 8078  
 Reasons and Decision – 127(1), 127(10) ..... #41 (October 10) 8085

<b>TerrAscend Corp.</b>	
Decision .....	#50 (December 12) 9492
<b>TFS-ICAP, LLC</b>	
Decision .....	#9 (February 28) 1773
<b>Theroux, Alain Armand</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#13 (March 28) 2804
Notice from the Office of the Secretary .....	#13 (March 28) 2810
Notice from the Office of the Secretary .....	#23 (June 6) 4989
Order – ss. 127(1), 127(10).....	#23 (June 6) 5043
Reasons and Decision – ss. 127(1), 127(10).....	#23 (June 6) 5069
<b>TheScore, Inc.</b>	
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#40 (October 3) 8043
<b>TJM Institutional Services LLC</b>	
Ruling – s. 38 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options .....	#24 (June 13) 5224
<b>TMX Group Limited</b>	
Order – ss. 21, 144 .....	#8 (February 21) 1610
Marketplaces – Notice of Variation Orders.....	#8 (February 21) 1758
Order.....	#24 (June 13) 5181
<b>Toachi Mining Inc.</b>	
Order.....	#42 (October 17) 8280
<b>TokenGX Inc.</b>	
New Registration.....	#17 (April 25) 3981
Decision .....	#44 (October 31) 8511
<b>Toronto Stock Exchange</b>	
Marketplaces – TSX Company Manual – Notice of Housekeeping Rule Amendments .....	#41 (October 10) 8245
Marketplaces – Notice of Housekeeping Rule Amendments to the TSX Company Manual .....	#50 (December 12) 9652
<b>Toronto-Dominion Bank, The</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1 .....	#35 (August 29) 7033
Notice from the Office of the Secretary .....	#35 (August 29) 7041
Notice from the Office of the Secretary .....	#36 (September 5) 7158
Order with Related Settlement Agreements – ss. 127, 127.1 .....	#36 (September 5) 7232
Oral Reasons for Approval of Settlement – ss. 127, 127.1 .....	#36 (September 5) 7273
<b>Tower One Wireless Corp</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>TransAlta Corporation</b>	
Notice of Hearing – ss. 104, 127 .....	#16 (April 18) 3611
Notice from the Office of the Secretary .....	#16 (April 18) 3612
Notice from the Office of the Secretary .....	#16 (April 18) 3613
Notice from the Office of the Secretary .....	#16 (April 18) 3614
Order.....	#16 (April 18) 3639
Order.....	#16 (April 18) 3641
Notice from the Office of the Secretary .....	#23 (June 6) 4988
Reasons for Decision – ss. 104, 127 .....	#23 (June 6) 5057
<b>Transat A.T. Inc.</b>	
Decision .....	#30 (July 25) 6300
<b>Trans-Canada Capital Inc.</b>	
New Registration.....	#19 (May 9) 4415
<b>TransCanada Corporation</b>	
Decision .....	#7 (February 14) 1317
Change in Registration Category .....	#29 (July 18) 6269

**TransCanada PipeLines Limited**

Decision .....	#7 (February 14) 1317
Order .....	#29 (July 18) 6136

**TransCanada Trust**

Decision .....	#7 (February 14) 1317
----------------	-----------------------

**Traverse Energy Ltd.**

Cease Trading Order .....	#50 (December 12) 9503
---------------------------	------------------------

**Tree of Knowledge International Corp.**

Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#19 (May 9) 4303
Cease Trading Order .....	#20 (May 16) 4477
Cease Trading Order .....	#21 (May 23) 4633
Cease Trading Order .....	#22 (May 30) 4839
Cease Trading Order .....	#23 (June 6) 5075
Cease Trading Order .....	#24 (June 13) 5245

**Tremblay, Eric**

Notice from the Office of the Secretary .....	#6 (February 7) 1116
Reasons and Decision .....	#6 (February 7) 1148
Notice of Hearing – s. 144 .....	#42 (October 17) 8249
Notice from the Office of the Secretary .....	#42 (October 17) 8256
Notice from the Office of the Secretary .....	#43 (October 24) 8407
Order – s. 144 .....	#43 (October 24) 8431
Reasons and Decision – s. 144 .....	#43 (October 24) 8439

**Trez Capital Mortgage Investment Corporation**

Order .....	#43 (October 24) 8421
-------------	-----------------------

**TriAct Canada Marketplace LP**

Marketplaces – Change to the MATCHNow Trading System – Notice of Proposed Change and Request for Comment .....	#30 (July 25) 6439
Marketplaces – Proposed Change to the MATCHNow Trading System – Notice of Approval .....	#38 (September 19) 7779

**Tribute Resources Inc.**

Cease Trading Order .....	#19 (May 9) 4302
---------------------------	------------------

**Trillium Therapeutics Inc.**

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#49 (December 5) 9459
--	-----------------------

**Trilogy Equities Group Limited Partnership**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Order – s. 127(8) .....	#14 (April 4) 3118
Notice from the Office of the Secretary .....	#18 (May 2) 3995
Order – s. 127(8) .....	#18 (May 2) 4035
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Reasons and Decision – s. 127(8) .....	#22 (May 30) 4837
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order .....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order .....	#42 (October 17) 8278

**Trilogy Mortgage Group Inc.**

Notice of Hearing with Related Statements of Allegations – ss. 127(1), 127.1 .....	#14 (April 4) 3021
Notice from the Office of the Secretary .....	#14 (April 4) 3036
Order – s. 127(8).....	#14 (April 4) 3118
Notice from the Office of the Secretary .....	#18 (May 2) 3995
Order – s. 127(8).....	#18 (May 2) 4035
Notice from the Office of the Secretary .....	#20 (May 16) 4435
Notice of Withdrawal .....	#22 (May 30) 4759
Notice from the Office of the Secretary .....	#22 (May 30) 4761
Notice from the Office of the Secretary .....	#22 (May 30) 4762
Order with Amended Statement of Allegations .....	#22 (May 30) 4805
Reasons and Decision – s. 127(8) .....	#22 (May 30) 4837
Notice from the Office of the Secretary .....	#35 (August 29) 7040
Order.....	#35 (August 29) 7043
Notice from the Office of the Secretary .....	#42 (October 17) 8257
Order.....	#42 (October 17) 8278

**Trinidad Drilling Ltd.**

Order.....	#9 (February 28) 1803
------------	-----------------------

**Triple Flag Precious Metals Corp.**

Decision .....	#45 (November 7) 8651
----------------	-----------------------

**Troon North Capital Inc.**

Consent to Suspension (Pending Surrender).....	#43 (October 24) 8501
--	-----------------------

**Troon North Capital Inc.**

Voluntary Surrender.....	#46 (November 14) 8975
--------------------------	------------------------

**trueEX LLC**

Revocation Order – s. 144 .....	#38 (September 19) 7664
Marketplaces – Notice of Revocation Order.....	#38 (September 19) 7780

**TSO3 Inc.**

Order.....	#43 (October 24) 8430
------------	-----------------------

**TSX Inc.**

Order – s. 147 .....	#2 (January 10) 358
Marketplaces – Enhancements to Dark Trading Functionality – Notice of Proposed Changes and Request for Comments .....	#5 (January 31) 1097
Marketplaces – Dark Trading Enhancements – Notice of Approval .....	#6 (February 7) 1307
Order – ss. 21, 144 .....	#8 (February 21) 1610
Marketplaces – Notice of Variation Orders.....	#8 (February 21) 1758
Marketplaces – Dynamic Order Protection Rule Repricing – Notice of Proposed Changes and Request for Comment .....	#8 (February 21) 1761
Marketplaces – Enhancement of “Seek Dark Liquidity” Functionality – Notice of Proposed Amendments and Request for Comments .....	#11 (March 14) 2513
Marketplaces – Dynamic OPR Reprice – Notice of Approval.....	#16 (April 18) 3852
Marketplaces – Contra Mid-Point Only Order Plus (CMO Plus) – Notice of Approval.....	#16 (April 18) 3853
Marketplaces – Housekeeping Amendments to the Rules of the TSX – Notice of Housekeeping Rules Amendments.....	#16 (April 18) 3854
Marketplaces – Notice of Housekeeping Rule Amendment to the TSX Company Manual .....	#21 (May 23) 4748
Order.....	#24 (June 13) 5181
Marketplaces – Enhancement of ‘Seek Dark Liquidity’ Functionality – Notice of Withdrawal.....	#25 (June 20) 5547
Marketplaces – Housekeeping Amendments to the Rules of Toronto Stock Exchange – Notice of Housekeeping Rule Amendments.....	#27 (July 4) 5873
Marketplaces – TSX Company Manual – Notice of Housekeeping Rule Amendments .....	#41 (October 10) 8245

**U.S. and International Equity Class**

Decision .....	#5 (January 31) 955
----------------	---------------------

**U.S. and International Specialty Class**

Decision .....	#5 (January 31) 955
----------------	---------------------

<b>U.S. Value Fund (London Capital)</b>	
Decision .....	#5 (January 31) 955
<b>Umbrella Merchant Services Inc.</b>	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#19 (May 9) 4213
Notice from the Office of the Secretary .....	#19 (May 9) 4218
Notice from the Office of the Secretary .....	#21 (May 23) 4601
Order – ss. 127(1), 127(10).....	#21 (May 23) 4614
Reasons and Decision – ss. 127(1), 127(10).....	#21 (May 23) 4629
<b>USI-Tech Limited</b>	
Notice from the Office of the Secretary .....	#3 (January 17) 555
Order – ss. 127(1), 127(10).....	#3 (January 17) 595
Notice from the Office of the Secretary .....	#9 (February 28) 1770
Reasons and Decision – ss. 127(1), 127(10).....	#9 (February 28) 1805
<b>V.F. Corporation</b>	
Decision .....	#22 (May 30) 4770
<b>Valener Inc.</b>	
Order.....	#47 (November 21) 9010
<b>ValOro Resources Inc.</b>	
Order.....	#19 (May 9) 4294
<b>Valt.X Holdings Inc.</b>	
Notice from the Office of the Secretary .....	#6 (February 7) 1116
Order – ss. 127(1), 127.1 .....	#6 (February 7) 1125
Reasons and Decision on Sanctions and Costs – ss. 127(1), 127.1.....	#6 (February 7) 1135
<b>Vanguard Investments Canada Inc.</b>	
Decision .....	#46 (November 14) 8786
<b>Vannatta, Steven</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order.....	#18 (May 2) 4034
Notice from the Office of the Secretary .....	#29 (July 18) 6109
Decision .....	#29 (July 18) 6138
Notice from the Office of the Secretary .....	#40 (October 3) 7914
Order.....	#40 (October 3) 7940
Notice from the Office of the Secretary .....	#50 (December 12) 9467
<b>Vantage Asset Management Inc.</b>	
Amalgamation .....	#51 (December 19) 9887
<b>Vector REIT Inc.</b>	
Cease Trading Order .....	#41 (October 10) 8103
<b>VectorGlobal IAG Canada Inc.</b>	
Decision .....	#15 (April 11) 3352
New Registration.....	#22 (May 30) 4955
<b>Venator Capital Management Ltd.</b>	
Decision .....	#51 (December 19) 9698
<b>Venator Income Fund</b>	
Decision .....	#51 (December 19) 9698
<b>Vesta Wealth Partners Ltd.</b>	
New Registration.....	#41 (October 10) 8243
<b>Victory Square Technologies Inc.</b>	
Cease Trading Order .....	#33 (August 15) 6751
Cease Trading Order .....	#35 (August 29) 7047



<b>Viking Gold Explorations Inc.</b>	
Order – s. 144 .....	#15 (April 11) 3371
Cease Trading Order .....	#15 (April 11) 3375
Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA .....	#33 (August 15) 6865
<b>Vingoe, D. Grant</b>	
Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289
<b>Virtu Financial Canada ULC</b>	
New Registration .....	#14 (April 4) 3311
<b>Virtu ITG Canada Corp.</b>	
Name Change .....	#15 (April 11) 3581
<b>Vision Alternative Income Fund</b>	
Decision .....	#20 (May 16) 4439
<b>Vision Capital Corporation</b>	
Decision .....	#20 (May 16) 4439
Decision .....	#47 (November 21) 8986
<b>Vivione Biosciences Inc.</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>Voyager Digital (Canada) Ltd.</b>	
Cease Trading Order .....	#46 (November 14) 8813
Cease Trading Order .....	#47 (November 21) 9013
Cease Trading Order .....	#48 (November 28) 9169
Cease Trading Order .....	#49 (December 5) 9369
Cease Trading Order .....	#50 (December 12) 9503
Cease Trading Order .....	#51 (December 19) 9711
<b>VPP Management Inc.</b>	
Amalgamation .....	#51 (December 19) 9887
<b>VRK Forex &amp; Investments Inc.</b>	
Notice of Hearing with Related Statement of Allegations– ss. 127(1), 127.1 .....	#48 (November 28) 9115
Notice from the Office of the Secretary .....	#48 (November 28) 9119
<b>Walton Big Lake Development L.P.</b>	
Cease Trading Order .....	#19 (May 9) 4302
Cease Trading Order .....	#21 (May 23) 4633
<b>Walton Capital Management Inc.</b>	
Voluntary Surrender .....	#4 (January 24) 923
<b>Ward, Benjamin</b>	
Notice of Hearing with Related Statements of Allegations – ss. 127, 127.1 .....	#38 (September 19) 7631
Notice from the Office of the Secretary .....	#38 (September 19) 7636
Notice from the Office of the Secretary .....	#40 (October 3) 7915
Order – ss. 127, 127.1 .....	#40 (October 3) 7953
<b>Wardell, Robert</b>	
Order – s. 144(1) .....	#23 (June 6) 5039
<b>Waseco Resources Inc.</b>	
Cease Trading Order .....	#28 (July 11) 5927
Cease Trading Order .....	#32 (August 8) 6631
<b>Wavefront Global Diversified Investment Class</b>	
Decision .....	#26 (June 27) 5599
Change in Registration Category .....	#49 (December 5) 9451

<b>Wayland Group Corp.</b>	
Cease Trading Order .....	#19 (May 9) 4302
<b>Waypoint Investment Partners Inc.</b>	
Change in Registration Category .....	#4 (January 24) 923
Approval – s. 213(3)(b) of the LTCA .....	#5 (January 31) 1107
<b>Wealth Distributed Corp.</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1).....	#46 (November 14) 8792
<b>WealthConnect Inc.</b>	
Voluntary Surrender .....	#14 (April 4) 3311
<b>Wealthforce Inc.</b>	
New Registration.....	#10 (March 7) 2151
<b>Webster, Paul</b>	
Notice from the Office of the Secretary .....	#2 (January 10) 303
Order – ss. 127(1), 127(10).....	#2 (January 10) 356
Reasons and Decision – ss. 127(1), 127(10) .....	#2 (January 10) 365
<b>Welcome Place Inc.</b>	
Notice from the Office of the Secretary .....	#18 (May 2) 3994
Order – s. 17 .....	#18 (May 2) 4033
Reasons and Decision – s. 17 .....	#18 (May 2) 4039
<b>Wells Asset Management System Inc.</b>	
Director’s Decision (Opportunity to be Heard) – s. 31 .....	#19 (May 9) 4299
<b>Wells Fargo Securities, LLC</b>	
Ruling – s. 38 of the CFA and s. 6.1 of Rule 91-502 Trades in Recognized Options.....	#23 (June 6) 5045
<b>Wells, Dale Richard</b>	
Director’s Decision (Opportunity to be Heard) – s. 31 .....	#19 (May 9) 4299
<b>Wenden, Paul</b>	
Director’s Decision .....	#41 (October 10) 8102
<b>WEQ Holdings Inc.</b>	
Decision .....	#14 (April 4) 3096
<b>Western Asset Management Company, LLC</b>	
Order – s. 80 of the CFA.....	#36 (September 5) 7220
<b>WesternOne Inc.</b>	
Decision .....	#14 (April 4) 3096
<b>Westfield Partners Ltd.</b>	
New Registration.....	#41 (October 10) 8243
<b>WestJet Airlines Ltd.</b>	
Decision .....	#26 (June 27) 5567
<b>Westwood Global Dividend Fund</b>	
Decision .....	#48 (November 28) 9121

<b>Westwood Global Equity Fund</b>	
Decision .....	#48 (November 28) 9121
<b>White Commercial Corporation</b>	
Ruling – s. 38 of the CFA .....	#49 (December 5) 9351
<b>Whitecrest Partners, LP</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Whitehaven Asset Management Inc.</b>	
Change in Registration Category .....	#8 (February 21) 1755
<b>Wilkerson, Kevin</b>	
Notice of Hearing – ss. 127(8), 127(1) .....	#32 (August 8) 6619
Notice from the Office of the Secretary .....	#32 (August 8) 6620
Temporary Order – ss. 127(1), 127(5) .....	#32 (August 8) 6630
Application to Extend Temporary Order – ss. 127(8), 127(1) .....	#32 (August 8) 6725
Notice from the Office of the Secretary .....	#34 (August 22) 6881
Order .....	#34 (August 22) 6913
Notice from the Office of the Secretary .....	#39 (September 26) 7783
Temporary Order – ss. 127(8), 127(1) .....	#39 (September 26) 7810
Notice from the Office of the Secretary .....	#46 (November 14) 8771
Order – ss. 127(8), 127(1) .....	#46 (November 14) 8792
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1 .....	#48 (November 28) 9112
Notice from the Office of the Secretary .....	#48 (November 28) 9119
Notice from the Office of the Secretary .....	#51 (December 19) 9680
<b>William and Phyllis Mack Family Foundation, Inc. (The)</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708
<b>Williams, M. Cecilia</b>	
Authorization Order – s. 3.5(3) .....	#4 (January 24) 790
Authorization Order – s. 3.5(3) .....	#7 (February 14) 1346
Authorization Order – s. 3.5(3) .....	#19 (May 9) 4289
<b>Wolfpack Brands Corporation</b>	
Cease Trading Order .....	#50 (December 12) 9503
<b>Worldwide Marijuana Inc.</b>	
Cease Trading Order .....	#43 (October 24) 8441
<b>WRS Advisors III, LLC</b>	
Notice of Hearing – s. 127 .....	#49 (December 5) 9302
Notice from the Office of the Secretary .....	#49 (December 5) 9304
Notice from the Office of the Secretary .....	#50 (December 12) 9466
Notice from the Office of the Secretary .....	#50 (December 12) 9467
Order – s. 127 .....	#50 (December 12) 9496
Notice from the Office of the Secretary .....	#51 (December 19) 9681
Order – s. 127 .....	#51 (December 19) 9708

**WRS Advisors IV, LLC**

Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708

**XPEL, Inc.**

Decision.....	#16 (April 18) 3635
Decision.....	#27 (July 4) 5745

**Yawrenko, Shane David**

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#19 (May 9) 4213
Notice from the Office of the Secretary.....	#19 (May 9) 4218
Notice from the Office of the Secretary.....	#21 (May 23) 4601
Order – ss. 127(1), 127(10).....	#21 (May 23) 4614
Reasons and Decision – ss. 127(1), 127(10).....	#21 (May 23) 4629

**Yellow Trust**

Notice of Hearing – s. 127.....	#49 (December 5) 9302
Notice from the Office of the Secretary.....	#49 (December 5) 9304
Notice from the Office of the Secretary.....	#50 (December 12) 9466
Notice from the Office of the Secretary.....	#50 (December 12) 9467
Order – s. 127.....	#50 (December 12) 9496
Notice from the Office of the Secretary.....	#51 (December 19) 9681
Order – s. 127.....	#51 (December 19) 9708

**Yellowhead Mining Inc.**

Order.....	#10 (March 7) 1986
------------	--------------------

**YTM Capital Asset Management Ltd.**

Decision.....	#23 (June 6) 5011
---------------	-------------------

**YTM Capital Fixed Income Alternative Fund**

Decision.....	#23 (June 6) 5011
Exemption – Part 6 of NI 81-101 Mutual Fund Prospectus Disclosure.....	#23 (June 6) 5161

**ZCL Composites Inc.**

Order.....	#20 (May 16) 4459
------------	-------------------

**ZED Network Inc.**

Decision.....	#22 (May 30) 4763
---------------	-------------------

**Zelos Capital Ltd.**

New Registration.....	#49 (December 5) 9451
-----------------------	-----------------------

**Zhang, Tao**

Notice from the Office of the Secretary.....	#18 (May 2) 3994
Order – s. 17.....	#18 (May 2) 4033
Reasons and Decision – s. 17.....	#18 (May 2) 4039

**Zordel, Heather**

Authorization Order – s. 3.5(3).....	#19 (May 9) 4289
--------------------------------------	------------------

**Zymeworks Inc.**

Decision.....	#42 (October 17) 8272
---------------	-----------------------