

**1.1.5 Notice of Commission Approval –  
Amendments to IDA Regulation 1300.1 –  
Suitability and Institutional Accounts**

**THE INVESTMENT DEALERS ASSOCIATION  
OF CANADA (IDA)**

**AMENDMENTS TO IDA REGULATION 1300.1 –  
SUITABILITY AND INSTITUTIONAL ACCOUNTS**

**NOTICE OF COMMISSION APPROVAL**

The Ontario Securities Commission and the Autorité des marchés financiers approved certain amendments to IDA Regulation 1300.1 which clarify that where an IDA member (Member) executes a trade on the instructions of another Member, a portfolio manager, investment counsel, limited market dealer, bank, trust company or insurer pursuant to Section 1.B(3) of IDA Policy No. 4, the Member is exempt from the suitability obligation in Regulation 1300.1(p). In addition, the Alberta Securities Commission and the British Columbia Securities Commission did not object to the amendments. The amendments are housekeeping in nature. A description and a copy of the amendments are contained in Chapter 13 of this Ontario Securities Commission Bulletin.