
2001/10/12 — Application for recognition of Market Regulation Services Inc.

Issue: October 12, 2001

Citation: 24 O.S.C.B. 6027

Application for Recognition of Market Regulation Services Inc. and Request for Comment

Market Regulation Services Inc. (“RS Inc.”) has applied to be recognized as a self-regulatory organization (“SRO”) in Alberta, British Columbia, Manitoba, Ontario and Quebec. RS Inc. will operate as a regulatory services provider under the Alternative Trading System (“ATS”) proposal and will administer and enforce trading rules for the marketplaces that retain its services.

In connection with the recognition of RS Inc. as an SRO, the following documents are being published for comment in Chapter 13 of this Bulletin:

1. Notice and request for comments
2. Application for Recognition of RS Inc.
3. Proposed Universal Market Integrity Rules (revised from April 20, 2001 version)
4. Proposed criteria for recognition with terms and conditions
5. Memorandum of understanding regarding oversight of RS Inc.

2001/10/12 — Notice of proposed Rule 72-502, 51-503,51-503CP and Proposed Amendments to Rule 52-501

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Notice of Proposed Rule 72-502 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers

The Commission is publishing the following documents in today's Bulletin:

- Notice of Proposed Rule 72-502 and Companion Policy 72-502CP Continuous Disclosure and Other Exemptions relating to Foreign Issuers and Proposed Recission of OSC Policy 7.1, the related Order and Rules
- Proposed Rule 72-502 Continuous Disclosure and Other Exemptions relating to Foreign

Issuers

- Proposed Companion Policy 72-502CP
- Proposed Rescission of Ontario Securities Commission Order In the Matter of Parts XVII and XX of the *Securities Act* and In the Matter of Certain Reporting Issuers and Ontario Securities Commission Rules In the Matter of Certain Reporting Issuers

The documents are published in Chapter 6 of the Bulletin.

Notice of Proposed Rule 51-503 and Companion Policy 51-503CP Supplementary SEC Filings and Proposed Rescission of OSC Policy 51-603 Reciprocal Filings

The Commission is publishing the following documents in today's Bulletin:

- Notice of Proposed Rule 51-503 and Companion Policy 51-503CP Supplementary SEC Filings and Proposed Rescission of OSC Policy 51-603 Reciprocal Filings
- Proposed Rule 51-503 Supplementary SEC Filings
- Proposed Companion Policy 51-503CP
- Proposed Rescission of OSC Policy 51-603 Reciprocal Filings

The documents are published in Chapter 6 of the Bulletin.

Notice of Proposed Amendments to Rule 52-501 Financial Statements

The Commission is publishing the following documents in today's Bulletin:

- Notice of Proposed Amendments to Rule 52-501 Financial Statements
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The documents are published in Chapter 6 of the Bulletin.