

**13.1.3 IDA Regulation 200.1(c) – Requirement to Send Quarterly Statements to Clients – Withdrawal of Proposed Rule Amendment**

**INVESTMENT DEALERS ASSOCIATION OF CANADA**

**REGULATION 200.1(C) – REQUIREMENT TO SEND QUARTERLY STATEMENTS TO CLIENTS**

**WITHDRAWAL OF PROPOSED RULE AMENDMENT**

**I Overview**

On February 27, 2004, the Ontario Securities Commission published for comment proposed rule amendments to IDA Regulation 200.1(c) with respect to the requirement to send quarterly statements to clients. However, the proposed Rule amendment was inconsistent with the securities legislation of IIROC's recognizing regulators. On June 1, 2008, the IDA combined with Market Regulation Services Inc. and formed the Investment Industry Regulatory Organization of Canada (IIROC).

**II Withdrawal**

As changes to securities legislation to accommodate these proposed changes are not forthcoming, IIROC has informed the Canadian Securities Administrators (CSA) that it has withdrawn the proposed rule amendments.

Questions may be referred to:

Richard J. Corner  
Vice President, Member Regulation Policy  
Investment Industry Regulatory Organization of Canada  
(416) 943-6908