

Chapter 1

Notices / News Releases

1.1 Notices

1.1.1 OSC Staff Notice 11-739 (Revised) – Policy Reformulation Table of Concordance and List of New Instruments

OSC STAFF NOTICE 11-739 (REVISED)

POLICY REFORMULATION TABLE OF CONCORDANCE AND LIST OF NEW INSTRUMENTS

The following revisions have been made to the Table of Concordance and List of New Instruments. A full version of the Table of Concordance and List of New Instruments as of March 31, 2018 has been posted to the OSC Website at www.osc.gov.on.ca.

Table of Concordance

Item Key
The third digit of each instrument represents the following: 1-National/Multilateral Instrument; 2-National/Multilateral Policy; 3-CSA Notice; 4-CSA Concept Release; 5-Local Rule; 6-Local Policy; 7-Local Notice; 8-Implementing Instrument; 9-Miscellaneous

Reformulation

Instrument	Title	Status
	Interpretation Note 1, Distribution of Securities Outside Ontario	<i>Withdrawn effective March 31, 2018</i>

New Instruments

Instrument	Title	Status
21-711	Multilateral Trading Facilities – Exemption from Requirement to be Recognized as an Exchange	<i>Published January 4, 2018</i>
11-742	Securities Advisory Committee (Revised)	<i>Published January 11, 2018</i>
21-322	Applicability of Regulation to the Operation of MTFs or OTFs in Canada	<i>Published January 11, 2018</i>
11-739	Policy Reformulation Table of Concordance and List of New Instruments	<i>Published January 18, 2018</i>
15-601	Whistleblower Program – Amendment	<i>Published for comment January 18, 2018</i>
23-321	Order Protection Rule: Market Share Threshold for the period April 1, 2018 to March 31, 2019	<i>Published February 1, 2018</i>
51-352	Issuers with U.S. Marijuana Related Activities – Revised	<i>Published February 15, 2018</i>
31-352	Monthly Suppression of Terrorism and Canadian Sanctions Reporting	<i>Published March 1, 2018</i>
51-711	Refilings and Corrections of Errors – Revised	<i>Published March 8, 2018</i>
45-106	Prospectus Exemptions – Amendments (Related to Syndicated Mortgages)	<i>Published for comment March 8, 2018</i>

New Instruments

Instrument	Title	Status
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Related to Syndicated Mortgages)	<i>Published for comment March 8, 2018</i>
13-707	Fees under OSC Rule 13-502 Fees and OSC Rule 13-503 (Commodity Futures Act)	<i>Published March 15, 2018</i>
72-503	Distributions Outside Canada	<i>Ministerial approval published March 22, 2018</i>
11-501	Electronic Delivery of Documents to the Ontario Securities Commission – Amendments	<i>Ministerial approval published March 22, 2018</i>
11-779	Seniors Strategy	<i>Published March 22, 2018</i>
23-322	Trading Fee Rebate Pilot Study	<i>Published March 22, 2018</i>
51-353	Update on CSA Consultation Paper 51-404 Considerations for Reducing Regulatory Burden for Non-Investment Fund Reporting Issuers	<i>Published March 29, 2018</i>
11-780	Statement of Priorities – Request for Comments Regarding Statement of Priorities for Financial Year to End March 31, 2019	<i>Published for comment March 29, 2018</i>
31-353	OBSI Joint Regulators Committee Annual Report	<i>Published March 29, 2018</i>
45-102	Resale of Securities – Amendments	<i>Commission approval published March 29, 2018</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments	<i>Commission approval published March 29, 2018</i>
11-206	Process for Cease to be a Reporting Issuer Applications - Amendments	<i>Commission approval published March 29, 2018</i>
72-503	Distributions Outside Canada – Amendments	<i>Commission approval published March 29, 2018</i>
72-503CP	Distributions Outside Canada – Amendments	<i>Commission approval published March 29, 2018</i>
31-103	Registration Requirements, Exemptions and Ongoing Registrant Obligations – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
33-109	Registration Information – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
41-101	General Prospectus Requirements – Amendments	<i>Commission approval published March 29, 2018</i>
44-101	Short Form Prospectus Distributions – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
44-102	Shelf Distributions – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
45-106	Prospectus Exemptions – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
51-102	Continuous Disclosure Obligations – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>

New Instruments

Instrument	Title	Status
81-102	Investment Funds – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
81-106	Investment Fund Continuous Disclosure – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
21-101CP	Marketplace Operation – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
81-102CP	Investment Funds – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>
33-506	(Commodity Futures Act) Registration Information – Amendments (Related to Designated Rating Organizations)	<i>Commission approval published March 29, 2018</i>

For further information, contact:

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