

**13.1.5 IIROC Form No. 2 and Dealer Member Rules 1300.2, 2500, 2700 and 3200 – Know Your Client Information – Withdrawal of Proposed Rule Amendments**

**INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA –  
FORM NO. 2 AND DEALER MEMBER RULES 1300.2, 2500, 2700 AND 3200 –  
KNOW YOUR CLIENT INFORMATION  
WITHDRAWAL OF PROPOSED RULE AMENDMENTS**

**I Overview**

On April 12, 2006 the Ontario Securities Commission published for comment proposed amendments to Investment Dealers Association of Canada (IDA) Form No.2, Regulation 1300.2 and Policy Nos. 2, 4 and 9 relating to the collection know your client information. On May 21, 2008, these amendments were adopted by the Investment Industry Regulatory Organization of Canada (IIROC) Board of Directors as proposed amendments to Dealer Member Form No. 2 and Rules 1300.2, 2500, 2700 and 3200. In light of Dealer Member Rule amendments IIROC is proposing to make to implement the core principles of the Client Relationship Model and to implement the CSA Registration Reform Project, revisions to these proposed amendments are now necessary.

**II Withdrawal**

IIROC has informed the CSA that it has withdrawn the proposed amendments. These amendments will be resubmitted once the necessary revisions have been made.

Questions may be referred to:

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