

## Chapter 13

# SROs, Marketplaces, Clearing Agencies and Trade Repositories

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### 13.1 SROs

#### 13.1.1 Mutual Fund Dealers Association of Canada (MFDA) – Proposed Amendments to MFDA Rule 1.1.2 (Compliance by Approved Persons) – Extension of Request for Comment

##### EXTENSION OF REQUEST FOR COMMENT

##### MUTUAL FUND DEALERS ASSOCIATION OF CANADA (MFDA)

##### PROPOSED AMENDMENTS TO MFDA RULE 1.1.2 (COMPLIANCE BY APPROVED PERSONS)

Proposed amendments that expressly require compliance with applicable securities legislation relating to the operations, standards of practice and business conduct of MFDA Members and Approved Persons were published in the OSC Bulletin on January 30, 2020. Due to COVID-19, the comment period has been extended by 45 days and now expires on June 15, 2020, rather than April 29, 2020.

Comments should be made in writing. One copy of each comment letter should be delivered on or before June 15, 2020, addressed to the attention of:

Paige Ward  
General Counsel, Corporate Secretary and Vice-President, Policy  
Mutual Fund Dealers Association of Canada  
121 King St. West, Suite 1000  
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and one copy addressed to the attention of:

Anne Hamilton  
Senior Legal Counsel  
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P.O. Box 10142, Pacific Centre  
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ahamilton@bcsc.bc.ca