

13.2.2 Canadian Securities Exchange – Housekeeping Amendments to Rules, Policies and Forms – Policy 8

CSE Notice 2017-004
February 23, 2017

CANADIAN SECURITIES EXCHANGE
HOUSEKEEPING RULE
AMENDMENTS TO POLICY 8

A. DESCRIPTION OF THE PROPOSED AMENDMENTS

As the result of changes to the internal workflow at IIROC, IIROC has asked that the contact information included in Policy 8 be amended. The amendments are included in Appendix 1.

B. EFFECTIVE DATE

The amendments will be effective immediately.

C. CLASSIFICATION

The amendments have been classified as housekeeping. The proposed amendments were not published for comment.

D. QUESTIONS

Questions regarding this notice may be directed to:

Mark Faulkner
Vice President, Listings and Regulation
CNSX Markets Inc.
220 Bay Street, 9th Floor
Toronto, ON, M5J 2W4
Email: Mark.Faulkner@thecse.com

Appendix 1

PROPOSED CSE POLICY AMENDMENTS

Text of CSE Policies marked to reflect proposed amendments	Text of CSE Policies reflecting the adoption of proposed amendments
<p>Policy 8</p> <p>FUNDAMENTAL CHANGES & CHANGES OF BUSINESS</p> <p>...</p> <p>1.5(b) Issuers must notify and consult with the Market Regulator prior to disseminating material information concerning a fundamental change or a change of business during market hours. If the dissemination will occur outside of market hours, the Issuer must notify the Market Regulator in order to effect a trading halt prior to the next trading session.</p> <p>Contact information for Market Regulator: Telephone: (416) 646-7220 (604) 643-2792 Email: pr@IIROC.ca prwest@IIROC.ca</p>	<p>Policy 8</p> <p>FUNDAMENTAL CHANGES & CHANGES OF BUSINESS</p> <p>...</p> <p>1.5(b) Issuers must notify and consult with the Market Regulator prior to disseminating material information concerning a fundamental change or a change of business during market hours. If the dissemination will occur outside of market hours, the Issuer must notify the Market Regulator in order to effect a trading halt prior to the next trading session.</p> <p>Contact information for Market Regulator: Telephone: (604) 643-2792 Email: prwest@IIROC.ca</p>