

**1.1.4 Notice of Commission Approval – Amendment to MFDA Rule 1.1.7(d) Regarding Business Names, Styles, Etc.**

**THE MUTUAL FUND DEALERS ASSOCIATION (MFDA)  
NOTICE OF COMMISSION APPROVAL  
AMENDMENT TO MFDA RULE 1.1.7(d)  
REGARDING BUSINESS NAMES, STYLES, ETC.**

The Ontario Securities Commission approved amendment to MFDA Rule 1.1.7(d) regarding Business Names, Styles, Etc. In addition, the Alberta Securities Commission, Nova Scotia Securities Commission and Saskatchewan Financial Services Commission approved and the British Columbia Securities Commission did not object to the amendment. MFDA Rule 1.1.7(d) prohibits a member and its approved persons to use a business, trade or style name of another member, unless the two members are in an introducing and carrying relationship. The amendment clarifies that approved persons of a member are also subject to this prohibition. The amendment is housekeeping in nature. The description and a copy of the amendment is contained in Chapter 13 of this Ontario Securities Commission Bulletin.