

## Chapter 9

# Legislation

### 9.1.1 Ontario Regulation 358/09 Amending Reg. 1015 under the Securities Act

Note: The extensive amendments to Reg. 1015 in this amending regulation were made pursuant to subsection 143(3) of the *Securities Act*. The less extensive amendments to Reg. 1015 in the next section of Chapter 9 were made pursuant to subsection 143(2) of the *Securities Act*. A consolidated version of Reg. 1015, reflecting the amendments in Ontario Regulation 358/09 and Ontario Regulation 357/09, is expected to be available shortly on the Ontario e-laws site at [www.e-laws.gov.on.ca](http://www.e-laws.gov.on.ca).

#### ONTARIO REGULATION

made under the

#### SECURITIES ACT

Amending Reg. 1015 of R.R.O. 1990  
(General)

Note: Regulation 1015 has previously been amended. For the legislative history of the Regulation, see the Table of Consolidated Regulations – Detailed Legislative History at [www.e-Laws.gov.on.ca](http://www.e-Laws.gov.on.ca).

1. **Part IV of Regulation 1015 of the Revised Regulations of Ontario, 1990 is revoked.**
2. **Sections 96, 98, 99, 100, 101, 102, 103, 104, 106, 107, 108 and 109 of the Regulation are revoked.**
3. **Subsection 110 (1) of the Regulation is amended by striking out “a security issuer” in the portion before clause (a) and substituting “an exempt market dealer as defined in National Instrument 31-103 *Registration Requirements and Exemptions*”.**
4. **Sections 111, 112, 113, 115, 116, 117, 118, 119, 120, 121, 122, 123, 127, 130, 131, 132, 133, 139, 140, 141, 142, 144 and 145, subsection 147 (2), sections 204 and 208, subsections 209 (1), (2), (3), (4), (5), (6), (7), (8) and (9), and sections 210 and 211 of the Regulation are revoked.**
5. **Part XII of the Regulation is revoked.**
6. **Sections 219, 223, 225, 226, 227, 228, 230 and 232 of the Regulation are revoked.**
7. **Forms 3, 5, 6 and 9 of the Regulation are revoked.**
8. **This Regulation comes into force on the later of,**
  - (a) **the day on which this Regulation is filed; and**
  - (b) **the day that the rule made by the Ontario Securities Commission on July 14, 2009 entitled “National Instrument 31-103 *Registration Requirements and Exemptions*” comes into force.**

Made by:

ONTARIO SECURITIES COMMISSION:

“W. David Wilson”, Chair and Chief Executive Officer

“James Turner”, Vice-Chair

Dated on July 20, 2009

Notes: The amending regulation was filed on September 22, 2009. The rule made by the Ontario Securities Commission on July 14, 2009 entitled “National Instrument 31-103 *Registration Requirements and Exemptions*” comes into force on September 28, 2009.