

# Chapter 1

## Notices / News Releases

### 1.1 Notices

#### 1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of September 30, 2015 has been posted to the OSC Website at [www.osc.gov.on.ca](http://www.osc.gov.on.ca).

#### Table of Concordance

##### Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

#### Reformulation

Instrument	Title	Status
	None	

#### New Instruments

Instrument	Title	Status
91-704	Compliance Review Plan for OSC Rule 91-507 Trade Repositories and Derivatives Data Reporting	<b>Published July 2, 2015</b>
81-728	Use of "Index" in Investment Fund Names and Objectives	<b>Published July 9, 2015</b>
51-101	Notice of Correction – Amendments to NI 51-101 Standards of Disclosure for Oil and Gas Activities	<b>Published July 9, 2015</b>
51-344	Continuous Disclosure Review Program Activities for the fiscal year ended March 31, 2015	<b>Published July 16, 2015</b>
11-329	Withdrawal of Notices and Revocation of Omnibus /Blanket Orders	<b>Published July 16, 2015</b>
51-725	Corporate Finance Branch – 2015-2015 Annual Report	<b>Published July 16, 2015</b>
11-739	(Revised) Policy Reformulation Table of Concordance and List of New Instruments	<b>Published July 23, 2015</b>
45-106	Prospectus Exemptions (Amendments related to Reports of Exempt Distribution)	<b>Published for comment August 13, 2015</b>
48-501	Trading During Distribution, Formal Bids and Share Exchange Transactions	<b>Commission approval published August 20, 2015</b>
33-105	Underwriting Conflicts – Amendments	<b>Ministerial approval published September 3, 2015</b>
45-106	Prospectus Exemptions – Amendments	<b>Ministerial approval published September 3, 2015</b>
45-501	Ontario Prospectus and Registration Exemptions – Amendments	<b>Ministerial approval published September 3, 2015</b>
41-101	General Prospectus Requirements – Amendments	<b>Commission approval published September 3, 2015</b>

44-101	Short Form Prospectus Distributions – Amendments	<i>Commission approval published September 3, 2015</i>
45-106	Prospectus Exemptions – Amendments	<i>Commission approval published September 3, 2015</i>
51-102	Continuous Disclosure Obligations – Amendments	<i>Commission approval published September 3, 2015</i>
52-109	Certification of Disclosure in Issuers' Annual and Interim Filings – Amendments	<i>Commission approval published September 3, 2015</i>
52-110	Audit Committees – Amendments	<i>Commission approval published September 3, 2015</i>
58-101	Disclosure of Corporate Governance Practices – Amendments	<i>Commission approval published September 3, 2015</i>
61-101	Protection of Minority Security Holders in Special Transactions – Amendments	<i>Commission approval published September 3, 2015</i>
71-102	Continuous Disclosure and Other Exemptions Relating to Foreign Issuers – Amendments	<i>Commission approval published September 3, 2015</i>
81-101	Mutual Fund Prospectus Disclosure – Amendments	<i>Commission approval published September 3, 2015</i>
46-201	Escrow for Initial Public Offerings – Amendments	<i>Commission approval published September 3, 2015</i>
31-715	Mystery Shopping for Investment Advice: Insights into advisory practices and the investor experience in Ontario	<i>Published September 17, 2015</i>
21-315	Next Steps in Regulation and Transparency of the Fixed Income Market	<i>Published for comment September 17, 2015</i>
31-342	Guidance for Portfolio Managers Regarding Online Advice	<i>Published September 24, 2015</i>
33-746	Annual Summary Report for Dealers, Advisers and Investment Fund Managers	<i>Published September 24, 2015</i>
45-106	Prospectus Exemptions – Amendments	<i>Commission approval published September 24, 2015</i>
41-101	General Prospectus Requirements – Amendments	<i>Commission approval published September 24, 2015</i>
44-101	Short Form Prospectus Distributions – Amendments	<i>Commission approval published September 24, 2015</i>
45-102	Resale of Securities – Amendments	<i>Commission approval published September 24, 2015</i>
45-101	Rights Offerings – Repeal	<i>Commission approval published September 24, 2015</i>

For further information, contact:

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