

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.1 SROs

13.1.1 Canadian Investor Protection Fund (CIPF) – Housekeeping Amendments to the CIPF Claims Procedures – Notice of Commission Deemed Approval

NOTICE OF COMMISSION DEEMED APPROVAL

CANADIAN INVESTOR PROTECTION FUND (CIPF)

HOUSEKEEPING AMENDMENTS TO THE CIPF CLAIMS PROCEDURES

The Ontario Securities Commission did not object to the classification as housekeeping of CIPF's proposed amendments to the CIPF Claims Procedures (the Amendments). Some of the Amendments are necessary to conform to certain changes in the revised CIPF Approval Orders (in effect as of January 1, 2021), which now allow for an option of having non-CIPF Directors to conduct appeals if considered appropriate by the CIPF Board. The Amendments also include some changes of editorial and clarifying nature.

The Amendments are deemed to be approved and become effective on February 11, 2021.

In addition, the Alberta Securities Commission, the Autorité des marchés financiers, the British Columbia Securities Commission, the Financial and Consumer Affairs Authority of Saskatchewan, the Financial and Consumer Services Commission of New Brunswick, the Manitoba Securities Commission, the Newfoundland and Labrador Office of the Superintendent of Securities, the Northwest Territories Office of the Superintendent of Securities, the Nova Scotia Securities Commission, the Nunavut Office of the Superintendent of Securities, the Office of the Yukon Superintendent of Securities, and the Prince Edward Island Office of the Superintendent of Securities did not object to the Amendments.

A copy of the CIPF notice and the text of the Amendments can be found at <http://www.osc.gov.on.ca>.