

Chapter 13

SROs, Marketplaces, Clearing Agencies and Trade Repositories

13.2 Marketplaces

13.2.1 Nasdaq CXC Limited – Housekeeping Amendments to Trading Rules and Policies – Notice of Housekeeping Amendments

NASDAQ CXC LIMITED

NOTICE OF HOUSEKEEPING RULE AMENDMENTS

HOUSEKEEPING AMENDMENTS TO TRADING RULES AND POLICIES

Introduction

Nasdaq CXC Limited (Nasdaq Canada) has adopted, and the Ontario Securities Commission (OSC) has approved, housekeeping amendments (Amendments) to the Nasdaq Canada Trading Rules and Policies in accordance with Schedule 5 to its recognition order, as amended (Protocol). The Amendments are Housekeeping Rules under the Protocol and as such have not been published for comment. The OSC has not disagreed with the categorization of the Amendments as Housekeeping Rules.

Description of Change

Nasdaq Canada is proposing to expand the Trading Sessions for the CXC Trading Book and the CX2 Trading Book from 8:30 a.m. through 5:00 p.m. to 8:00 a.m. through 5:00 p.m.

Expected Date of Implementation

The Amendments will be implemented on November 1st 2018.

Any questions regarding these changes should be addressed to
Matt Thompson, Nasdaq CXC Limited: matthew.thompson@nasdaq.com, T: 416-647-6242

APPENDIX A

TEXT OF AMENDMENTS TO THE TRADING RULES AND POLICIES

5.2 Trading Sessions

1. The Exchange shall be open for Trading Sessions on each Business Day.
2. Unless otherwise changed, the hours of the Trading Session for CXC and CX2 Trading Books are 8: 00 a.m. through 5:00 p.m. (Eastern Time). The hours of the Trading Session for CXD are 9:30 a.m. through 4:00 p.m.
3. Changes to Trading Sessions are published by Notice on the Exchange website.