Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of June 30, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key

The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
51-354	Report on Climate change-related Disclosure Project	Published April 5, 2018
11-780	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2019	Published for comment April 5, 2018
11-739	Policy Reformulation Table of Concordance and List of New Instruments (Revised)	Published April 5, 2018
61-303	Request for Comment – Soliciting Dealer Arrangements	Published for comment April 12, 2018
52-329	Distribution Disclosures and Non-GAAP Financial Measures in the Real Estate Industry	Published April 12, 2018
93-102	Derivatives: Registration	Published for comment April 19, 2018
81-329	Reducing Regulatory Burden for Investment Fund Issuers	Published May 24, 2018
21-323	Proposal for Mandatory Post-Trade Transparency of Trades in Government Debt Securities, Expanded Transparency of Trades in Corporate Debt Securities and Proposed Amendments to NI 21-101 Marketplace Operation	Published for comment May 24, 2018
93-403	Variation, Amendment, or Revocation and Replacement of Blanket Orders Exempting Certain Counterparties from the Requirement to Submit a Mandatory Clearable Derivative for Clearing and Update on Proposed Amendments to NI 94-101 Mandatory Central Counterparty	Published May 31, 2018
72-503	Distributions Outside Canada- Amendments	Ministerial approval published June 7, 2018
45-102	Resale of Securities – Amendments	Ministerial approval published June 7, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Ministerial approval published June 7, 2018

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Reformulation

Instrument	Title	Status
31-103	Registrant Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Ministerial approval published June 7, 2018
33-109	Registration Information – Amendments	Ministerial approval published June 7, 2018
41-101	General Prospectus Requirements – Amendments	Ministerial approval published June 7, 2018
44-101	Short Form Prospectus – Amendments	Ministerial approval published June 7, 2018
44-102	Shelf Distributions – Amendments	Ministerial approval published June 7, 2018
45-106	Prospectus Exemptions – Amendments	Ministerial approval published June 7, 2018
51-102	Continuous Disclosure Obligations – Amendments	Ministerial approval published June 7, 2018
81-102	Investment Funds – Amendments	Ministerial approval published June 7, 2018
81-106	Investment Fund Continuous Disclosure – Amendments	Ministerial approval published June 7, 2018
33-506	(Commodity Futures Act) Registration Information – Amendments	Ministerial approval published June 7, 2018
46-308	Securities Law Implications for Offerings of Tokens	Published June 14, 2018
93-101	Derivatives: Business Conduct	Published for comment June 14, 2018
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	Published for comment June 21, 2018
81-330	Status Report on Consultation on Embedded Commissions and Next Steps	Published June 21, 2018
21-324	Information Processor for Exchange-Traded Securities other than Options	Published June 28, 2018

For further information, contact: Darlene Watson Project Specialist Ontario Securities Commission 416-593-8148

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