## IN THE MATTER OF THE SECURITIES ACT R.S.O. 1990, c. S.5, AS AMENDED

- and -

IN THE MATTER OF AN APPLICATION FOR A HEARING AND REVIEW OF A DECISION OF THE ONTARIO COUNCIL OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA, PURSUANT TO SECTION 21.7 OF THE SECURITIES ACT, R.S.O. 1990, c. S.5, AS AMENDED

- and -

IN THE MATTER OF DISCIPLINE PROCEEDINGS PURSUANT TO THE BY-LAWS OF THE INVESTMENT DEALERS ASSOCIATION OF CANADA AND THE DEALER MEMBER RULES OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

#### **BETWEEN**

# STAFF OF THE INVESTMENT INDUSTRY REGULATORY ORGANIZATION OF CANADA

- and -

### **HECTOR WONG**

### NOTICE OF HEARING Section 21.7

**TAKE NOTICE THAT** the Ontario Securities Commission will hold a hearing pursuant to section 21.7 of the *Securities Act*, R.S.O. 1990, c S-5, as amended, to consider the Application made by Hector Wong for a review of decisions of the Investment Industry Regulatory Organization of Canada made June 16, 2010 and October 29, 2010;

**AND TAKE FURTHER NOTICE THAT** the hearing will be held on June 1 and 2, 2011 at 10:00 a.m. on the 17th floor of the Commission's offices located at 20 Queen Street West, Toronto.

Dated at Toronto this 3<sup>rd</sup> day of February, 2011

"John Stevenson"

John Stevenson Secretary to the Commission