

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 CSE – Amendments to Trading System Functionality & Features – Notice of Approval

CANADIAN SECURITIES EXCHANGE

NOTICE OF APPROVAL

SYSTEM FUNCTIONALITY – CLOSING PRICE SESSION ENHANCEMENTS

In accordance with the *Process for the Review and Approval of Rules and the Information Contained in Form 21-101F1 and the Exhibits Thereto*, CNSX Markets Inc. (“CSE”) has proposed, and the Ontario Securities Commission has approved significant changes to the CSE trading system.

On December 5, 2019 the CSE published *Amendments to Trading System Functionality & Features – Closing Price (CCP) Session Enhancements – Request for Comment* with respect to the amendments to the previously approved closing price trading session on the CSE and a related order type, the Closing Price Session Cross.

The comment period expired January 9, 2020. CSE did not receive any public comments regarding these proposed changes.

IMPLEMENTATION

The Enhancements will be effective upon launch of the Closing Price Session during Q2 2020.

Questions about this notice may be directed to:

Mark Faulkner, Vice President Listings & Regulation,
Mark.Faulkner@thecse.com, or 416-367-7341