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Commodity Futures Act of Ontario (R.S.O. 1990, c. C.20)

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Introduction to the OSC Bulletin Cumulative Index

This is a cumulative index of documents published in the OSC Bulletin (Volume 40) for the period January – December 2017, inclusive.

The index includes material found in Chapters 1 (Notices / News Releases), 2 (Decisions, Orders and Rulings), 3 (Reasons: Decisions, Orders and Rulings), 4 (Cease Trading Orders), 5 (Rules and Policies), 6 (Request for Comments), 9 (Legislation), 12 (Registrations), 13 (SROs, Marketplaces and Clearing Agencies) and 25 (Other Information).

Chapters 7 (Insider Reporting) and 11 (IPOs, New Issues and Secondary Financings) are not indexed.

All corporate and personal names that appear in the bold titles of each document published in the indexed chapters are indexed. The tables found in Chapters 4 and 12 are indexed by corporate name only. Where tables appear in Chapter 25, they are indexed by corporate name only.

Index entries are arranged alphabetically. Each index entry includes a corporate or personal name, a statutory instrument cited (if applicable) and finding information consisting of the issue number, issue date and page number. Citations are to the *Securities Act*, if not otherwise specified.

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The Supplements published in 2017 were:

- **Amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* and Related Instruments** – Volume 40, Issue 30, Supplement 1 (July 27, 2017)

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Continuum Private Wealth Partners Inc.	
New Registration	#28 (July 13) 6223
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Notice from the Office of the Secretary	#17 (April 27) 3882
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Credit Suisse Securities (USA) LLC	
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Crestridge Asset Management Inc.	
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Temporary Order – ss. 127(7), 127(8)	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
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Crystal Enlightened Resource & Precious Metal Fund	
Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8)	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8)	#40 (October 5) 8129
Crystal Wealth Conscious Capital Strategy	
Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8)	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8)	#40 (October 5) 8129

Crystal Wealth Enlightened Factoring Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Enlightened Hedge Fund

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth High Yield Mortgage Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Infrastructure Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Management System Limited

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Notice from the Office of the Secretary	#16 (April 20) 3697
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Temporary Order – ss. 127(1), (5).....	#16 (April 20) 3712
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Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Media Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Medical Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Mortgage Strategy

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

Crystal Wealth Retirement One Fund

Notice from the Office of the Secretary	#18 (May 4) 4090
Temporary Order – ss. 127(7), 127(8).....	#18 (May 4) 4105
Notice from the Office of the Secretary	#40 (October 5) 8049
Order – s. 127(8).....	#40 (October 5) 8129

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CSA Consultation Paper 52-403 Auditor Oversight – Issues in Foreign Jurisdictions	
Notice	#17 (April 27) 3855
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Notice	#49 (December 7) 9631
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Notice	#50 (December 14) 9807
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CSA Staff Notice 23-318 Withdrawal of Proposed Amendments Regarding Best Execution Disclosure under National Instrument 23-101 Trading Rules	
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Notice	#31 (August 3) 6755
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Notice	#28 (July 13) 6001
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Notice	#20 (May 18) 4417
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CUDA Management Consulting Inc.	
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Dancho, Vicky	
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Reasons for Decision – ss. 127(1), 127(10)	#46 (November 16) 9167
Danier Leather Inc.	
Order – s. 144	#14 (April 6) 3051
Dansol International Inc.	
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Notice from the Office of the Secretary	#1 (January 5) 8
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Order	#22 (June 1) 4835
Reasons and Decision – ss. 127(1), 127(10)	#22 (June 1) 4869
Das Gupta, Sankar	
Notice of Hearing with Related Statements of Allegations – s. 127	#27 (July 6) 5707
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Oral Reasons for Approval of Settlement – s. 127(1)	#27 (July 6) 5795
DataWind Inc.	
Cease Trading Order	#28 (July 13) 6085
Cease Trading Order	#30 (July 27) 6653
Davis, Larry Keith	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#10 (March 9) 2068
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Notice from the Office of the Secretary	#12 (March 23) 2581
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Reasons – ss. 127(1), 127(10)	#20 (May 18) 4462
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Notice from the Office of the Secretary	#30 (July 27) 6589
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Cease Trading Order	#5 (February 2) 1139
Deetken Asset Management Inc.	
New Registration	#2 (January 12) 585
DEQ Systems Corp.	
Order	#6 (February 9) 1263
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Decision	#15 (April 13) 3342

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Desjardins Canadian Preferred Share Index ETF	
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Desjardins Canadian Short Term Bond Index ETF	
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Desjardins Developed ex-USA ex-Canada Multifactor-Controlled Volatility ETF	
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Desjardins Emerging Markets Multifactor-Controlled Volatility ETF	
Decision.....	#15 (April 13) 3342
Desjardins Global Asset Management Inc.	
Decision.....	#15 (April 13) 3342
Decision.....	#48 (November 30) 9480
Desjardins Investments Inc.	
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Desjardins Québec Balanced Fund	
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Desjardins Securities Inc.	
Decision.....	#22 (June 1) 4828
Desjardins USA Multifactor-Controlled Volatility ETF	
Decision.....	#15 (April 13) 3342
Devon Capital Inc.	
New Registration.....	#31 (August 3) 6911
DGW Capital Corp.	
New Registration.....	#17 (April 27) 4067
DH Corporation	
Order – s. 1(6) of the OBCA.....	#31 (August 3) 6821
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Dhanani, Ayaz	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#10 (March 9) 2073
Notice from the Office of the Secretary.....	#10 (March 9) 2082
Notice from the Office of the Secretary.....	#12 (March 23) 2581
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Notice from the Office of the Secretary.....	#20 (May 18) 4430
Order – ss. 127(1), 127(10).....	#20 (May 18) 4444
Decision and Reasons – ss. 127(1), 127(10).....	#20 (May 18) 4457

Dhanani, Paul

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#10 (March 9) 2073
Notice from the Office of the Secretary	#10 (March 9) 2082
Notice from the Office of the Secretary	#12 (March 23) 2581
Order	#12 (March 23) 2594
Notice from the Office of the Secretary	#20 (May 18) 4430
Order – ss. 127(1), 127(10)	#20 (May 18) 4444
Decision and Reasons – ss. 127(1), 127(10)	#20 (May 18) 4457

Dhillon, Sital Singh

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Notice from the Office of the Secretary	#39 (September 28) 7886
Notice from the Office of the Secretary	#40 (October 5) 8047
Order	#40 (October 5) 8108
Notice from the Office of the Secretary	#45 (November 9) 8946
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Di Tomasso Group Incorporated

Voluntary Surrender	#1 (January 5) 221
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Voluntary Surrender	#4 (January 26) 1073

DIAM Capital Markets Inc.

Voluntary Surrender	#47 (November 23) 9457
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DirectCash Payments ULC

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Discovery Air Inc.

Decision	#32 (August 10) 6925
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Disintermediate Technologies Inc.

New Registration	#42 (October 19) 8615
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Dollarama Inc.

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Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids	#3 (January 19) 628
Order	#15 (April 13) 3387
Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids	#50 (December 14) 9841

Dominick Capital Corporation

Name Change	#34 (August 24) 7363
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Dominick Inc.

Name Change	#34 (August 24) 7363
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Dominion Diamond Corporation

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Dotodo Urban Logistics, Inc.

Cease Trading Order	#19 (May 11) 4291
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DPN Capital Inc.

New Registration	#15 (April 13) 3673
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Drabinsky, Garth H.	
Notice from the Office of the Secretary	#9 (March 2) 1887
Order – ss. 127, 127.1	#9 (March 2) 1917
Notice from the Office of the Secretary	#10 (March 9) 2082
Order – ss. 127, 127.1	#10 (March 9) 2136
Notice from the Office of the Secretary	#15 (April 13) 3328
Order	#15 (April 13) 3375
Notice from the Office of the Secretary	#25 (June 22) 5272
Order – ss. 127(1), 127(10)	#25 (June 22) 5298
Reasons and Decision – ss. 127(1), 127(10)	#25 (June 22) 5305
Dragon Legend Entertainment (Canada) Inc.	
Cease Trading Order	#23 (June 8) 4997
DragonWave Inc.	
Cease Trading Order	#45 (November 9) 8979
Driscoll, Sean	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127.1	#14 (April 6) 2993
Notice from the Office of the Secretary	#14 (April 6) 2997
Notice from the Office of the Secretary	#15 (April 13) 3330
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OSC Decision	#15 (April 13) 3435
DTCC Data Repository (U.S.) LLC	
Decision – s. 42 of OSC Rule 91-507 Trade Repositories and Derivatives Data Reporting	#7 (February 16) 1523
Duff & Phelps Securities Canada Limited	
Name Change	#7 (February 16) 1707
Dundee Acquisition Ltd.	
Order – s. 1(6) of the OBCA	#20 (May 18) 4441
Order	#20 (May 18) 4443
Dundee Capital Partners	
Name Change	#7 (February 16) 1707
Dunsmuir Capital Partners Inc.	
New Registration	#43 (October 26) 8791
DV Resources Ltd.	
Consent – s. 4(b) of Ont. Reg. 289/00 made under the Business Corporations Act	#27 (July 6) 5993
Dynamic Aurion Tactical Balanced Class	
Decision	#29 (July 20) 6259
Dynamic Canadian Asset Allocation Class	
Decision	#29 (July 20) 6259
Dynamic EAFE Value Class	
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Dynamic Emerging Markets Class	
Decision	#29 (July 20) 6259
Dynamic Emerging Markets Fund	
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Dynamic Global Value Class	
Decision	#29 (July 20) 6259

Dynamic Power American Currency Neutral Fund	
Decision.....	#29 (July 20) 6259
Dynamic Power Balanced Class	
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Dynamic Power Canadian Growth Class	
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Dynamic Strategic Bond Fund	
Decision.....	#29 (July 20) 6259
Dynamic Strategic Growth Portfolio	
Decision.....	#29 (July 20) 6259
EA Education Group Inc.	
Cease Trading Order.....	#2 (January 12) 409
EagleMark Ventures, LLC	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#29 (July 20) 6250
Notice from the Office of the Secretary	#29 (July 20) 6258
Notice from the Office of the Secretary	#32 (August 10) 6924
Order	#32 (August 10) 6945
Notice from the Office of the Secretary	#40 (October 5) 8047
Order – ss. 127(1), 127(10).....	#40 (October 5) 8127
Reasons and Decision – ss. 127(1), 127(10)	#40 (October 5) 8131
East Coast Fund Management Inc.	
Approval – s. 213(3)(b) of the LTCA.....	#31 (August 3) 6917
Decision.....	#38 (September 21) 7749
Easy Technologies Inc.	
Cease Trading Order.....	#11 (March 16) 2405
Cease Trading Order.....	#12 (March 23) 2627
Cease Trading Order.....	#41 (October 12) 8267
Eckstein, Gordon	
Notice from the Office of the Secretary	#9 (March 2) 1887
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Notice from the Office of the Secretary	#10 (March 9) 2082
Order – ss. 127, 127.1.....	#10 (March 9) 2136
Notice from the Office of the Secretary	#15 (April 13) 3328
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Notice from the Office of the Secretary	#25 (June 22) 5272
Order – ss. 127(1), 127(10).....	#25 (June 22) 5298
Reasons and Decision – ss. 127(1), 127(10)	#25 (June 22) 5305

Eco Oro Minerals Corp.	
Notice of Hearing – ss. 21.7, 127	#14 (April 6) 2992
Notice from the Office of the Secretary	#14 (April 6) 2998
Order – Rules 3, 6, 11 and 14 of the OSC Rules of Practice.....	#14 (April 6) 3063
Notice from the Office of the Secretary	#15 (April 13) 3331
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Notice from the Office of the Secretary	#17 (April 27) 3883
Order – ss. 8(3), 21.7 and 127(1)	#17 (April 27) 3890
Notice from the Office of the Secretary	#25 (June 22) 5273
Reasons and Decision – ss. 8(3), 21.7, 127(1)	#25 (June 22) 5321
Notice from the Office of the Secretary	#35 (August 31) 7371
Order – s. 144	#35 (August 31) 7410
EDE Asset Management Inc.	
New Registration	#6 (February 9) 1501
Educators Financial Group Inc.	
Decision.....	#2 (January 12) 367
Eight Capital	
Name Change	#7 (February 16) 1707
ELAD Canada Inc.	
Notice of Withdrawal	#46 (November 16) 9109
Notice of Hearing – ss. 8 and 21.7	#46 (November 16) 9110
Notice from the Office of the Secretary	#46 (November 16) 9111
Notice from the Office of the Secretary	#46 (November 16) 9114
EI-Bouji, Issam	
Notice of Hearing	#18 (May 4) 4087
Notice from the Office of the Secretary	#18 (May 4) 4088
Notice from the Office of the Secretary	#19 (May 11) 4199
Reasons and Decision on a Motion for Directions and Other Relief.....	#19 (May 11) 4267
Electrovaya Inc.	
Notice of Hearing with Related Statements of Allegations – s. 127.....	#27 (July 6) 5707
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Notice from the Office of the Secretary	#27 (July 6) 5712
Order with Related Amended and Restated Settlement Agreement – s. 127(1)	#27 (July 6) 5755
Oral Reasons for Approval of Settlement – s. 127(1).....	#27 (July 6) 5795
Eleven Biotherapeutics, Inc.	
Order	#28 (July 13) 6052
Ellementary Capital Ltd.	
Consent to Suspension (Pending Surrender).....	#40 (October 5) 8219
Ellipsiz Communications Ltd.	
Cease Trading Order.....	#19 (May 11) 4292
Cease Trading Order.....	#20 (May 18) 4463
Cease Trading Order.....	#21 (May 25) 4673
Elm Park Capital Management, LLC	
Decision.....	#1 (January 5) 28
Emerald Bay Energy Inc.	
Cease Trading Order.....	#17 (April 27) 3939
Cease Trading Order.....	#19 (May 11) 4291
Cease Trading Order.....	#21 (May 25) 4673
EMJ Capital Ltd.	
New Registration	#39 (September 28) 8035

Enbridge Commercial Trust	
Decision.....	#13 (March 30) 2797
Enbridge Income Fund	
Decision.....	#13 (March 30) 2797
Enbridge Income Partners Holdings Inc.	
Decision.....	#13 (March 30) 2797
Enbridge Income Partners LP	
Decision.....	#13 (March 30) 2797
Encana Corporation	
Decision.....	#34 (August 24) 7266
Enerflex Ltd.	
Decision.....	#45 (November 9) 8964
Energulf Resources Inc.	
Cease Trading Order.....	#28 (July 13) 6085
EnerVest Natural Resource Fund Ltd.	
Decision.....	#30 (July 27) 6596
Enfield Technologies Inc.	
Cease Trading Order.....	#11 (March 16) 2405
Ensemblement Inc.	
Marketplaces – Application for Recognition as Exchanges – Notice and Request for Comment	#41 (October 12) 8431
Enssolutions Group Inc.	
Order – s. 144	#25 (June 22) 5299
Cease Trading Order.....	#25 (June 22) 5357
Enstar Group Limited	
Decision.....	#15 (April 13) 3333
Entertainment One Ltd.	
Decision.....	#6 (February 9) 1248
Eosphoros Asset Management Incorporated	
Suspension pursuant to Section 29(1) of the Securities Act.....	#9 (March 2) 2053
EOX Holdings LLC	
Ruling and Exemption – s. 74(1)	#43 (October 26) 8696
Ruling and Exemption – s. 38 of the CFA and s. 6.1 of Rule 91-502 Trades in Recognized Options	#43 (October 26) 8701
Epic Fusion Corp.	
Cease Trading Order.....	#19 (May 11) 4291
eQuaTe Asset Management Inc.	
Approval – s. 213(3)(b) of the LTCA.....	#19 (May 11) 4411
Equilibrium Partners Inc.	
Notice from the Office of the Secretary	#11 (March 16) 2335
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Notice of Hearing.....	#15 (April 13) 3328
Notice from the Office of the Secretary	#15 (April 13) 3330
Notice from the Office of the Secretary	#15 (April 13) 3332
Order with Related Settlement Agreement – ss. 127, 127.1.....	#15 (April 13) 3422
Notice from the Office of the Secretary	#17 (April 27) 3884
Oral Reasons for Approval of a Settlement	#17 (April 27) 3936

Equiton Capital Inc.	
Change in Registration Category	#41 (October 12) 8429
Equium Capital Management Inc.	
Decision.....	#43 (October 26) 8672
Decision.....	#43 (October 26) 8678
Era Resources Inc.	
Order	#26 (June 29) 5485
Eshel, David	
Notice from the Office of the Secretary	#4 (January 26) 899
Order	#4 (January 26) 949
Notice from the Office of the Secretary	#22 (June 1) 4802
Order – ss. 127(1), 127(10).....	#22 (June 1) 4843
Reasons and Decision – ss. 127(1), 127(10)	#22 (June 1) 4873
Estrella International Energy Services Ltd.	
Order	#11 (March 16) 2378
ETF Capital Management	
Change in Registration Category	#10 (March 9) 2327
Change in Registration Category	#41 (October 12) 8429
Eurex Clearing AG	
Order – s. 144	#16 (April 20) 3707
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Order – s. 147	#30 (July 27) 6633
Clearing Agencies – Application for Exemptive Relief – Notice of Commission Order	#30 (July 27) 6751
European Commercial Real Estate Limited	
Order	#22 (June 1) 4854
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European Financial Stability Facility	
Decision.....	#40 (October 5) 8062
European Stability Mechanism	
Decision.....	#40 (October 5) 8062
Everest Financial Planning Inc.	
Voluntary Surrender	#19 (May 11) 4385
Evolution Markets Futures LLC	
Ruling – s. 38 of the CFA	#27 (July 6) 5771
Evolve Active Canadian Preferred Share ETF	
Decision.....	#31 (August 3) 6797
Evolve Active Floating Rate Loan ETF	
Decision.....	#31 (August 3) 6797
Evolve Active Short Duration Bond ETF	
Decision.....	#31 (August 3) 6797
Evolve Active US Core Equity ETF	
Decision.....	#31 (August 3) 6797

Evolve Funds Group Inc.	
New Registration	#21 (May 25) 4763
Decision.....	#31 (August 3) 6797
Change in Registration Category	#43 (October 26) 8791
Excel Billionaire Leaders Fund	
Decision.....	#13 (March 30) 2781
Excel Funds Management Inc.	
Decision.....	#12 (March 23) 2588
Decision.....	#13 (March 30) 2781
Decision.....	#13 (March 30) 2784
Decision.....	#14 (April 6) 3026
Decision.....	#19 (May 11) 4225
Decision.....	#19 (May 11) 4230
Decision.....	#19 (May 11) 4235
Decision.....	#27 (July 6) 5728
Decision.....	#49 (December 7) 9671
Excel Global Balanced Asset Allocation ETF	
Decision.....	#19 (May 11) 4235
Excel Global Growth Asset Allocation ETF	
Decision.....	#19 (May 11) 4235
Excel India Balanced Fund	
Decision.....	#27 (July 6) 5728
Excel Latin America Fund	
Decision.....	#12 (March 23) 2588
Decision.....	#13 (March 30) 2784
Excel New India Leaders Fund	
Decision.....	#27 (July 6) 5728
Execution Access, LLC	
Notice of Hearing with Related Statement of Allegations – s. 127, 127.1.....	#43 (October 26) 8660
Notice from the Office of the Secretary	#43 (October 26) 8662
Order with Related Settlement Agreement – ss. 127, 127.1.....	#43 (October 26) 8690
Oral Reasons for Approval of Settlement – ss. 127, 127.1.....	#43 (October 26) 8713
Executive Director's Designation and Determination	
Notice	#17 (April 27) 3853
Exemplar U.S. High Yield Fund	
Decision.....	#10 (March 9) 2110
Exeter Resource Corporation	
Order	#33 (August 17) 7090
Exo U Inc.	
Cease Trading Order.....	#11 (March 16) 2405
Expo Event Holdco, Inc.	
Decision.....	#14 (April 6) 3035
Exxon Mobil Corporation	
Decision.....	#13 (March 30) 2802
Order	#21 (May 25) 4642

Falcon Holdings, LLC

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#29 (July 20) 6250
Notice from the Office of the Secretary	#29 (July 20) 6258
Notice from the Office of the Secretary	#32 (August 10) 6924
Order	#32 (August 10) 6945
Notice from the Office of the Secretary	#40 (October 5) 8047
Order – ss. 127(1), 127(10)	#40 (October 5) 8127
Reasons and Decision – ss. 127(1), 127(10)	#40 (October 5) 8131

Farrell, John

Notice from the Office of the Secretary	#17 (April 27) 3882
Reasons and Decision	#17 (April 27) 3903
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Notice from the Office of the Secretary	#27 (July 6) 5711
Order	#27 (July 6) 5740
Notice from the Office of the Secretary	#29 (July 20) 6256
Order	#29 (July 20) 6269
Notice from the Office of the Secretary	#35 (August 31) 7370
Order	#35 (August 31) 7396
Notice from the Office of the Secretary	#40 (October 5) 8045
Order – s. 144	#40 (October 5) 8104
Notice from the Office of the Secretary	#46 (November 16) 9112
Reasons and Decision on a Motion	#46 (November 16) 9159
Notice from the Office of the Secretary	#47 (November 23) 9336
Order – s. 9(1)(b) of the SPPA	#47 (November 23) 9350
Notice from the Office of the Secretary	#48 (November 30) 9472
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Federal Way Asset Management LP

Name Change	#20 (May 18) 4555
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Fengate Capital Management Ltd.

New Registration	#51 (December 21) 10267
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FI Capital Ltd.

Amalgamation	#1 (January 5) 221
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FIAM LLC

Consent to Suspension (Pending Surrender)	#2 (January 12) 585
Suspension (Non-Renewal of Registration Pending Surrender)	#2 (January 12) 585
Voluntary Surrender	#15 (April 13) 3673
Voluntary Surrender	#16 (April 20) 3847

FIC Foreclosure Fund Ltd.

Notice from the Office of the Secretary	#4 (January 26) 898
Order	#4 (January 26) 943
Notice from the Office of the Secretary	#21 (May 25) 4596
Order – ss. 127(1), 127(10)	#21 (May 25) 4634
Reasons and Decision – ss. 127(1), 127(10)	#21 (May 25) 4663

FIC Real Estate Projects Ltd.

Notice from the Office of the Secretary	#4 (January 26) 898
Order	#4 (January 26) 943
Notice from the Office of the Secretary	#21 (May 25) 4596
Order – ss. 127(1), 127(10)	#21 (May 25) 4634
Reasons and Decision – ss. 127(1), 127(10)	#21 (May 25) 4663

Fidelity Global Bond Investment Trust

Decision	#42 (October 19) 8499
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Fidelity Global Credit Ex-U.S. Investment Trust	
Decision.....	#42 (October 19) 8499
Fidelity Investments Canada ULC	
Decision.....	#1 (January 5) 25
Decision.....	#2 (January 12) 348
Decision.....	#15 (April 13) 3361
Decision.....	#42 (October 19) 8499
Fiduciary Trust Company of Canada	
Decision.....	#39 (September 28) 7917
Filer, R. Alan	
Director's Decision (Opportunity to be heard by the Director) – s. 31.....	#46 (November 16) 9177
Financial Planning Standards Council	
Notice.....	#32 (August 10) 6947
Notice of Ministerial Approval.....	#43 (October 26) 8660
Fiorillo, Henry	
Notice of Hearing – s. 144.....	#20 (May 18) 4424
Notice from the Office of the Secretary.....	#20 (May 18) 4429
Notice from the Office of the Secretary.....	#21 (May 25) 4597
Order.....	#21 (May 25) 4635
Notice from the Office of the Secretary.....	#24 (June 15) 5119
Order – s. 144.....	#24 (June 15) 5139
Notice from the Office of the Secretary.....	#30 (July 27) 6588
Order – s. 144.....	#30 (July 27) 6621
Fiorini, Giuseppe (Joseph)	
Notice of Hearing – s. 144.....	#20 (May 18) 4424
Notice from the Office of the Secretary.....	#20 (May 18) 4429
Notice from the Office of the Secretary.....	#21 (May 25) 4597
Order.....	#21 (May 25) 4635
Notice from the Office of the Secretary.....	#24 (June 15) 5119
Order – s. 144.....	#24 (June 15) 5139
Notice from the Office of the Secretary.....	#30 (July 27) 6588
Order – s. 144.....	#30 (July 27) 6621
First Avenue Investment Counsel Inc.	
New Registration.....	#42 (October 19) 8615
First Block Capital Inc.	
New Registration.....	#38 (September 21) 7865
First Canadian Property Investments Ltd.	
Consent to Suspension (Pending Surrender).....	#31 (August 3) 6911
Voluntary Surrender.....	#37 (September 14) 7725
First Nations Finance Authority	
Decision.....	#26 (June 29) 5459
First Trust Alphasdex™ Canadian Dividend Etf	
Decision.....	#42 (October 19) 8501
First Trust Canadian Capital Strength ETF	
Decision.....	#42 (October 19) 8501
Fisher, Michael	
Decision.....	#50 (December 14) 9823

Flaherty & Crumrine Incorporated	
Order – ss. 78(1) and 80 of the CFA	#47 (November 23) 9351
Foresters Investment Management Company, Inc.	
Ruling – s. 74	#3 (January 19) 640
Form 31-103F1 Calculation of Excess Working Capital	
Supplement 1	#30 Supp. 1 (July 27) 1
Form 72-503F Report of Distributions Outside of Canada	
Request for Comments.....	#26 (June 29) 5576
Forrester Metals Inc.	
Decision.....	#31 (August 3) 6769
Order – s. 1(6) of the OBCA.....	#31 (August 3) 6819
Fort, L.P.	
Order – s. 80 of the CFA	#30 (July 27) 6612
New Registration	#35 (August 31) 7491
FortisAlberta Inc.	
Decision.....	#51 (December 21) 10088
FortisBC Energy Inc.	
Decision.....	#51 (December 21) 10088
FortisBC Inc.	
Decision.....	#51 (December 21) 10088
Forysta Capital Inc.	
New Registration	#21 (May 25) 4763
Foundation Wealth Partners LP	
New Registration	#5 (February 2) 1231
Franchise Bancorp Inc.	
Order	#9 (March 2) 1913
Order – s. 1(6) of the OBCA.....	#9 (March 2) 1926
Franco-Nevada GLW Holdings Corp.	
Order	#42 (October 19) 8532
Franklin Liberty Canadian Investment Grade Corporate ETF	
Decision.....	#46 (November 16) 9132
Decision.....	#46 (November 16) 9138
Franklin Liberty Risk Managed Canadian Equity ETF	
Decision.....	#46 (November 16) 9132
Decision.....	#46 (November 16) 9138
Franklin Target Return Fund	
Decision.....	#15 (April 13) 3358
Franklin Templeton Investments Corp.	
Decision.....	#2 (January 12) 377
Decision.....	#15 (April 13) 3358
Decision.....	#39 (September 28) 7917
Decision.....	#46 (November 16) 9132
Decision.....	#46 (November 16) 9138

Frankly Inc.	
Decision.....	#15 (April 13) 3352
Decision.....	#28 (July 13) 6049
Freedom International Brokerage Company	
Decision.....	#50 (December 14) 9823
Freshcap Financial Inc.	
Name Change	#6 (February 9) 1501
Friedman, Michael	
Notice of Withdrawal	#3 (January 19) 598
Notice from the Office of the Secretary	#3 (January 19) 621
Front Street Capital 2004	
Name Change	#20 (May 18) 4555
Frontier Rare Earths Limited	
Order	#21 (May 25) 4638
Frontline Gold Corporation	
Cease Trading Order.....	#37 (September 14) 7629
Cease Trading Order.....	#38 (September 21) 7777
FT Portfolios Canada Co.	
Decision.....	#42 (October 19) 8501
FTC Investor Services Inc.	
Decision.....	#2 (January 12) 377
Full Cycle Energy Investment Management Limited	
Consent to Suspension (Pending Surrender).....	#1 (January 5) 221
Suspension (Non-Renewal of Registration Pending Surrender).....	#2 (January 12) 585
Voluntary Surrender	#8 (February 23) 1869
Fundscrapper Capital Inc.	
New Registration	#2 (January 12) 585
Furtak, Edward	
Notice from the Office of the Secretary	#6 (February 9) 1239
Order	#6 (February 9) 1280
Notice from the Office of the Secretary	#19 (May 11) 4200
Order	#19 (May 11) 4264
Reasons and Decision on Sanctions and Costs – ss. 127, 127.1	#19 (May 11) 4272
GAIN Capital – FOREX.com Canada Ltd.	
Decision.....	#46 (November 16) 9115
GAIN Capital – FOREX.com UK Ltd.	
Decision.....	#46 (November 16) 9115
GAIN Capital Group, LLC	
Decision.....	#46 (November 16) 9115
GAIN Capital Holdings, Inc.	
Decision.....	#46 (November 16) 9115

Galaxy International Solutions Ltd.	
Notice from the Office of the Secretary	#4 (January 26) 899
Order	#4 (January 26) 949
Notice from the Office of the Secretary	#22 (June 1) 4802
Order – ss. 127(1), 127(10)	#22 (June 1) 4843
Reasons and Decision – ss. 127(1), 127(10)	#22 (June 1) 4873
Galileo Global Equity Advisors Inc.	
Decision	#32 (August 10) 6928
Gaming Nation Inc.	
Order	#50 (December 14) 9864
GenFund Corp.	
Order – s. 144(1)	#5 (February 2) 1105
GenFund Management Inc.	
Order – s. 144(1)	#5 (February 2) 1105
Voluntary Surrender	#6 (February 9) 1501
Genus Capital Management Inc.	
Decision	#20 (May 18) 4433
Genworth Financial, Inc.	
Decision	#35 (August 31) 7380
Decision	#39 (September 28) 7924
Genworth MI Canada Inc.	
Decision	#35 (August 31) 7380
Decision	#39 (September 28) 7924
Gibraltar Growth Corporation	
Decision	#18 (May 4) 4094
Gildan Activewear Inc.	
Order – s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids	#9 (March 2) 1921
Girard Investor Services Inc.	
New Registration	#11 (March 16) 2573
Global 8 Environmental Technologies, Inc.	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), (10)	#17 (April 27) 3871
Notice from the Office of the Secretary	#17 (April 27) 3881
Notice from the Office of the Secretary	#21 (May 25) 4599
Order	#21 (May 25) 4637
Notice from the Office of the Secretary	#33 (August 17) 7033
Order – s. 127(1)	#33 (August 17) 7080
Reasons and Decision – ss. 127(1), 127(10)	#33 (August 17) 7137
Global Diversified Investment Grade Income Trust II	
Order	#11 (March 16) 2393
Global Education Trust Foundation	
Notice of Hearing	#18 (May 4) 4087
Notice from the Office of the Secretary	#18 (May 4) 4088
Notice from the Office of the Secretary	#19 (May 11) 4199
Reasons and Decision on a Motion for Directions and Other Relief	#19 (May 11) 4267

Global Growth Assets Inc.

Notice of Hearing	#18 (May 4) 4087
Notice from the Office of the Secretary	#18 (May 4) 4088
Notice from the Office of the Secretary	#19 (May 11) 4199
Reasons and Decision on a Motion for Directions and Other Relief	#19 (May 11) 4267
Notice of Hearing – s. 8	#28 (July 13) 6017
Notice from the Office of the Secretary	#28 (July 13) 6021
Notice from the Office of the Secretary	#36 (September 31) 7507
Order – s. 8(3)	#36 (September 31) 7521
Notice from the Office of the Secretary	#44 (November 2) 8814
Reasons and Decision – s. 8(3)	#44 (November 2) 8845

Global Remote Technologies Ltd.

Cease Trading Order	#24 (June 15) 5143
Cease Trading Order	#30 (July 27) 6653

Global RESP Corporation

Notice of Hearing	#18 (May 4) 4087
Notice from the Office of the Secretary	#18 (May 4) 4088
Notice from the Office of the Secretary	#19 (May 11) 4199
Reasons and Decision on a Motion for Directions and Other Relief	#19 (May 11) 4267
Notice of Hearing – s. 8	#28 (July 13) 6017
Notice from the Office of the Secretary	#28 (July 13) 6021
Notice from the Office of the Secretary	#36 (September 31) 7507
Order – s. 8(3)	#36 (September 31) 7521
Notice from the Office of the Secretary	#44 (November 2) 8814
Reasons and Decision – s. 8(3)	#44 (November 2) 8845

Global Securities Corporation / Societe De Valeurs Global Inc.

Voluntary Surrender	#14 (April 6) 3299
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Global Wealth Creation Opportunities Inc. (Belize)

Notice of Hearing with Related Statement of Allegations	#3 (January 19) 609
Notice from the Office of the Secretary	#3 (January 19) 621
Notice from the Office of the Secretary	#5 (February 2) 1084
Order	#5 (February 2) 1114
Notice from the Office of the Secretary	#14 (April 6) 2997
Order	#14 (April 6) 3057
Reasons and Decision – ss. 127(1), 127(10)	#14 (April 6) 3077

Global Wealth Creation Opportunities Inc.

Notice of Hearing with Related Statement of Allegations	#3 (January 19) 609
Notice from the Office of the Secretary	#3 (January 19) 621
Notice from the Office of the Secretary	#5 (February 2) 1084
Order	#5 (February 2) 1114
Notice from the Office of the Secretary	#14 (April 6) 2997
Order	#14 (April 6) 3057
Reasons and Decision – ss. 127(1), 127(10)	#14 (April 6) 3077

Global Wealth Creation Strategies Inc.

Notice of Hearing with Related Statement of Allegations	#3 (January 19) 609
Notice from the Office of the Secretary	#3 (January 19) 621
Notice from the Office of the Secretary	#5 (February 2) 1084
Order	#5 (February 2) 1114
Notice from the Office of the Secretary	#14 (April 6) 2997
Order	#14 (April 6) 3057
Reasons and Decision – ss. 127(1), 127(10)	#14 (April 6) 3077

Global Wealth Financial Inc.

Notice of Hearing with Related Statement of Allegations	#3 (January 19) 609
Notice from the Office of the Secretary	#3 (January 19) 621
Notice from the Office of the Secretary	#5 (February 2) 1084
Order	#5 (February 2) 1114
Notice from the Office of the Secretary	#14 (April 6) 2997
Order	#14 (April 6) 3057
Reasons and Decision – ss. 127(1), 127(10)	#14 (April 6) 3077

Goddard, Charles Robert

Notice from the Office of the Secretary	#5 (February 2) 1084
Reasons and Decision – s. 127(1)	#5 (February 2) 1115
Notice from the Office of the Secretary	#7 (February 16) 1511
Order	#7 (February 16) 1552
Notice from the Office of the Secretary	#8 (February 23) 1721
Order	#8 (February 23) 1755
Notice from the Office of the Secretary	#16 (April 20) 3694
Order – ss. 127, 127.1	#16 (April 20) 3708
Reasons and Decision on Sanctions and Costs – ss. 127, 127.1	#16 (April 20) 3727

Godwin, Marianne

Notice of Withdrawal	#13 (March 30) 2775
Notice of Hearing – ss. 127, 127.1	#13 (March 30) 2776
Notice from the Office of the Secretary	#13 (March 30) 2777
Notice from the Office of the Secretary	#13 (March 30) 2779
Order – s. 127	#13 (March 30) 2832
Order – ss. 127, 127.1	#13 (March 30) 2837
Notice from the Office of the Secretary	#16 (April 20) 3694
Notice of Hearing – ss. 127, 127.1	#17 (April 27) 3861
Notice from the Office of the Secretary	#17 (April 27) 3880
Notice from the Office of the Secretary	#17 (April 27) 3882
Notice from the Office of the Secretary	#17 (April 27) 3883
Notice from the Office of the Secretary	#17 (April 27) 3884
Order	#17 (April 27) 3889
Order	#17 (April 27) 3891
Order with Related Settlement Agreement – ss. 127(1), 127.1	#17 (April 27) 3892
Order	#18 (May 4) 4102
Notice from the Office of the Secretary	#20 (May 18) 4428
Oral Reasons for Approval of a Settlement	#20 (May 18) 4455

Golden Credit Card Trust

Order	#22 (June 1) 4846
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Goldeye Explorations Limited

Order	#1 (January 5) 48
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Goldman Sachs International

Decision	#21 (May 25) 4601
Ruling and Exemption – s. 38 of the CFA and s. 6.1 of OSC Rule 91-502 Trades in Recognized Options	#42 (October 19) 8533

Goldman Sachs U.S. Income Builder Trust

Decision	#51 (December 21) 10091
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Goldman, Sachs & Co.

Ruling – s. 38 of the CFA	#6 (February 9) 1281
Decision	#8 (February 23) 1723
Decision	#21 (May 25) 4601

GoldON Resources Ltd.

Order – s. 9.1 of MI 61-101 Protection of Minority Security Holders in Special Transactions and s. 6.1 of NI 62-104 Take-Over Bids and Issuer Bids	#3 (January 19) 625
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Good Mining Exploration Inc.

Notice of Hearing – s. 144	#1 (January 5) 1
Notice from the Office of the Secretary	#1 (January 5) 9
Notice from the Office of the Secretary	#3 (January 19) 620
Order – s. 144	#3 (January 19) 634

Goodfood Market Corp.

Consent – s. 4(b) of Ont. Reg. 289/00 under the OBCA	#36 (September 31) 7599
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Gornitzki, Jacob

Notice of Hearing – s. 144	#20 (May 18) 4424
Notice from the Office of the Secretary	#20 (May 18) 4429
Notice from the Office of the Secretary	#21 (May 25) 4597
Order	#21 (May 25) 4635
Notice from the Office of the Secretary	#24 (June 15) 5119
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Graphite Finance Inc.

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Notice from the Office of the Secretary	#1 (January 5) 8
Notice from the Office of the Secretary	#4 (January 26) 899
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Notice from the Office of the Secretary	#22 (June 1) 4799
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Reasons and Decision – ss. 127(1), 127(10)	#22 (June 1) 4869

Great-West Lifeco Finance (Delaware) LP

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Greypoint Capital Inc.

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Greystone Canadian Equity Income & Growth Class

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Greystone Canadian Equity Income & Growth Fund

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Growth & Income Class

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Guarda Capital Group Corp.

New Registration	#28 (July 13) 6223
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Guardian Canadian Equity Select Fund

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Decision	#39 (September 28) 7901

Guardian Canadian Focused Equity Fund	
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Guardian Capital LP	
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Decision.....	#39 (September 28) 7894
Decision.....	#39 (September 28) 7901
Guardian Capital Management LP	
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Guardian Emerging Markets Equity Fund	
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Guardian International Equity Select Fund	
Decision.....	#39 (September 28) 7894
Decision.....	#39 (September 28) 7901
Guardian U.S. Equity Select Fund	
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Decision.....	#39 (September 28) 7901
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Guo, Jian	
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GWN Capital Management Ltd.	
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Halio Energy Inc.	
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Halo Property Services Inc.	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), (10)	#17 (April 27) 3871
Notice from the Office of the Secretary	#17 (April 27) 3881
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Halogen Software Inc.	
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Hampton Securities Limited	
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Helius Medical Technologies, Inc.	
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Hershaw & Associates Investment Counsel Inc.	
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Heward Investment Management Inc.	
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Hewlett-Packard Enterprise Company	
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Decision.....	#14 (April 6) 3032
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Hilderman, Gregory Frederick	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#22 (June 1) 4795
Notice from the Office of the Secretary	#22 (June 1) 4801
Notice from the Office of the Secretary	#24 (June 15) 5120
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Hill, Brian	
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Hillmount Capital Management Inc.	
New Registration	#32 (August 10) 7029
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Hillmount Mortgage Fund	
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HL EMD LLC	
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HNZ Group Inc.	
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Ho, George	
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Notice from the Office of the Secretary	#34 (August 24) 7237
Notice from the Office of the Secretary	#35 (August 31) 7370
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Notice from the Office of the Secretary	#38 (September 21) 7733
Order	#38 (September 21) 7771
Notice from the Office of the Secretary	#46 (November 16) 9112
Order	#46 (November 16) 9151
Notice from the Office of the Secretary	#49 (December 7) 9657
Order	#49 (December 7) 9675
Notice from the Office of the Secretary	#51 (December 21) 10036
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HollisWealth Advisory Services Inc.	
Amalgamation	#34 (August 24) 7363
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Home Capital Group Inc.

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Notice from the Office of the Secretary	#23 (June 8) 4966
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Notice from the Office of the Secretary	#25 (June 22) 5271
Notice from the Office of the Secretary	#25 (June 22) 5273
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Horizon 360° et Associés Inc.

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Horizons ETFs Management (Canada) Inc.

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Horsley, David

Notice from the Office of the Secretary	#29 (July 20) 6257
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Notice from the Office of the Secretary	#35 (August 31) 7370
Order	#35 (August 31) 7394
Notice from the Office of the Secretary	#38 (September 21) 7733
Order	#38 (September 21) 7771
Notice from the Office of the Secretary	#46 (November 16) 9112
Order	#46 (November 16) 9151
Notice from the Office of the Secretary	#49 (December 7) 9657
Order	#49 (December 7) 9675
Notice from the Office of the Secretary	#51 (December 21) 10036
Order	#51 (December 21) 10104

HR Strategies Inc.

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Voluntary Surrender	#4 (January 26) 1073

HRS Liquid Strategies LP

Voluntary Surrender	#1 (January 5) 221
Suspension (Non-Renewal of Registration Pending Surrender)	#2 (January 12) 585
Voluntary Surrender	#4 (January 26) 1073

HSBC Global Asset Management (Canada) Limited

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HSBC Securities (Canada) Inc.

Decision	#42 (October 19) 8517
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HSBC Securities (USA) Inc.

Ruling – s. 38 of the CFA	#6 (February 9) 1292
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Huang, Ju

Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10)	#44 (November 2) 8811
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Notice from the Office of the Secretary	#46 (November 16) 9113
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Hudson River Minerals Ltd.	
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Hung, Alfred C.T.	
Notice from the Office of the Secretary	#29 (July 20) 6257
Reasons and Decision.....	#29 (July 20) 6291
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Notice from the Office of the Secretary	#35 (August 31) 7370
Order	#35 (August 31) 7394
Notice from the Office of the Secretary	#38 (September 21) 7733
Order	#38 (September 21) 7771
Notice from the Office of the Secretary	#46 (November 16) 9112
Order	#46 (November 16) 9151
Notice from the Office of the Secretary	#49 (December 7) 9657
Order	#49 (December 7) 9675
Notice from the Office of the Secretary	#51 (December 21) 10036
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Hunt Mining Corp.	
Cease Trading Order.....	#19 (May 11) 4291
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Hunter Oil Corp.	
Cease Trading Order.....	#19 (May 11) 4291
Cease Trading Order.....	#46 (November 16) 9181
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Hutchinson, Donna	
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Notice from the Office of the Secretary	#43 (October 26) 8663
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Hutchison, Robert P.	
Authorization Order – s. 3.5(3).....	#13 (March 30) 2833
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Hydro One Limited	
Decision.....	#24 (June 15) 5127
Hyposwiss Advisors SA	
Name Change	#13 (March 30) 2979
I.G. Investment Management, Ltd.	
Decision.....	#21 (May 25) 4607
Decision.....	#21 (May 25) 4613
Decision.....	#21 (May 25) 4618
Decision.....	#35 (August 31) 7385
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IA Clarington Balanced Portfolio	
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IA Clarington Conservative Portfolio	
Decision.....	#10 (March 9) 2114
IA Clarington Global Equity Exposure Fund	
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IA Clarington Growth Portfolio	
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IA Clarington Investments Inc.	
Decision.....	#10 (March 9) 2114
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IA Clarington Maximum Growth Portfolio	
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IA Clarington Moderate Portfolio	
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Iacono, Santo	
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Order	#21 (May 25) 4635
Notice from the Office of the Secretary	#24 (June 15) 5119
Order – s. 144	#24 (June 15) 5139
Notice from the Office of the Secretary	#30 (July 27) 6588
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ICBC Standard Bank PLC.	
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ICM Asset Management Inc.	
New Registration	#28 (July 13) 6223
ID Watchdog, Inc.	
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IG Templeton International Equity Fund	
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SROs – Universal Market Integrity Rules – Amendments Respecting Designations and Identifiers – Notice of Commission Approval.....	#7 (February 16) 1709
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SROs – Proposed Changes to Forms and Guidance Relating to Investment in a Dealer Member – Request for Comment	#10 (March 9) 2330
SROs – Non-Material Amendments to Schedule 12 of Form 1 and its Notes and Instructions Relating to Margin on Futures Concentrations and Deposits – Notice of Commission Approval.....	#13 (March 30) 2981
SROs – Amendments to Dealer Member Rule 1200 and to Form 1 Relating to the Client Free Credit Cash Usage Limit and Client Free Credit Segregation Requirements – Notice of Commission Approval	#13 (March 30) 2982
SROs – Proposed Amendments Relating to Personal Financial Dealings with Clients – Notice of Withdrawal	#14 (April 6) 3301
SROs – Proposed Amendments to Dealer Member Rule 200.2(L)(X)(B) on Trade-Confirmation Suppression Requirements	#16 (April 20) 3849
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SROs – Amendments to Dealer Member Rules and Form 1 Relating to the Futures Market Segregation and Portability Customer-Protection Regime – Request for Comment	#20 (May 18) 4557
SROs – Re-Publication of Proposed Amendments Respecting the Reporting of Certain Trades on Acceptable Foreign Trade Reporting Facilities.....	#21 (May 25) 4765
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SROs – Proposed Amendments Relating to Client Identification and Verification Requirements – Request for Comment	#27 (July 6) 5990
SROs – Proposed Amendments Relating to the New Methodology for Margining Equity Securities – Notice of Withdrawal.....	#28 (July 13) 6225
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SROs – Amendments Respecting Trading Supervision Obligations – Notice of Commission Approval	#39 (September 28) 8037
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SROs – Continuing Education Requirements for Approved Persons – Notice of Commission Approval	#48 (November 30) 9627
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SROs – Proposed Amendments to the Minimum Dealer Regulation Fee Component of the Dealer Member Fee Model – Request for Comment	#51 (December 21) 10269

Immunotec inc.

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IMPACT Silver Corp.

Order – ss. 1(11)(b)	#43 (October 26) 8683
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Impak Finance Inc.

Decision	#34 (August 24) 7244
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Income Strategix A-Class L.P.

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Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#25 (June 22) 5266
Notice from the Office of the Secretary	#25 (June 22) 5272
Notice from the Office of the Secretary	#30 (July 27) 6588
Reasons and Decision for Approval of a Settlement – ss. 127, 127.1	#30 (July 27) 6647

Income Strategix Holdings Ltd.

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#25 (June 22) 5266
Notice from the Office of the Secretary	#25 (June 22) 5272
Notice from the Office of the Secretary	#26 (June 29) 5457
Order with Related Settlement Agreement – ss. 127, 127.1	#26 (June 29) 5531
Notice from the Office of the Secretary	#30 (July 27) 6588
Reasons and Decision for Approval of a Settlement – ss. 127, 127.1	#30 (July 27) 6647

Income Strategix I-Class L.P.

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#25 (June 22) 5266
Notice from the Office of the Secretary	#25 (June 22) 5272
Notice from the Office of the Secretary	#26 (June 29) 5457
Order with Related Settlement Agreement – ss. 127, 127.1	#26 (June 29) 5531
Notice from the Office of the Secretary	#30 (July 27) 6588
Reasons and Decision for Approval of a Settlement – ss. 127, 127.1	#30 (July 27) 6647

Income Strategix L.P.

Notice of Hearing with Related Statement of Allegations – ss. 127, 127.1	#25 (June 22) 5266
Notice from the Office of the Secretary	#25 (June 22) 5272
Notice from the Office of the Secretary	#26 (June 29) 5457
Order with Related Settlement Agreement – ss. 127, 127.1	#26 (June 29) 5531
Notice from the Office of the Secretary	#30 (July 27) 6588
Reasons and Decision for Approval of a Settlement – ss. 127, 127.1	#30 (July 27) 6647

Industrial Alliance Securities Inc.

Decision	#24 (June 15) 5135
Decision	#33 (August 17) 7035

Infininvest Mortgage Investment Corporation

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INFOR Acquisition Corp.	
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Ingevity Corporation	
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Inovestor Asset Management Inc.	
New Registration	#45 (November 9) 9105
Inovio Pharmaceuticals, Inc.	
Order	#4 (January 26) 951
Instinet Canada Cross Limited	
Decision	#6 (February 9) 1251
Integra Gold Corp.	
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Integrated Managed Futures Corp.	
Name Change	#44 (November 2) 8935
Intelligent Content Enterprises Inc.	
Cease Trading Order	#2 (January 12) 409
Cease Trading Order	#12 (March 23) 2627
InterCapital SM Ltd.	
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Notice from the Office of the Secretary	#21 (May 25) 4598
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Notice from the Office of the Secretary	#22 (June 1) 4800
Order – ss. 127(7)	#22 (June 1) 4838
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Notice from the Office of the Secretary	#28 (July 13) 6020
Order – s. 127(8)	#28 (July 13) 6055
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Notice from the Office of the Secretary	#39 (September 28) 7887
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Notice from the Office of the Secretary	#40 (October 5) 8044
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Notice from the Office of the Secretary	#42 (October 19) 8498
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Notice from the Office of the Secretary	#51 (December 21) 10038
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International Road Dynamics Inc.	
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InterOil Corporation	
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Intertain Group Limited	
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Invescap Sarl	
Voluntary Surrender	#13 (March 30) 2979
Invesco Canada Ltd.	
Decision.....	#21 (May 25) 4604
Decision.....	#30 (July 27) 6601
Decision.....	#47 (November 23) 9343
Decision.....	#50 (December 14) 9818
Invesco Emerging Markets Debt Fund	
Decision.....	#30 (July 27) 6601
Invesco Global Bond Fund	
Decision.....	#30 (July 27) 6601
Investar Investment Ltd.	
Notice of Hearing	#5 (February 2) 1083
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Notice of Withdrawal	#9 (March 2) 1885
Notice from the Office of the Secretary	#9 (March 2) 1887
Investia Financial Services Inc.	
Decision.....	#16 (April 20) 3704
Decision.....	#33 (August 17) 7038
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Investment Funds Practitioner – June 2017	
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Decision.....	#21 (May 25) 4607
Decision.....	#21 (May 25) 4613
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Investors International Equity Fund	
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Ip, Albert

Notice from the Office of the Secretary	#29 (July 20) 6257
Reasons and Decision.....	#29 (July 20) 6291
Notice from the Office of the Secretary	#34 (August 24) 7237
Notice from the Office of the Secretary	#35 (August 31) 7370
Order	#35 (August 31) 7394
Notice from the Office of the Secretary	#38 (September 21) 7733
Order	#38 (September 21) 7771
Notice from the Office of the Secretary	#46 (November 16) 9112
Order	#46 (November 16) 9151
Notice from the Office of the Secretary	#49 (December 7) 9657
Order	#49 (December 7) 9675
Notice from the Office of the Secretary	#51 (December 21) 10036
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IPC Investment Corporation

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Irish Life Investment Managers Limited

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IUR Capital Ltd.

New Registration	#27 (July 6) 5987
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Ivanhoe Energy Inc.

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J.P. Morgan Securities Canada Inc.

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J.P. Morgan Securities LLC

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J.P. Morgan Securities PLC

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J2 Capital Management Inc.

Name Change	#28 (July 13) 6223
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Janus Capital Management LLC

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Jawhari, Khalid Walid

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Notice from the Office of the Secretary	#40 (October 5) 8043
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Reasons and Decision – ss. 127(1), 127(10)	#42 (October 19) 8551

Jaycap Financial Ltd.

New Registration	#33 (August 17) 7225
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JDF Explorations Inc.	
Cease Trading Order.....	#36 (September 31) 7523
Cease Trading Order.....	#37 (September 14) 7629
Jefferies Financial Products, LLC	
Decision.....	#31 (August 3) 6812
Jefferies Financial Services, Inc.	
Decision.....	#31 (August 3) 6812
Jefferies International Limited	
Decision.....	#31 (August 3) 6812
Jemekk Capital Management (2017) Inc.	
Name Change.....	#28 (July 13) 6223
Jensen, Maureen	
Authorization Order – s. 3.5(3).....	#1 (January 5) 51
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Authorization Order – s. 3.5(3).....	#33 (August 17) 7093
Jiminex Inc.	
Order – s. 144.....	#47 (November 23) 9359
Cease Trading Order.....	#47 (November 23) 9363
Jiwa, Rahim	
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Jonathan Financial Inc.	
Notice of Hearing with Related Statement of Allegations – ss. 127(1), 127(10).....	#22 (June 1) 4795
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Notice from the Office of the Secretary	#18 (May 4) 4089
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Natixis Investment Managers Canada LP	
Name Change.....	#51 (December 21) 10267
NBCN Inc.	
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NGAM Canada LP	
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NinePoint Partners LP	
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Orbus Pharma Inc.

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Silver Bear Resources Inc.	
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Silverthorn Capital Incorporated	
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Singh, Margaret	
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Smith, Clayton

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Notice from the Office of the Secretary	#37 (September 14) 7603
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Solium Capital Inc.

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Soloway, Gerald

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Soundvest Capital Management Ltd.

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Sparrow Ventures Corp.	
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Sprott Inc.	
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Stanwich Advisors, LLC	
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Starboard Capital Inc.	
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Stonegate Agricom Ltd.	
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Strict Trading Limited	
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Sun Life Global Investments (Canada) Inc.	
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Sven Holdings Inc.	
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TD Asset Management Inc.	
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TD Securities (USA) LLC	
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TD Securities Inc.	
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Thomson Reuters Corporation	
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Timbercreek U.S. Multi-Residential Opportunity Fund #1	
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Titan Equity Group Ltd.	
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Token Funder Inc.	
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Toronto-Dominion Bank	
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Total Energy Services Inc.	
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Total S.A.	
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Trafalgar Associates Limited	
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TransCanada Corporation	
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Notice from the Office of the Secretary	#16 (April 20) 3696
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Notice from the Office of the Secretary	#20 (May 18) 4428
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Notice from the Office of the Secretary with Related Statement of Allegations	#44 (November 2) 8816
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TriAct Canada Marketplace LP

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Tribute Resources Inc.

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Trident Gold Corp.

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Tudor, Pickering, Holt & Co. Securities – Canada, ULC

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TWMG Inc.	
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Two Harbors Investment Corp.	
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U.S. Global Canadian Energy ETF	
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Ubisoft Entertainment S.A.	
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UBS Securities LLC	
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Ullman Wealth Management Inc.	
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Unigestion SA	
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Union Securities Ltd.	
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University of Toronto Asset Management Corporation	
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Uranium308 Resources Inc.	
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Valt.X Holdings Inc.	
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Notice from the Office of the Secretary	#13 (March 30) 2780
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Notice from the Office of the Secretary	#34 (August 24) 7238
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Notice from the Office of the Secretary	#40 (October 5) 8046
Order – s. 127(1)	#40 (October 5) 8108
Notice from the Office of the Secretary	#43 (October 26) 8663
Order – ss. 127(1)	#43 (October 26) 8689
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Van Den Berg Management I, Inc.	
New Registration	#46 (November 16) 9315
Van Eck Absolute Return Advisers Corporation	
Suspension (Non-Renewal of Registration Pending Surrender).....	#2 (January 12) 585
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Vanguard Investments Canada Inc.	
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Vantage Asset Management Inc.	
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Vatic Ventures Corp.	
Cease Trading Order.....	#28 (July 13) 6085
Vena Resources Inc.	
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Veracap M&A International Inc.	
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Veritas Asset Management Inc.	
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Vertex One Asset Management Inc.	
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Vinci S.A.	
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Vingoe, D. Grant	
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Virani, Azim	
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Virginia Hills Oil Corp.	
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Virtual Brokers Wealth Management Inc.	
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Virtual Brokers Wealth Management Inc.	
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Vivendi S.A.	
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ViXS Systems Inc.	
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VM Holding S.A.	
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VPP Management Inc.	
Decision.....	#1 (January 5) 11
New Registration.....	#1 (January 5) 221
Walton Capital Management Inc.	
Consent to Suspension (Pending Surrender).....	#18 (May 4) 4189
Waterfront Strategic Capital Corp.	
Consent to Suspension (Pending Surrender).....	#1 (January 5) 221
Voluntary Surrender.....	#4 (January 26) 1073
WaveFront Global Asset Management Corp.	
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Waverley Corporate Financial Services Ltd.	
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WBIC Canada Ltd.	
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